

# NSCLC. Adjuvant/Locally Advanced

Rafael Santana-Davila.

Associate Professor Of Medicine.

University of Washington/Fred Hutchinson Cancer Center.

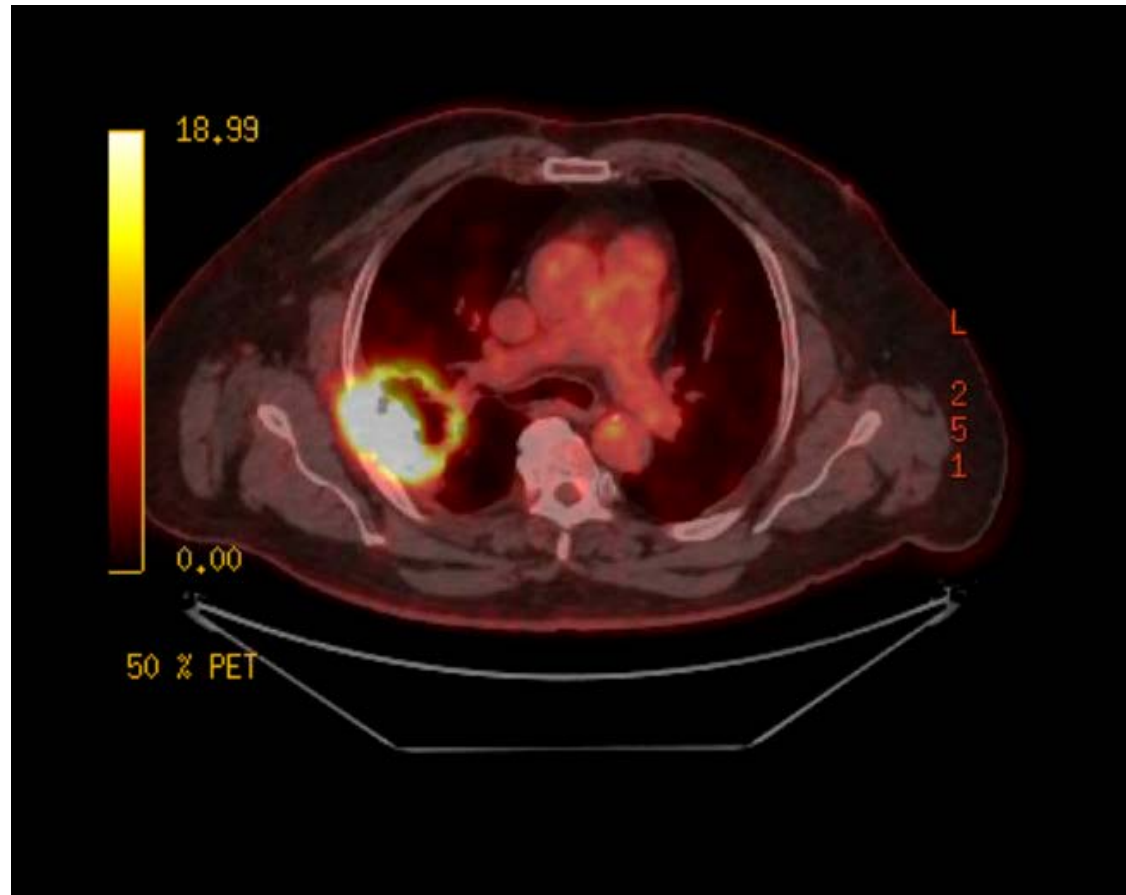
SCCA

[rafaelsd@uw.edu](mailto:rafaelsd@uw.edu)

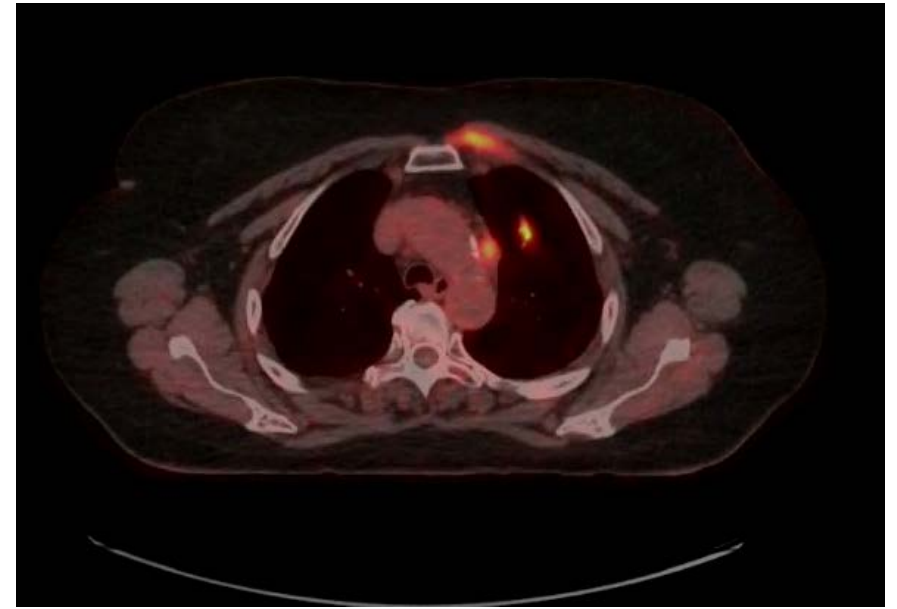
# Stage I to stage IIIA

- Treatment goal for patients with stage I to III is curative. Although prognosis is still dismal.
- Between 40-50% of patients with stage IB, 55-70% of patients with stage II and the great majority of patients with stage IIIA will have recurrent disease if surgery is the only modality of treatment.
- Important to remember that this is a very heterogenous group with likely different prognosis.
- The role of adjuvant chemotherapy was been widely studied and although the benefits are small they have been consistent.

# Heterogenous group



T4, N0, M0 Stage IIIA



T2, N2, M0 Stage IIIA

# Staging of the mediastinum.

- Essential to stage via endoscopic bronchial ultrasound or mediastinoscopy prior to a resection.
- Invasive mediastinal staging is indicated for all patients with central tumors; those with potentially resectable T2, T3, and T4 tumors; and those with tumors with enlarged hilar lymph nodes by CT and/or clinical N1 involvement by PET, even if the mediastinum appears clean by both CT and PET criteria.

# Clinical evidence for adjuvant treatment.

- Lung Adjuvant Cisplatin Evaluation (LACE) group performed a pooled analysis of individual patient data from the largest cisplatin-based adjuvant trials performed since 1995, including 5 trials, with a total of 4584 patients.
- Established a reduction in mortality of 5.4% at 5 years in patients who received chemotherapy compared with those who did not (hazard ratio [HR] = 0.89; 95% CI, 0.82–0.96;  $P = .005$ ).
- No benefit in stage IA. But present in stage IB (0.93; 95% CI, 0.78 to 1.10) stage II (HR= 0.83; 95% CI, 0.73 to 0.95) and stage III (HR= 0.83; 95% CI, 0.72 to 0.94)

# Clinical evidence

- A follow-up meta-analysis in 2010 confirmed the benefits of adjuvant chemotherapy after evaluating 34 trials and 8447 patients and showing an increase in overall survival by 4% at 5 years with the addition of adjuvant chemotherapy.
- Currently the recommendation is for a platinum doublet.
- Vinorelbine is the most widely studied partner but pemetrexed is preferred for non-squamous and gemcitabine or docetaxel for squamous.
- Cisplatin is preferred. Concern for less activity for carbo. Use of carboplatin is controversial and should only be reserved for patients in special circumstances.

# Stage IB

- Controversial.
- Only study using carboplatin and paclitaxel was negative. Subgroup analysis showed only benefit in patients with large tumors (>more than 4 cm).

# Stage II and III with N0 disease

- Another area of controversy.
- If there is no lymph node metastasis likely lower risk of distal metastasis.
- Most analysis come from retrospective studies with mixed results.
- However bias plays an important roles in this setting
- Important to know how much lymph nodes were actually resected.



# PORT

- If margins are negative there is no role for patients with stage II disease .
- In patients with stage IIIA/IIIB disease, there is a benefit for mediastinal radiation. Most is done sequentially to diminish toxicity after surgery.

# Neoadjuvant treatment

- Benefits include prognostication, potential for downstaging.
- However is difficult to establish in which patients this should be the standard.
- Best subset of patients such as those with single station stage IIIA disease, superior sulcus tumors or those with chest wall invasion in the setting of N1 nodal involvement.
- Key, as in the management of all patients with early stage disease, is the use of a multidisciplinary team.

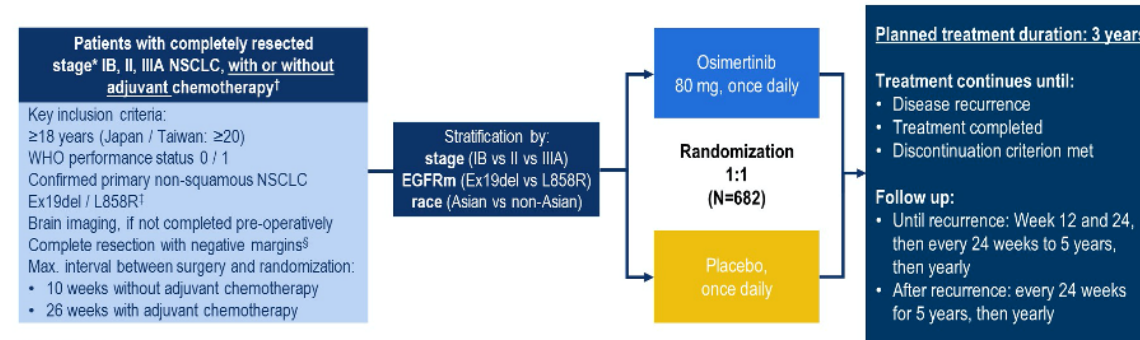
# Immunotherapy

- Several clinical trials are establishing the role of immunotherapy both in the neoadjuvant and adjuvant studies.
- Several early phase studies have shown an increase in the rate of complete responses when neoadjuvant immunotherapy is used.
- Nivo or Nivo/Ipi. NCT01822496
- Pembrolizumab. NCT03425643
- Durvalumab. NCT03800134.
- Atezolizumab. NCT03456063

# Special populations

- EGFR

## ADAURA Phase III double-blind study design

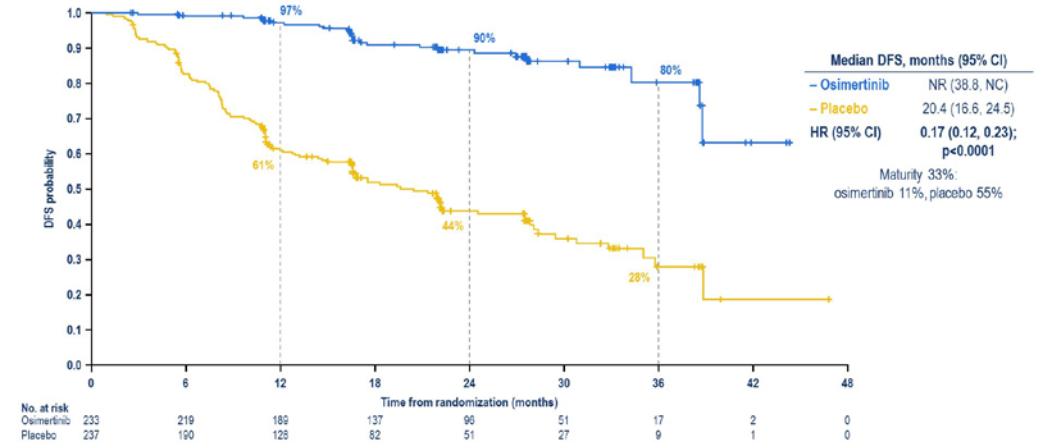


### Endpoints

- **Primary:** DFS, by investigator assessment, in stage II/IIIA patients; designed for superiority under the assumed DFS HR of 0.70
- **Secondary:** DFS in the overall population¶, DFS at 2, 3, 4, and 5 years, OS, safety, health-related quality of life

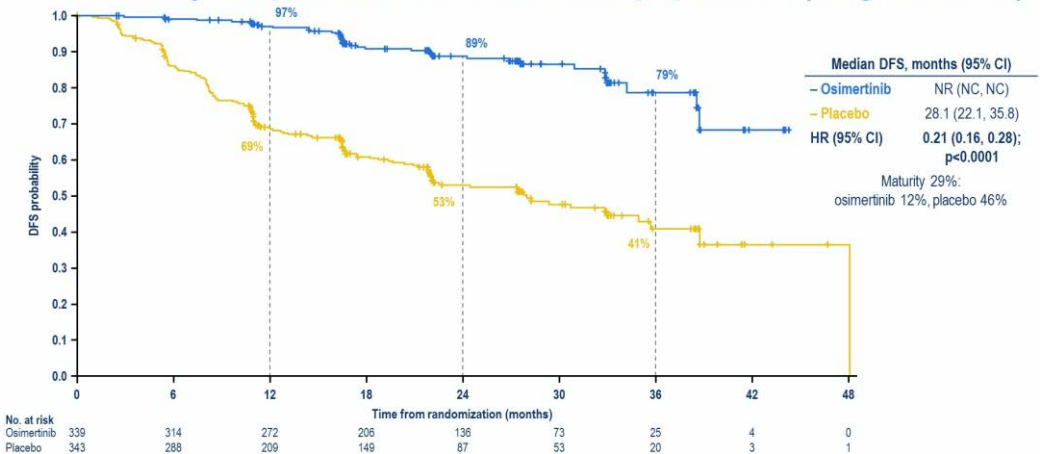
• Following IDMC recommendation, the study was unblinded early due to efficacy; here we report an unplanned interim analysis  
 • At the time of unblinding the study had completed enrollment and all patients were followed up for at least 1 year

## Primary endpoint: DFS in patients with stage II/IIIA disease



PRESENTED AT: 2020 ASCO ANNUAL MEETING #ASCO20 PRESENTED BY: Roy S. Herbst  
ADAURA data cut-off: January 17, 2020. Median follow-up: osimertinib 22.1 months; placebo 16.6 months. DFS by investigator assessment. TLE marks indicate censored data. No. not at risk: NR, not reported.

## Secondary endpoint: DFS in the overall population (stage IB/II/IIIA)



PRESENTED AT: 2020 ASCO ANNUAL MEETING #ASCO20 PRESENTED BY: Roy S. Herbst  
ADAURA data cut-off: January 17, 2020. Median follow-up: osimertinib 22.1 months; placebo 16.6 months. DFS by investigator assessment. TLE marks indicate censored data. No. not at risk: NR, not reported.

# So, now what?

- How will OS be affected, how many patients will cross-over?
- Currently designated as a breakthrough therapy by the FDA.
- Osimertinib is well tolerated and has an impressive DFS advantage.
- What is the role of chemotherapy? 😊
- In an ideal world (where consideration for costs doesn't exist) it would be a clear standard.
- Cost is \$1,200,000 for 3 years of therapy.
- Gulp. 🤢
- This evidence should not be extrapolated to other cancers that have mutations drivers.

# Conclusion regarding adjuvant therapy.

- Benefit is small but exists.
- Proper staging is essential.
- Patients should be managed by a multidisciplinary team.
- Cisplatin doublet is the preferred regimen for patients that are candidates.
- Immunotherapy and targeted therapy are likely to play a role in the near future.

# Locally advanced disease

- For patients with inoperable stage II disease, multistation stage IIIA or stage IIIB disease the standard of care is chemotherapy and radiation.
- Several clinical trials have established that concurrent therapy offers a survival advantage over sequential treatment. At the price of increase adverse events.
- Important for patients who have poor PS.

# Role of higher dose of radiation

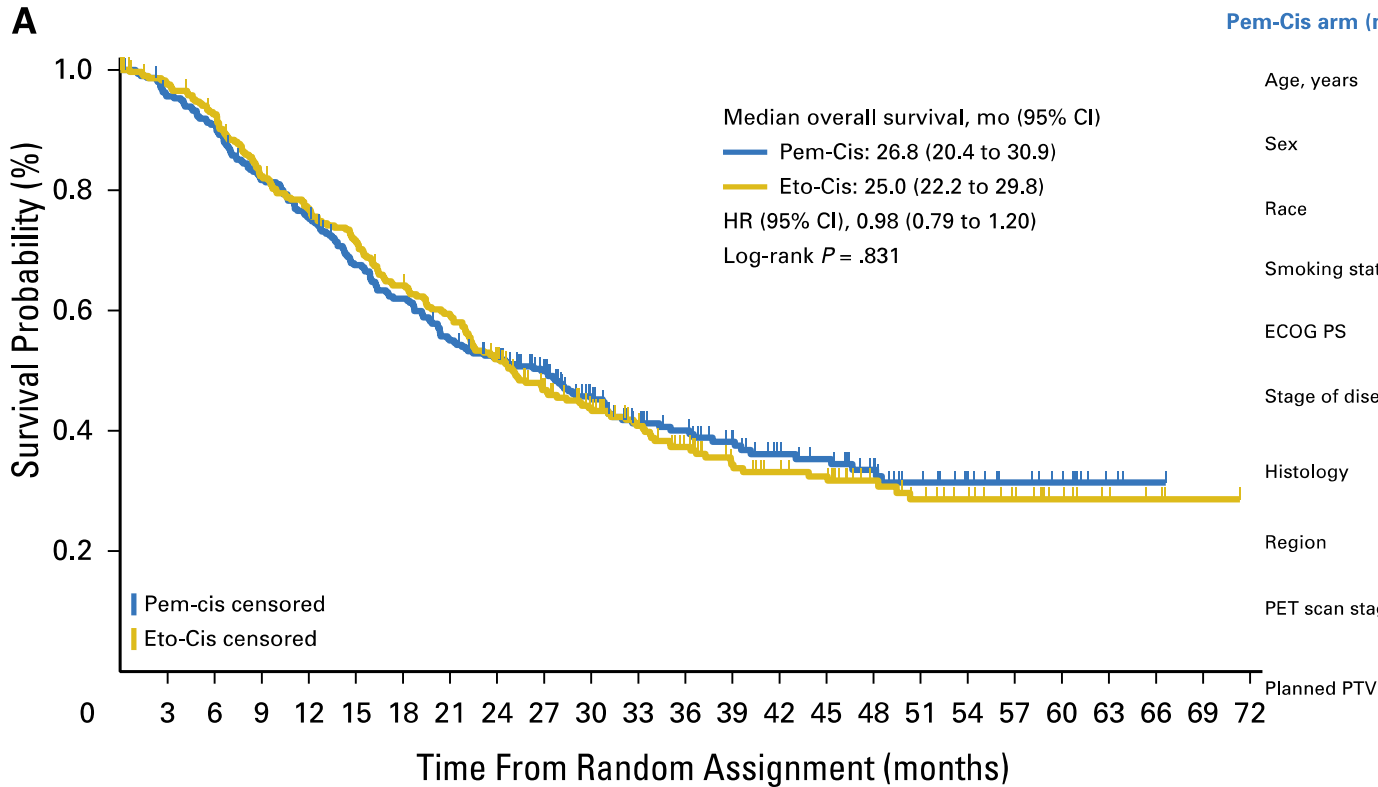
- Increased dose of radiation is not beneficial. RTOG 0617 randomized patients to either standard-dose (60 Gy/30 daily fractions) or high-dose RT (74 Gy/37 daily fractions).
- High-dose (74 Gy) RT was associated with a shorter survival and an increased risk of death compared with conventional-dose (60 Gy) RT (median, 20 versus 29 months; HR 1.38, 95% CI 1.09-1.76).



# Chemotherapy

- Platinum-doublet is the standard.
- Long debate as to what chemotherapy is the best partner along side with radiation.
- Before the era of immunotherapy:
- Cisplatin-etoposide likely equal to carboplatin and paclitaxel.
- More adverse events in the former and need for additional consolidation in the latter.
- Few randomized studies have actually been conducted.
- PROCLAIM. Compared EP vs cisplatin-pemetrexed in 598 patients

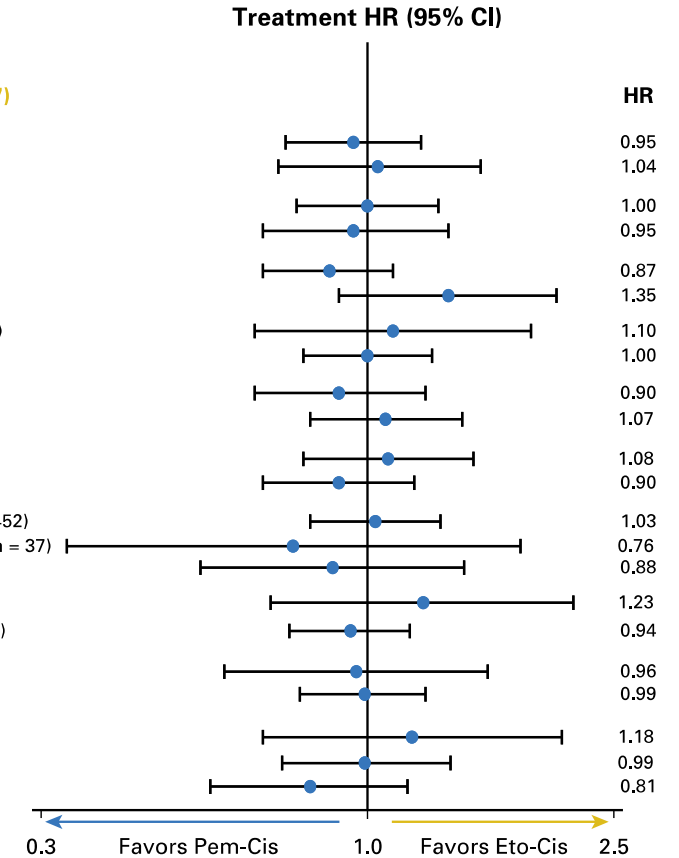
# PROCLAIM



**A**

Pem-Cis arm (n = 301); Eto-Cis arm (n = 297)

Age, years	< 65 (n = 426)	1.04
	≥ 65 (n = 172)	
Sex	Male (n = 355)	0.95
	Female (n = 243)	
Race	White (n = 421)	1.35
	Nonwhite (n = 177)	
Smoking status	Never-smoker (n = 108)	1.10
	Smoker (n = 453)	
ECOG PS	0 (n = 293)	1.07
	1 (n = 301)	
Stage of disease	IIIA (n = 282)	1.08
	IIIB (n = 313)	
Histology	Adenocarcinoma (n = 452)	0.88
	Large-cell carcinoma (n = 37)	
	Other (n = 107)	
Region	East Asia (n = 97)	1.23
	Non-East Asia (n = 501)	
PET scan staging	No (n = 107)	0.96
	Yes (n = 491)	
Planned PTV	< 350 mL (n = 104)	1.18
	350–700 mL (n = 283)	
	> 700 mL (n = 159)	



# Adverse events

- Patients in the pemetrexed arm received consolidation pemetrexed alone.

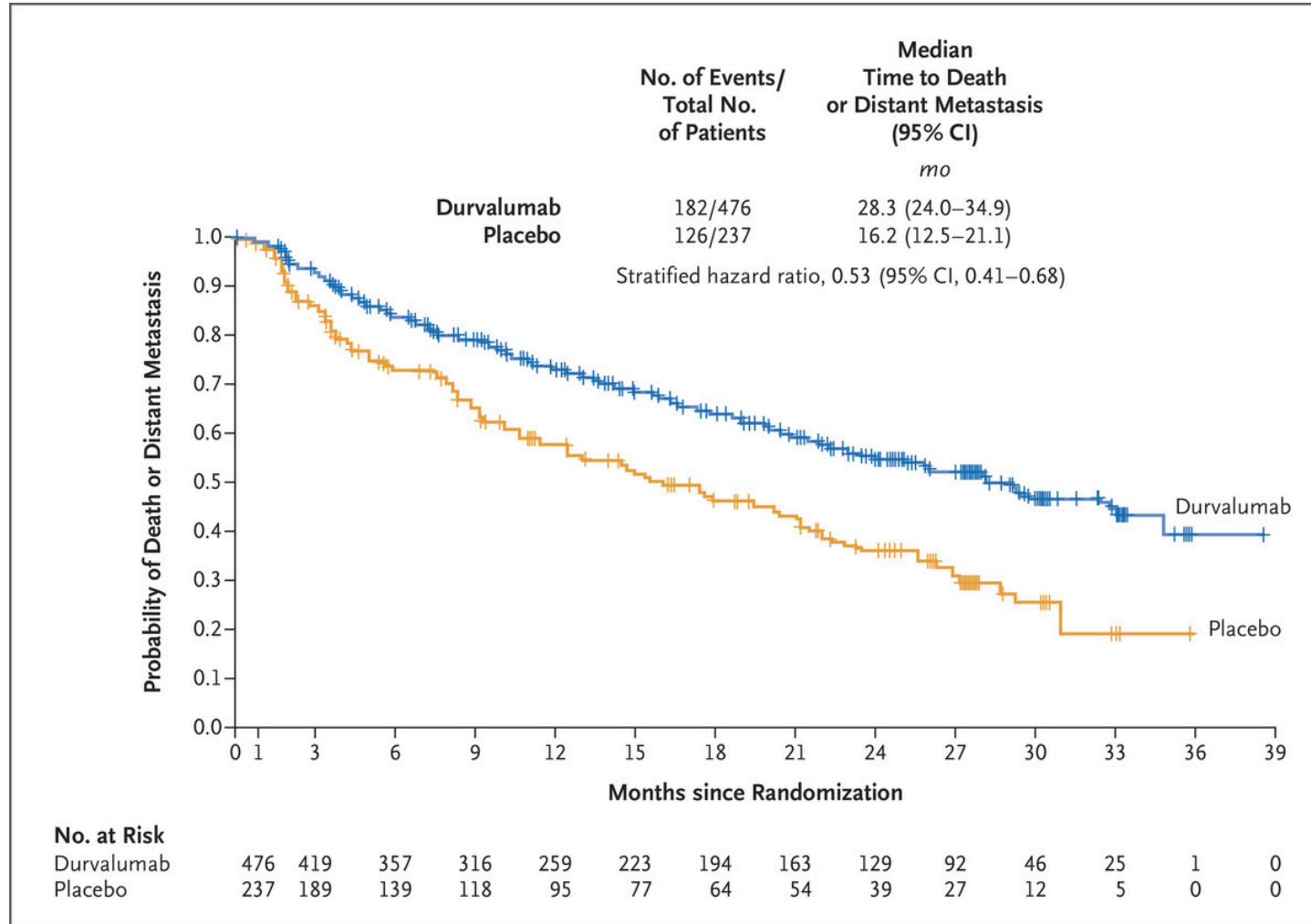
Senana, JCO, 2016

CTCAE Term	Overall Study			
	Arm A (n = 283)		Arm B (n = 272)	
	Any Gr*	Gr 3–4	Any Gr*	Gr 3–4
<b>≥ 1 CTCAE</b>	<b>281 (99.3)</b>	<b>181 (64.0)</b>	<b>269 (98.9)</b>	<b>209 (76.8)</b>
Laboratory				
Neutrophils/granulocytes (ANC/AGC)	121 (42.8)	69 (24.4)	<b>149 (54.8)</b>	<b>121 (44.5)</b>
Hemoglobin	114 (40.3)	25 (8.8)	124 (45.6)	37 (13.6)
Leukocytes (total WBC)	104 (36.7)	64 (22.6)	111 (40.8)	82 (30.1)
Lymphopenia	61 (21.6)	51 (18.0)	52 (19.1)	40 (14.7)
Platelets	55 (19.4)	19 (6.7)	<b>85 (31.3)</b>	29 (10.7)
Potassium, serum low	18 (6.4)	8 (2.8)	29 (10.7)	9 (3.3)
Nonlaboratory				
Nausea	<b>170 (60.1)</b>	10 (3.5)	137 (50.4)	11 (4.0)
Fatigue	154 (54.4)	17 (6.0)	146 (53.7)	13 (4.8)
Dysphagia	143 (50.5)	23 (8.1)	115 (42.3)	18 (6.6)
Esophagitis	136 (48.1)	44 (15.5)	138 (50.7)	56 (20.6)
Vomiting	110 (38.9)	11 (3.9)	90 (33.1)	17 (6.3)
Anorexia	91 (32.2)	11 (3.9)	79 (29.0)	10 (3.7)
Rash: dermatitis associated with radiation‡	77 (27.2)	0 (0.0)	64 (23.5)	4 (1.5)
Constipation	71 (25.1)	1 (0.4)	72 (26.5)	4 (1.5)
Mucositis/stomatitis‡	<b>62 (21.9)</b>	3 (1.1)	40 (14.7)	5 (1.8)
Pneumonitis	<b>48 (17.0)</b>	5 (1.8)	29 (10.7)	7 (2.6)
GI pain‡	<b>46 (16.3)</b>	5 (1.8)	23 (8.5)	2 (0.7)
Weight loss	46 (16.3)	3 (1.1)	45 (16.5)	1 (0.4)
Cough	46 (16.3)	1 (0.4)	33 (12.1)	1 (0.4)
Infection‡	42 (14.8)	8 (2.8)	33 (12.1)	7 (2.6)
Dyspnea	<b>42 (14.8)</b>	6 (2.1)	23 (8.5)	4 (1.5)
Diarrhea	38 (13.4)	3 (1.1)	40 (14.7)	5 (1.8)
Heartburn/dyspepsia	38 (13.4)	4 (1.4)	30 (11.0)	1 (0.4)
Neuropathy, sensory	37 (13.1)	0 (0.0)	<b>56 (20.6)</b>	0 (0.0)
Pulmonary/upper respiratory pain‡	35 (12.4)	6 (2.1)	34 (12.5)	5 (1.8)
Pain other than pulmonary or GI‡	33 (11.7)	1 (0.4)	<b>53 (19.5)</b>	4 (1.5)
Rash‡	33 (11.7)	0 (0.0)	27 (9.9)	1 (0.4)
Renal event‡	<b>30 (10.6)</b>	5 (1.8)	16 (5.9)	4 (1.5)
Fever (in the absence of neutropenia)	29 (10.2)	0 (0.0)	24 (8.8)	1 (0.4)
Dizziness	29 (10.2)	2 (0.7)	21 (7.7)	1 (0.4)
Dysgeusia	29 (10.2)	0 (0.0)	21 (7.7)	0 (0.0)
Alopecia	23 (8.1)	0 (0.0)	<b>98 (36.0)</b>	1 (0.4)
Febrile neutropenia	16 (5.7)	15 (5.3)	28 (10.3)	26 (9.6)

# Role of immunotherapy

- PACIFIC study was the most important game changer.
- 713 patients were randomized 2:1 to receive durvalumab after the concurrent phase of radiation.
- Chemotherapy partners was dealer's choice but no consolidation treatment was allowed.

# Updated Analysis of Time to Death or Distant Metastasis in the Intention-to-Treat Population.



# Adverse Events of Any Cause.

**Table 3.** Adverse Events of Any Cause.

Event	Durvalumab (N=475)		Placebo (N=234)	
	Any Grade*	Grade 3 or 4	Any Grade*	Grade 3 or 4
	<i>number of patients with event (percent)</i>			
Any event	460 (96.8)	142 (29.9)	222 (94.9)	61 (26.1)
Cough	168 (35.4)	2 (0.4)	59 (25.2)	1 (0.4)
Pneumonitis or radiation pneumonitis†	161 (33.9)	16 (3.4)	58 (24.8)	6 (2.6)
Fatigue	113 (23.8)	1 (0.2)	48 (20.5)	3 (1.3)
Dyspnea	106 (22.3)	7 (1.5)	56 (23.9)	6 (2.6)
Diarrhea	87 (18.3)	3 (0.6)	44 (18.8)	3 (1.3)
Pyrexia	70 (14.7)	1 (0.2)	21 (9.0)	0
Decreased appetite	68 (14.3)	1 (0.2)	30 (12.8)	2 (0.9)
Nausea	66 (13.9)	0	31 (13.2)	0
Pneumonia	62 (13.1)	21 (4.4)	18 (7.7)	9 (3.8)
Arthralgia	59 (12.4)	0	26 (11.1)	0
Pruritus	58 (12.2)	0	11 (4.7)	0
Rash	58 (12.2)	1 (0.2)	17 (7.3)	0
Upper respiratory tract infection	58 (12.2)	1 (0.2)	23 (9.8)	0
Constipation	56 (11.8)	1 (0.2)	20 (8.5)	0
Hypothyroidism	55 (11.6)	1 (0.2)	4 (1.7)	0
Headache	52 (10.9)	1 (0.2)	21 (9.0)	2 (0.9)
Asthenia	51 (10.7)	3 (0.6)	31 (13.2)	1 (0.4)
Back pain	50 (10.5)	1 (0.2)	27 (11.5)	1 (0.4)
Musculoskeletal pain	39 (8.2)	3 (0.6)	24 (10.3)	1 (0.4)
Anemia	36 (7.6)	14 (2.9)	25 (10.7)	8 (3.4)

Event†	Durvalumab (N=475)		Placebo (N=234)	
	Any Grade‡	Grade 3 or 4	Any Grade‡	Grade 3 or 4
	<i>number of patients with an event (percent)</i>			
Any event	115 (24.2)	16 (3.4)	19 (8.1)	6 (2.6)
Pneumonitis	51 (10.7)	8 (1.7)	16 (6.8)	6 (2.6)
Hypothyroidism	44 (9.3)	1 (0.2)	3 (1.3)	0
Hyperthyroidism	13 (2.7)	0	0	0
Rash	5 (1.1)	2 (0.4)	1 (0.4)	0
Dermatitis	5 (1.1)	0	0	0

# Subgroup analysis.

Subgroup	Durvalumab <i>no. of events / no. of patients (%)</i>	Placebo <i>no. of events / no. of patients (%)</i>	Unstratified Hazard Ratio for Death (95% CI)
All patients	183/476 (38.4)	116/237 (48.9)	0.68 (0.54–0.86)
<b>Sex</b>			
Male	141/334 (42.2)	80/186 (48.2)	0.78 (0.59–1.03)
Female	42/142 (29.6)	36/71 (50.7)	0.46 (0.30–0.73)
<b>Age at randomization</b>			
<65 years	89/261 (34.1)	58/130 (44.6)	0.62 (0.44–0.86)
≥65 years	94/215 (43.7)	58/107 (54.2)	0.76 (0.55–1.06)

## Type of prior chemotherapy

Type of prior chemotherapy	Durvalumab <i>no. of events / no. of patients (%)</i>	Placebo <i>no. of events / no. of patients (%)</i>	Unstratified Hazard Ratio for Death (95% CI)
Gemcitabine-based	4/9 (44.4)	2/5 (40.0)	–
Non-gemcitabine-based	179/467 (38.3)	114/232 (49.1)	0.67 (0.53–0.85)
Cisplatin	94/266 (35.3)	64/129 (49.6)	0.59 (0.43–0.81)
Carboplatin	84/199 (42.2)	47/102 (46.1)	0.86 (0.60–1.23)
Cisplatin and carboplatin	3/8 (37.5)	4/5 (80.0)	–

RACE	Durvalumab <i>no. of events / no. of patients (%)</i>	Placebo <i>no. of events / no. of patients (%)</i>	Unstratified Hazard Ratio for Death (95% CI)
White	141/337 (41.8)	82/157 (52.2)	0.71 (0.54–0.93)
Black/African American	4/15 (26.7)	3/9 (33.3)	–

## Last radiation to randomization

Last radiation to randomization	Durvalumab <i>no. of events / no. of patients (%)</i>	Placebo <i>no. of events / no. of patients (%)</i>	Unstratified Hazard Ratio for Death (95% CI)
<14 days	39/120 (32.5)	35/62 (56.5)	0.42 (0.27–0.67)
≥14 days	144/356 (40.4)	81/175 (46.3)	0.81 (0.62–1.06)

## WHO performance status

WHO performance status	Durvalumab <i>no. of events / no. of patients (%)</i>	Placebo <i>no. of events / no. of patients (%)</i>	Unstratified Hazard Ratio for Death (95% CI)
Positive	10/23 (43.5)	9/14 (64.3)	–
Negative	117/317 (36.9)	80/165 (48.5)	0.64 (0.48–0.86)
Unknown	56/130 (43.1)	30/58 (51.7)	0.77 (0.49–1.20)

### Type of prior chemotherapy

Type of prior chemotherapy	Durvalumab <i>no. of events / no. of patients (%)</i>	Placebo <i>no. of events / no. of patients (%)</i>	Unstratified Hazard Ratio for Death (95% CI)
Gemcitabine-based	4/9 (44.4)	2/5 (40.0)	–
Non-gemcitabine-based	179/467 (38.3)	114/232 (49.1)	0.67 (0.53–0.85)
Cisplatin	94/266 (35.3)	64/129 (49.6)	0.59 (0.43–0.81)
Carboplatin	84/199 (42.2)	47/102 (46.1)	0.86 (0.60–1.23)
Cisplatin and carboplatin	3/8 (37.5)	4/5 (80.0)	–

### Last radiation to randomization

Last radiation to randomization	Durvalumab <i>no. of events / no. of patients (%)</i>	Placebo <i>no. of events / no. of patients (%)</i>	Unstratified Hazard Ratio for Death (95% CI)
<14 days	39/120 (32.5)	35/62 (56.5)	0.42 (0.27–0.67)
≥14 days	144/356 (40.4)	81/175 (46.3)	0.81 (0.62–1.06)

### WHO performance status

WHO performance status	Durvalumab <i>no. of events / no. of patients (%)</i>	Placebo <i>no. of events / no. of patients (%)</i>	Unstratified Hazard Ratio for Death (95% CI)
0	87/234 (37.2)	49/114 (43.0)	0.82 (0.57–1.16)
1*	96/242 (39.7)	67/123 (54.5)	0.58 (0.42–0.79)

### Region

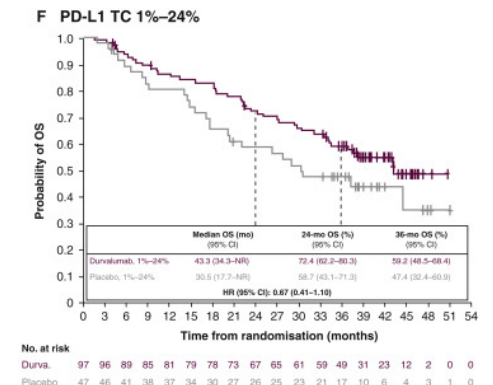
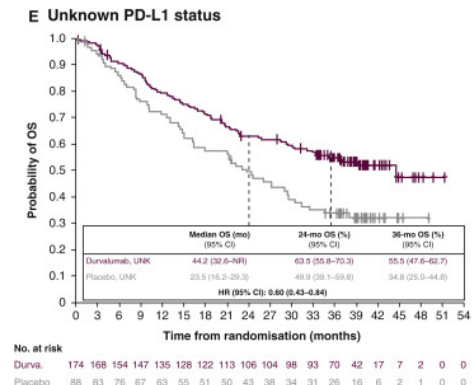
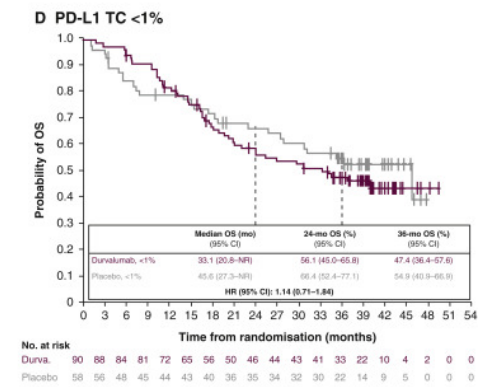
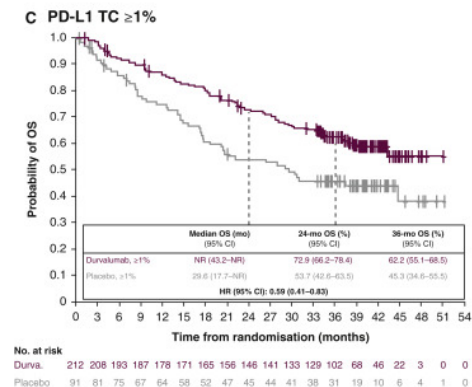
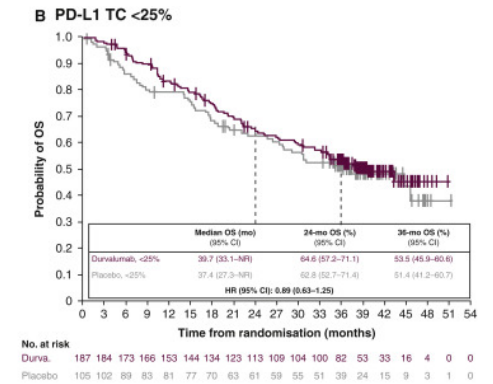
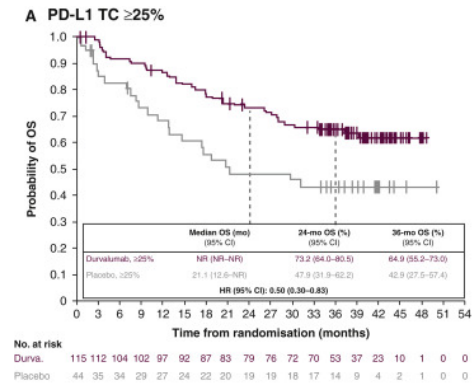
Region	Durvalumab <i>no. of events / no. of patients (%)</i>	Placebo <i>no. of events / no. of patients (%)</i>	Unstratified Hazard Ratio for Death (95% CI)
Asia	35/109 (32.1)	27/68 (39.7)	0.67 (0.41–1.11)
Europe	94/217 (43.3)	48/102 (47.1)	0.86 (0.61–1.21)
North America and South America	54/150 (36.0)	41/67 (61.2)	0.46 (0.30–0.69)



\*Includes 2 patients in the durvalumab group and 1 patient in the placebo group with missing data.



# PDL1 status.

- OS favored durvalumab, versus placebo, across all PD-L1 subgroups but one, patients with TC <1% (HR, 1.36; 95% CI, 0.79–2.34).
- However this is not a proper endpoint and was done post-hoc.





# Special populations.

EGFR mutation				
Positive	10/29 (34.5)	6/14 (42.9)		—
Negative	117/317 (36.9)	80/165 (48.5)		0.64 (0.48–0.86)
Unknown	56/130 (43.1)	30/58 (51.7)		0.77 (0.49–1.20)

- Patients with driver mutations.
- Really controversial area.
- Do these patients benefit from immunotherapy?
- Does prior immunotherapy put patients at risk for pneumonitis if a TKI is subsequently needed?
- Is there any role for using targeted therapy in this setting?

# New trials are being done.

- NCT01822496. An NRG trial was designed that used crizotinib and erlotinib before chemoradiation.
- NCT03521154. LAURA study. Osimertinib after chemoradiation.
- Patients with less common drivers. ROS1, BRAF, MET. Data free zone.

# Post treatment surveillance.

- No consensus as to what is ideal.
- Could be tailored to what is received as the risk of recurrence.
- Our groups typical schedule is q3 months visit with labs and PE and imaging done q 6 months during the first 2 years.



[rafaelsd@uw.edu](mailto:rafaelsd@uw.edu)