

# Adjuvant Breast Cancer

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# Disclosures

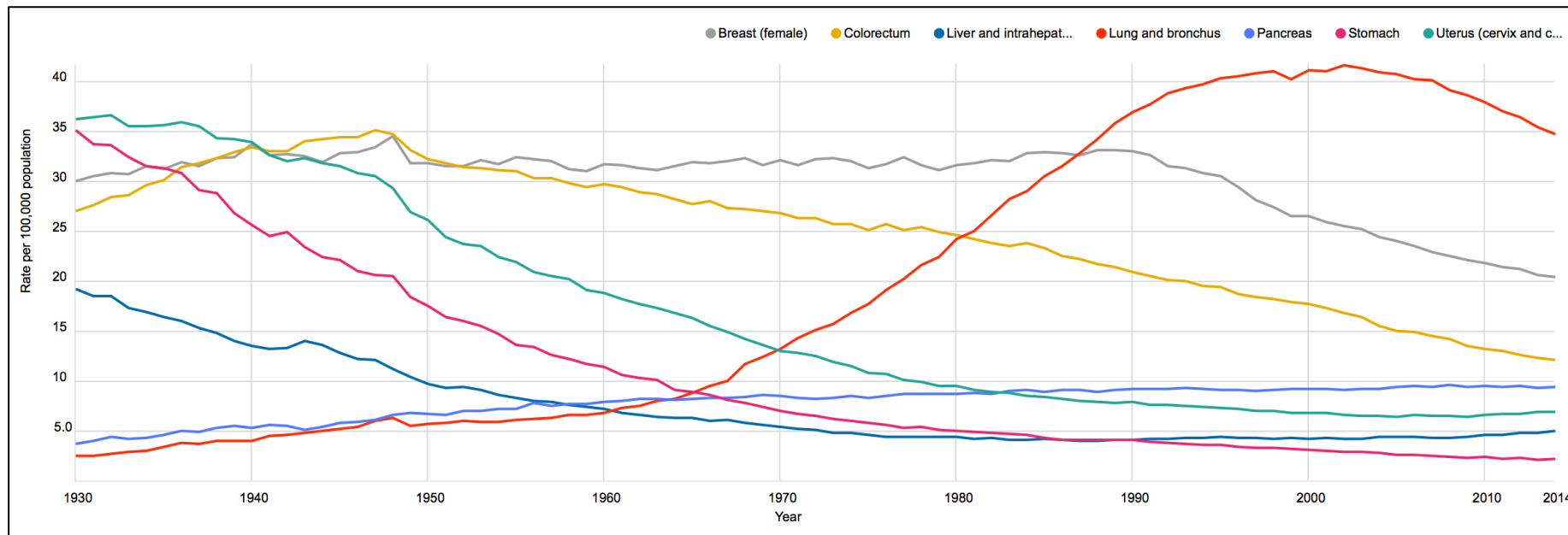
- Financial Interests:
  - None

# Overview

- Breast Cancer epidemiology
- Breast Cancer local therapy
- ER/PR+ Breast Cancer
  - Adjuvant Anti-Estrogen Therapy
  - Indications for Chemotherapy
- HER2+ Breast Cancer
  - Adjuvant Trastuzumab
  - Neoadjuvant Pertuzumab
- Adjuvant Chemotherapy

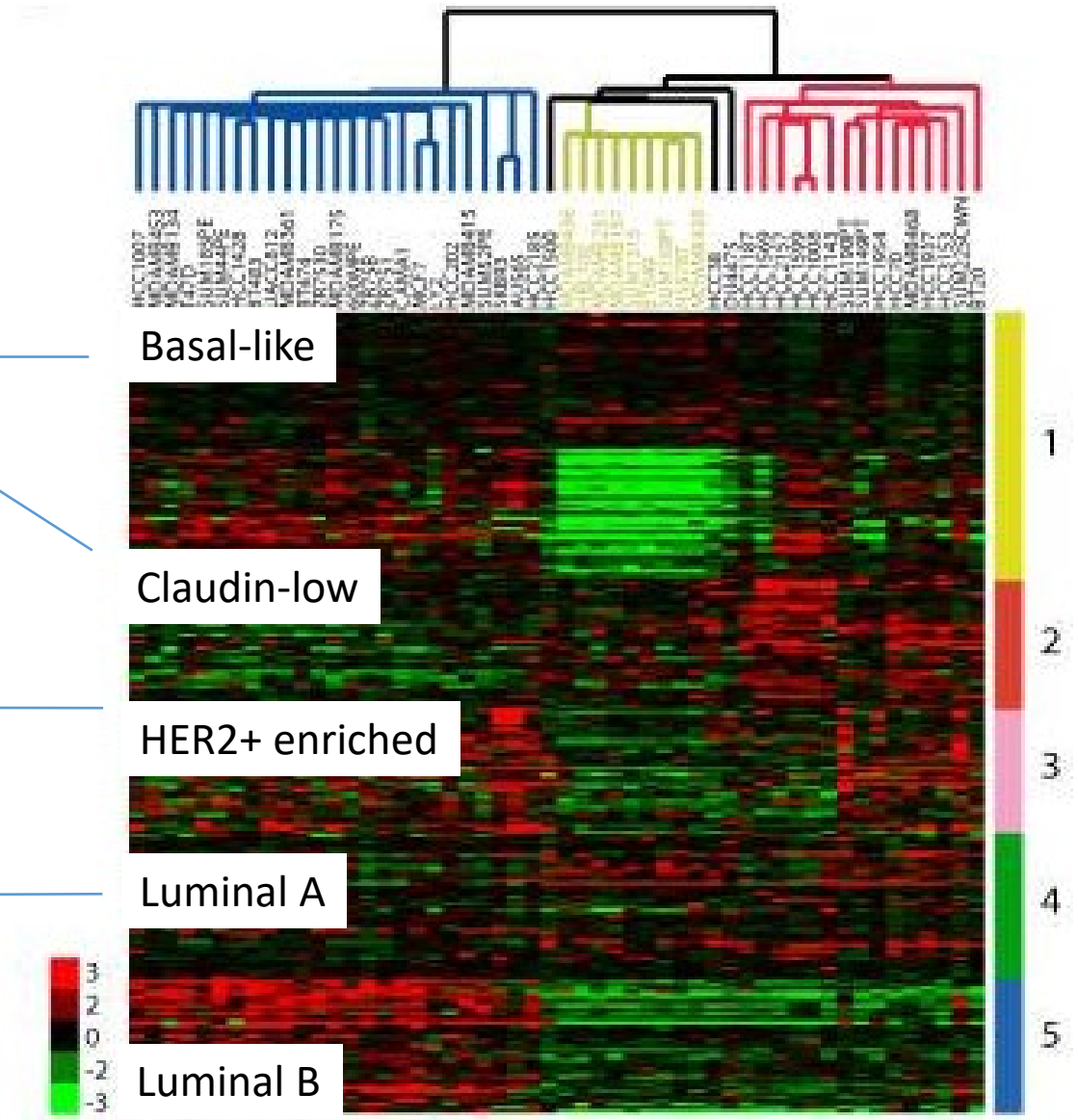
# Breast Cancer - Epidemiology

- Most common cancer in women and 2<sup>nd</sup> leading cause of cancer death in the US
- It is estimated that 268,600 individuals were diagnosed and 41,760 died of breast cancer in 2019
- 5 year Overall Survival 91%

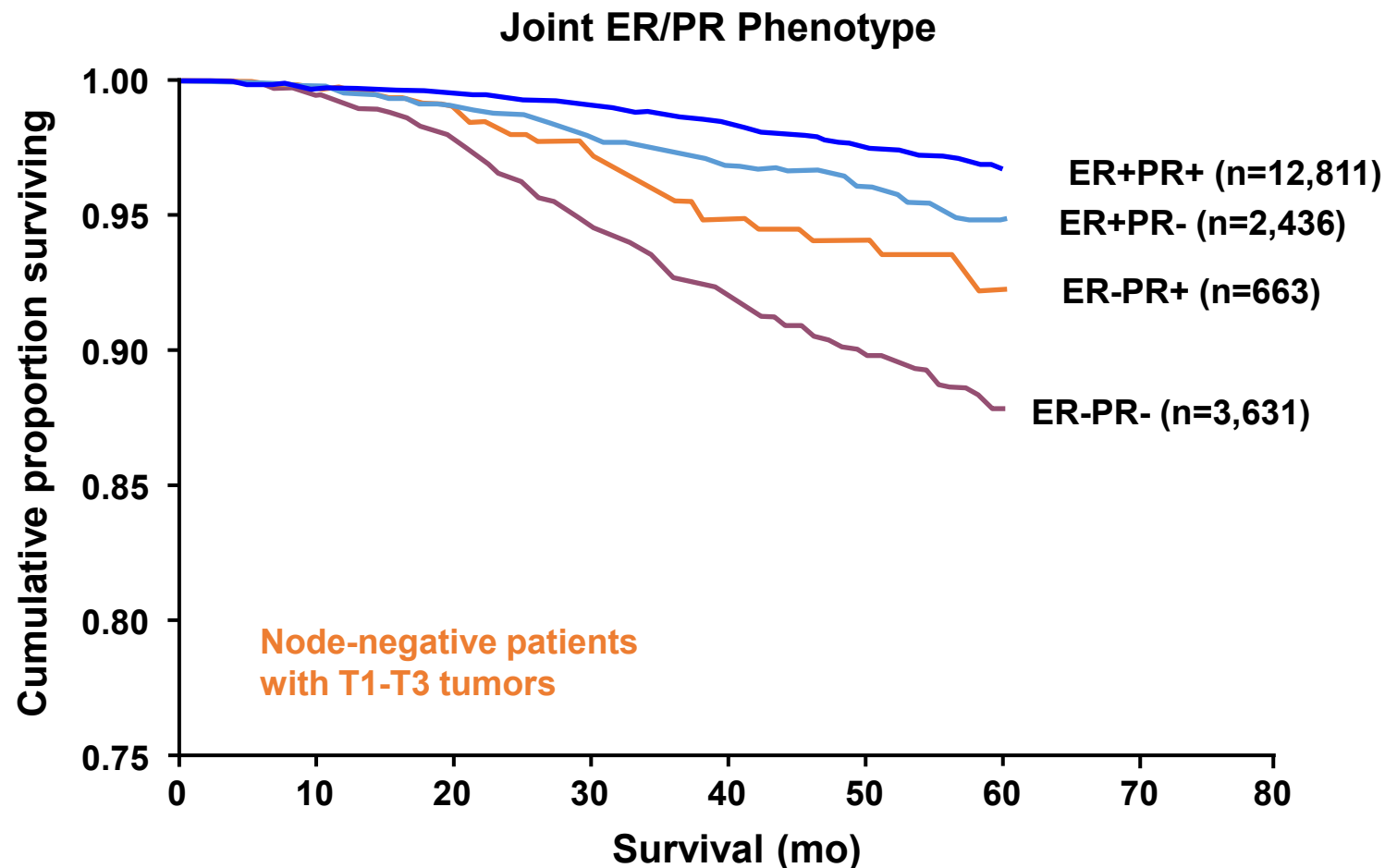


# Breast Cancer Subtypes

- Triple Negative Breast Cancer (TNBC)
  - Estrogen Receptor (ER), Progesterone receptor (PR), and HER2 negative
  - Tx: Chemotherapy alone
- HER2 Positive Breast Cancer
  - HER2 overexpressing or amplified
  - Tx: Chemotherapy + HER2 therapy
- Hormone Receptor Positive BCa
  - Estrogen Receptor (ER) and / or Progesterone receptor (PR) positive
  - Tx: Anti-estrogen, Chemotherapy



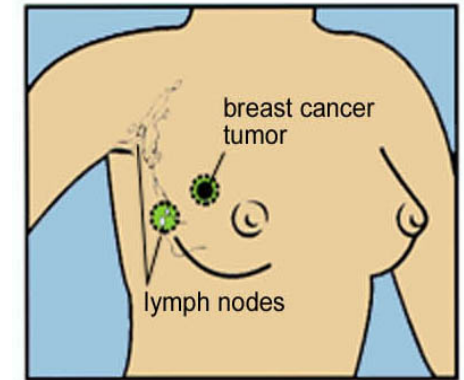
# Breast Cancer–Specific Survival by Joint Hormone Receptor Expression (SEER Data)



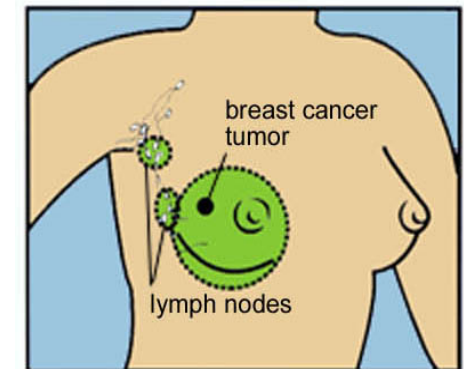
# Breast Cancer – Local Therapy

- Lumpectomy + Radiation (BCT) vs Mod Rad Mastectomy
  - 6 randomized trials
  - No survival difference
- Contraindications to breast conservation therapy (BCT)
  - Prior radiation
  - Multifocal disease
  - Ongoing pregnancy
  - Poor cosmetic outcome
  - Connective tissue disease involving the skin

LUMPECTOMY



MODIFIED RADICAL MASTECTOMY



# Breast Cancer – Local Therapy

- Sentinel lymph node localization or Axillary LN dissection (AXLND)
  - Randomized trials confirmed utility of sentinel LN localization
- Is completion axillary LN dissection required for +SLN?
- ACOSOG Z0011 (Z11) Trial
  - Enrolled pts with clinically node negative w T1/T2 primary but <3+ LNs on SLN localization
  - Randomized to: Completion AXLND + XRT vs XRT alone
  - Results: No difference in DFS or OS at 10 yrs. follow-up



# Biomarker testing

- ER and PR testing

- Up to 20% inaccuracy
- Determine on all invasive and recurrent cancers
- Positive >1% positive tumor nuclei

- HER2

- Up to 20% inaccuracy
- Determine on all invasive cancers
- Positive if IHC 3+ or FISH amplified
- ASCO/CAP 2018 guidelines

# Adjuvant Anti-Estrogen Therapy ER/PR+ Breast Cancer



# Adjuvant Therapy – ER/PR+ disease

- Foundation of adjuvant therapy – anti-estrogen therapy
- Chemotherapy is not need in all cases
- Chemotherapy is always needed for:
  - T4 tumors
  - >3+ axillary LNs
  - High Oncotype RS (>25)
  - High Risk Mammprint (Clinically High Risk)
  - Inflammatory breast cancer

# How Effective is Adjuvant Tamoxifen?

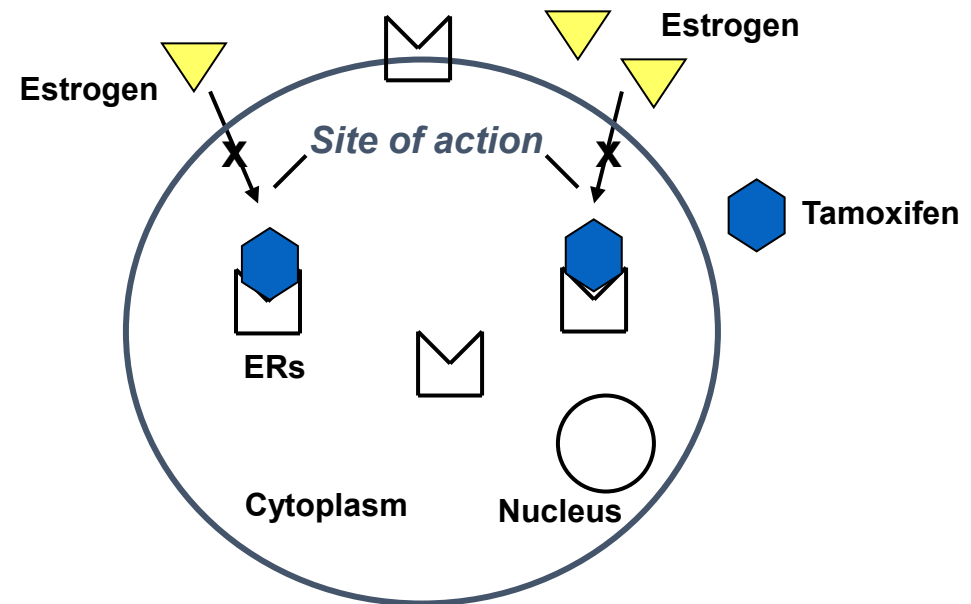
ER/PR+ Breast Cancer

# Tamoxifen

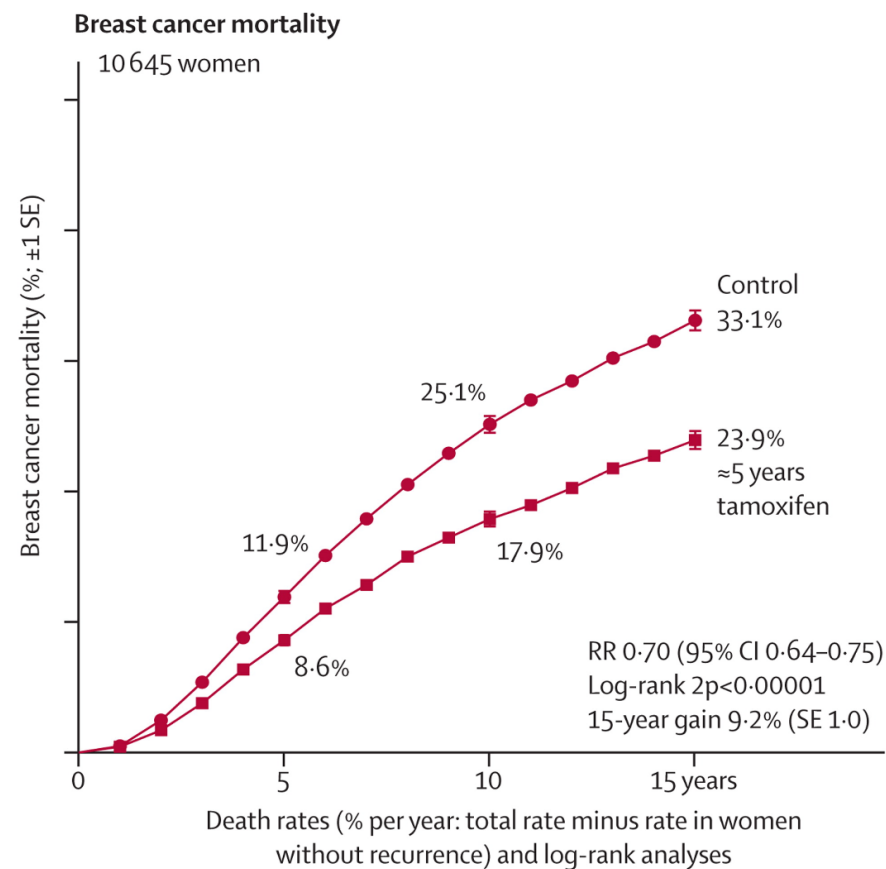
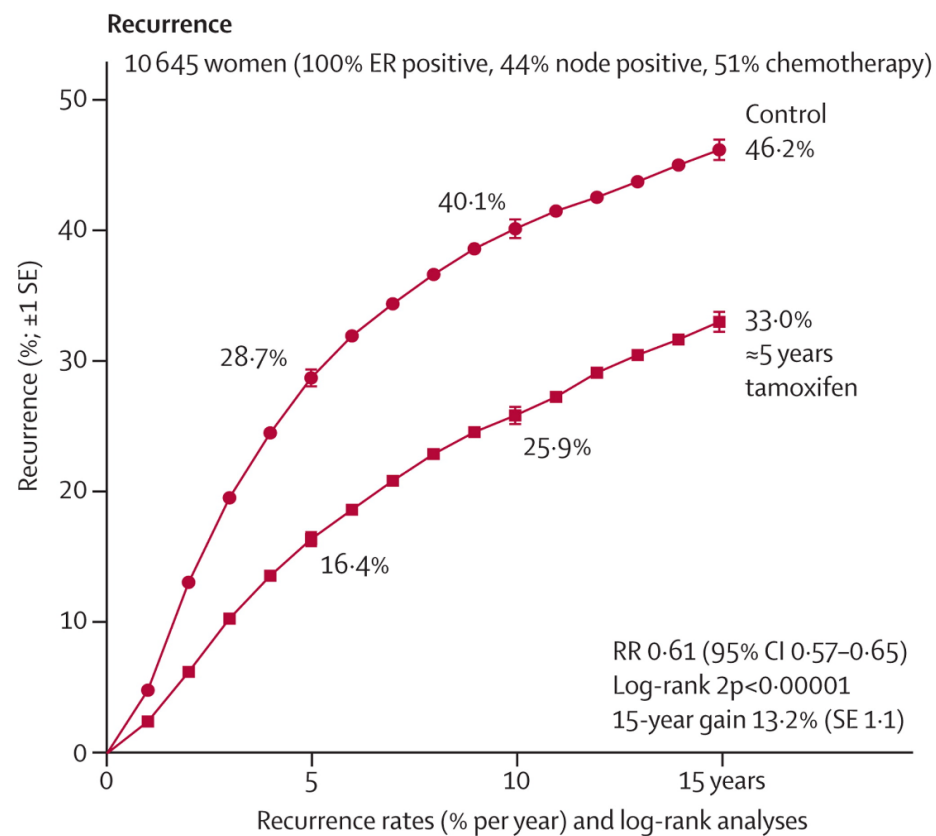
- Selective estrogen receptor modulator (SERM)
  - Agonist: bone, liver, uterus
  - Antagonist: breast, CNS
- Effective in pre- and post-menopausal states
- Side effects:
  - Hot flashes
  - Mood alterations
  - Hair Thinning
  - Endometrial carcinoma (rare)
  - DVT/PE (rare)

## Estrogen Receptor Antagonists

- Compete with estrogen binding to receptor<sup>1</sup>



# Benefits of Adjuvant Tamoxifen (5 yrs., ER+)



# Post-menopausal women: Are Aromatase Inhibitors (AIs) Better Than Tamoxifen?

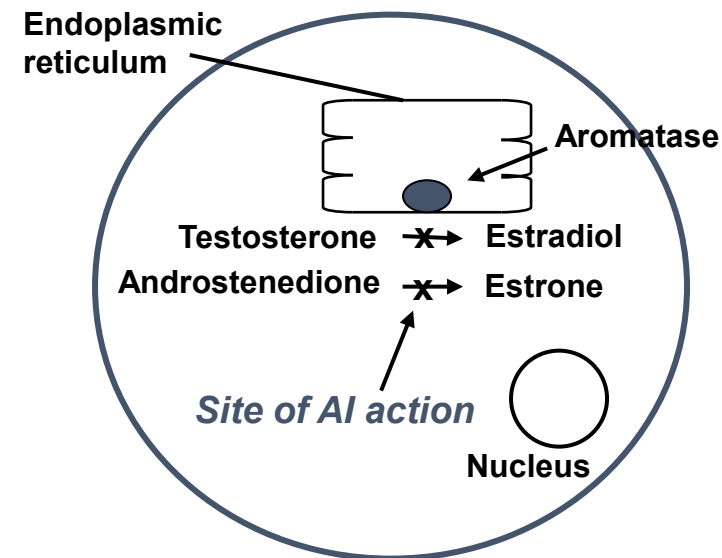
ER/PR+ Breast Cancer

# Aromatase inhibitor (AI)

- Blocks aromatase, that converts androgens to estrogens
  - Aromatase is the main source of estrogen in post-menopausal women
- Side effects that of estrogen loss:
  - Hot flashes
  - Mood disturbances
  - Hair thinning
  - Accelerated loss of bone mineral density
  - Musculoskeletal pain and stiffness

## Aromatase Inhibitors

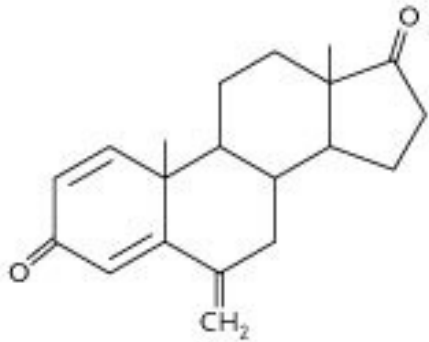
- Inhibit synthesis of estrogens<sup>1,2</sup>





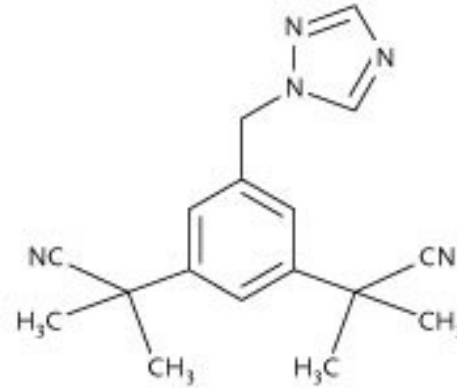
# Aromatase Inhibitors

## Steroidal Inactivator:

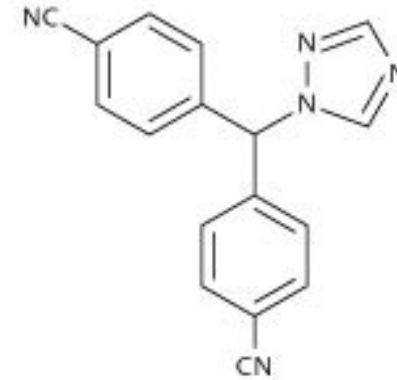


Exemestane  
(third generation)

## Nonsteroidal Inhibitors:



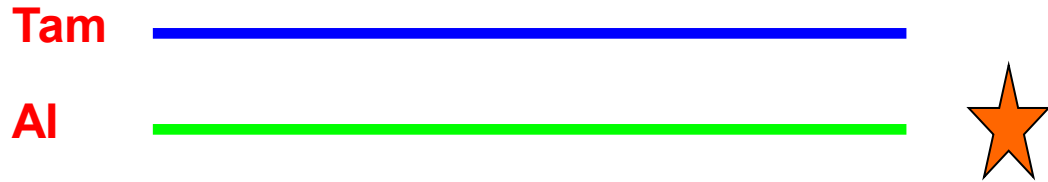
Anastrozole  
(third generation)



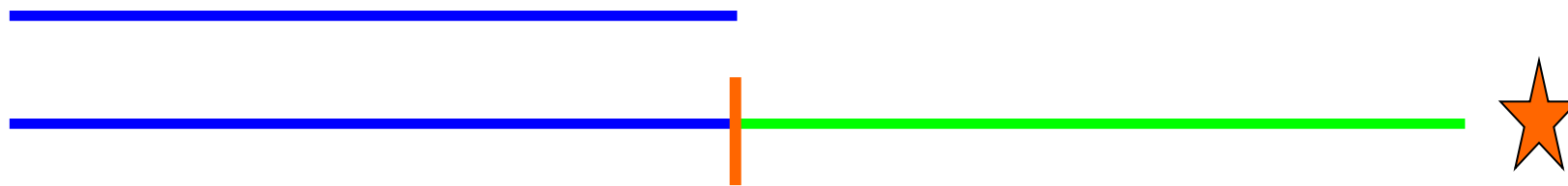
Letrozole  
(third generation)

# Adjuvant Hormonal Therapy in ER+ Postmenopausal Breast Cancer

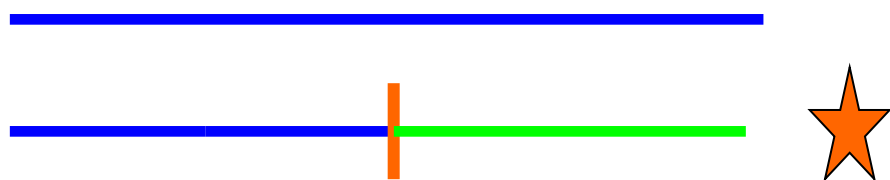
ATAC 2001: Tamoxifen *vs.* Anastrozole



MA-17 2003: Tamoxifen +/- Letrozole

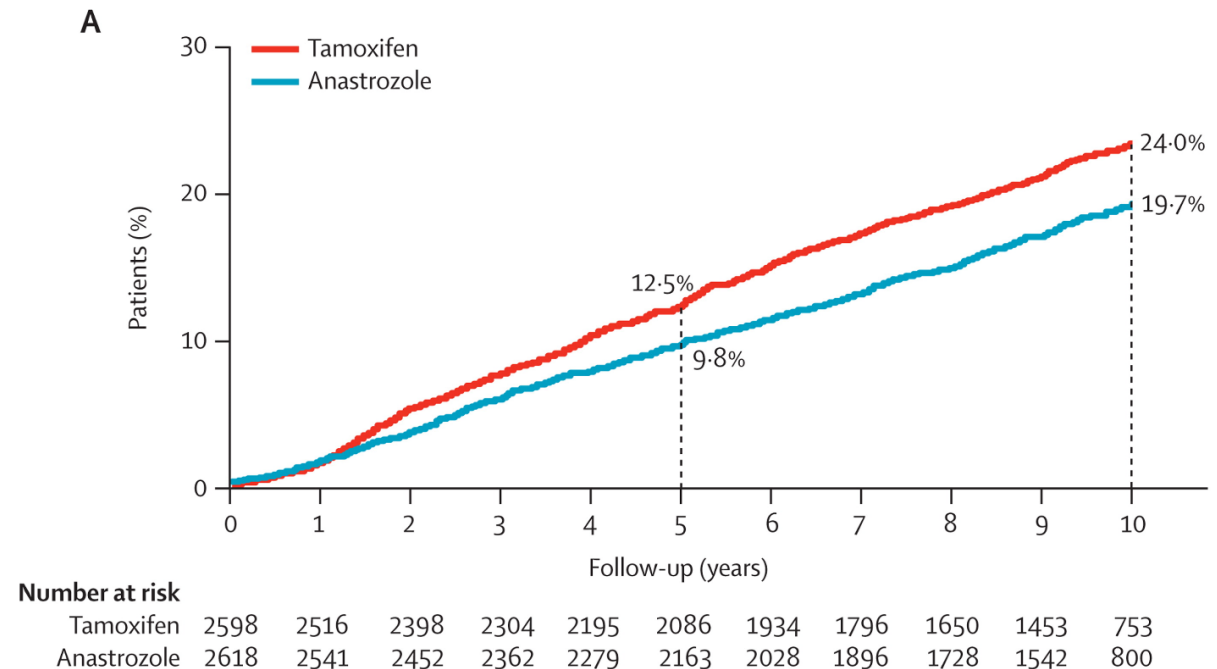


IES 2004: Tamoxifen *vs.* Switch to Exemestane

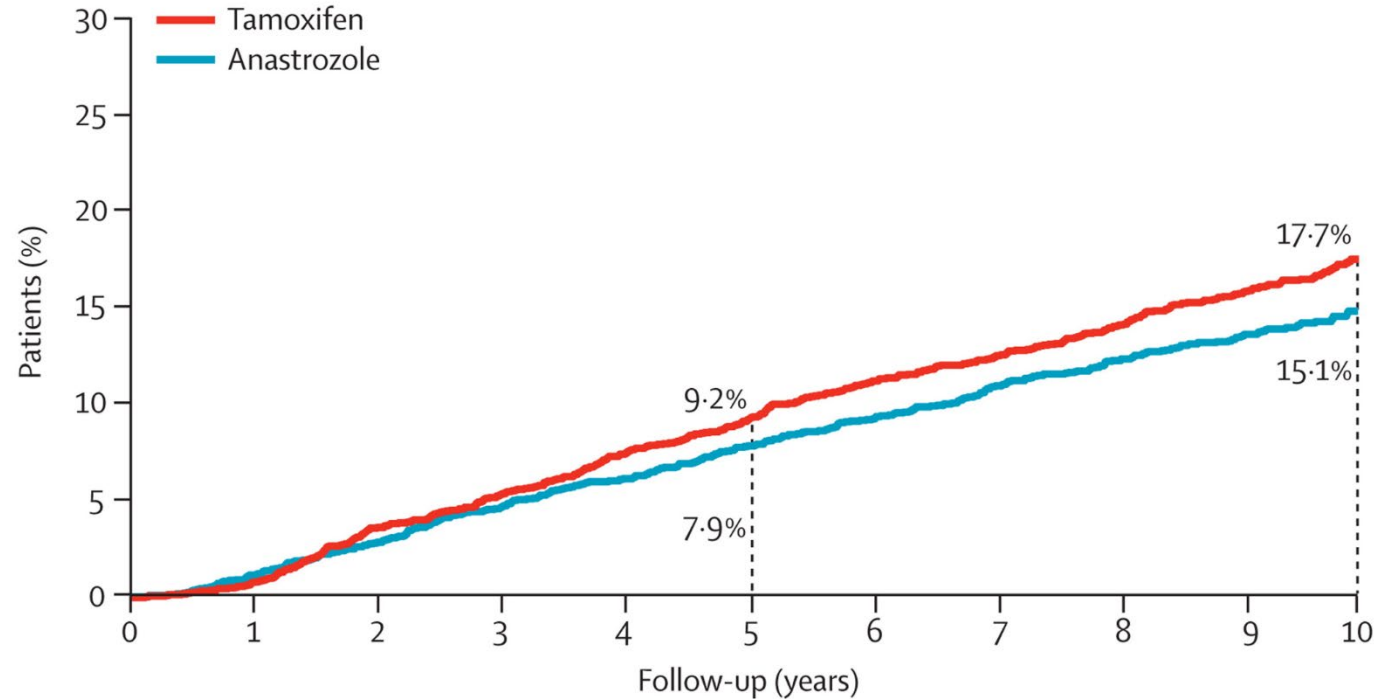


# ATAC: Adjuvant Anastrozole vs Tamoxifen

- 10 year follow-up of Anastrozole vs Tamoxifen in post-menopausal women
- Anastrozole significantly improved:
  - Time to recurrence
  - Disease-free survival
  - Time to distant recurrence



# ATAC: Time to distant recurrence



## Number at risk

Tamoxifen	2598	2533	2440	2363	2263	2151	2024	1900	1750	1556	821
Anastrozole	2618	2551	2470	2393	2320	2201	2075	1948	1775	1606	855

# Adjuvant Aromatase Inhibitor Trials

Trial	Time Since Random Assignment											
	-5	-4	-3	-2	-1	0	1	2	3	4	5	
<b>Primary Adjuvant</b>												
<b>ATAC<sup>111</sup></b> 60-month strategy; median follow-up 100 mos Postmenopausal, HR (+)												→ TAM → ANA → TAM + ANA
<b>BIG 1-98<sup>99</sup></b> 60-month strategy Median follow-up 76 mos (monotx), 71 mos (switching) Postmenopausal, HR (+)												→ LET → TAM → LET (2 yrs), TAM (3 yrs) → TAM (2 yrs), LET (3 yrs)
<b>ABCSG-12<sup>22</sup></b> 36 month strategy Median follow-up 47.8 mos Premenopausal, ER and/ or PR (+)												→ TAM + GOS → ANA + GOS → TAM + GOS + ZOL → ANA + GOS + ZOL
<b>Sequencing</b>												
<b>ABCSG-8<sup>99</sup></b> Primary random assignment 60 month strategy; median follow-up 72 mos Postmenopausal, ER(+)/PR(+), no chemo												→ TAM → TAM (2 yrs), ANA (3 yrs)
<b>ITA<sup>112</sup></b> Randomly assigned to 2-3 yrs tx (5 yrs total) Median follow-up 64 mos Postmenopausal, ER(+), Node (+)					TAM (2-3 yrs) →							→ TAM → ANA
<b>TEAM<sup>31</sup></b> Primary random assignment 60 month strategy; Follow-up 61 mos Postmenopausal, ER and/or PR (+)												→ TAM (2½ yrs), EXE (2½ yrs) → EXE
<b>IES<sup>113</sup></b> Randomly assigned to 2-3 yrs tx (5 yrs total) Median follow-up 55.7 mos Postmenopausal, ER(+) or unknown					TAM (2-3 yrs) →							→ TAM → EXE
<b>NSAS BC-03<sup>8</sup></b> Randomly assigned to 1-4 yrs tx (5 yrs total) Median follow-up 42 mos Postmenopausal					TAM (1-4 yrs) →							→ TAM → ANA
<b>ARNO 95<sup>114</sup></b> Randomly assigned to 3 yrs tx (5 yrs total) Median follow-up 30.1 mos Postmenopausal, hormone responsive					TAM (2 yrs) →							→ TAM → ANA
<b>Extended Adjuvant</b>												
<b>MA.17<sup>115</sup></b> 5 yrs of TAM, randomly assigned to 60 mos of tx Median follow-up 64 mos Postmenopausal, HR(+)					TAM →							→ LET → Placebo
<b>ABCSG-6a<sup>116</sup></b> 5 yrs TAM, randomly assigned to 36 mos of tx Median follow-up 62.3 mos Postmenopausal, endocrine responsive					TAM →							→ ANA → Placebo
<b>NSABP B-33<sup>117</sup></b> 5 yrs of TAM, randomly assigned to 60 mos of tx Median follow-up 30 mos Postmenopausal, ER or PR (+)					TAM →							→ EXE → Placebo

Absolute Gain in DFS of AI vs Tam at 3-6 yrs.

AI vs Tamoxifen Primary	2-4%
Tam -> AI Sequential	3-5%
Tam x 5 yrs. -> AI Extended	6%

# Extended Adjuvant Anti-Estrogen Therapy

ER/PR+ Breast Cancer

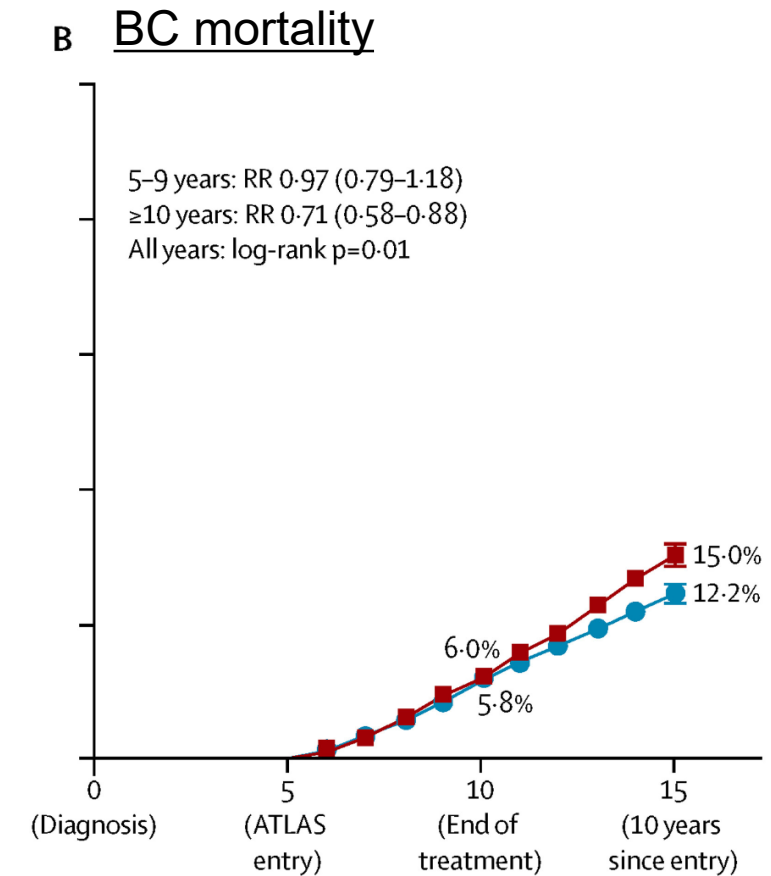
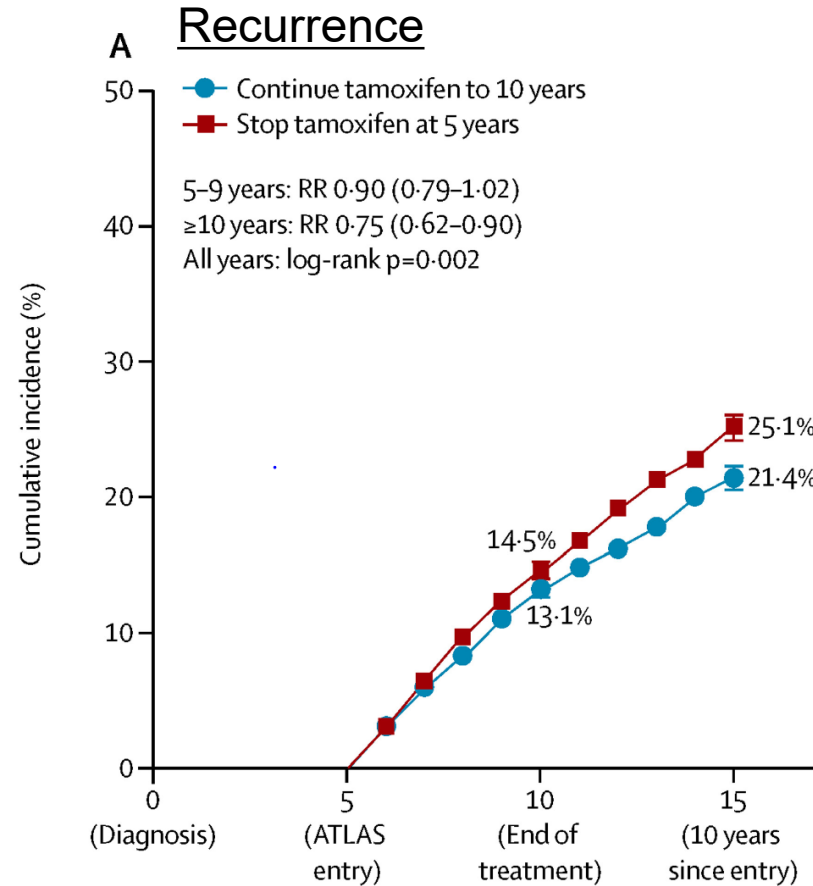
# Benefit of Tamoxifen by Period of Follow-up

	Events/woman-years		Ratio of annual event rates (SE)
	Tamoxifen	Control	
Years 0-1	3.2%	6.5%	0.47 (0.05)
Years 2-4	3.6%	5.9%	0.58 (0.05)
Years 5-9	2.6%	3.5%	0.69 (0.06)
Years 10+	2.6%	2.5%	1.01 (0.11)

The benefit of 5 years of tamoxifen extends to 10 years, after which recurrence rates are similar.

# ATLAS: 5 vs 10 yrs. of Tamoxifen

- N=6,846 who had received 5 yrs. of Tamoxifen
- Randomized to:
  - Additional Tam x 5 yrs.
  - Stopping Tam



- reduced BC mortality (331 vs 397 deaths, p=0.01)
- reduced overall mortality (639 vs 722 deaths, p=0.01)



# ATLAS: Adverse Events

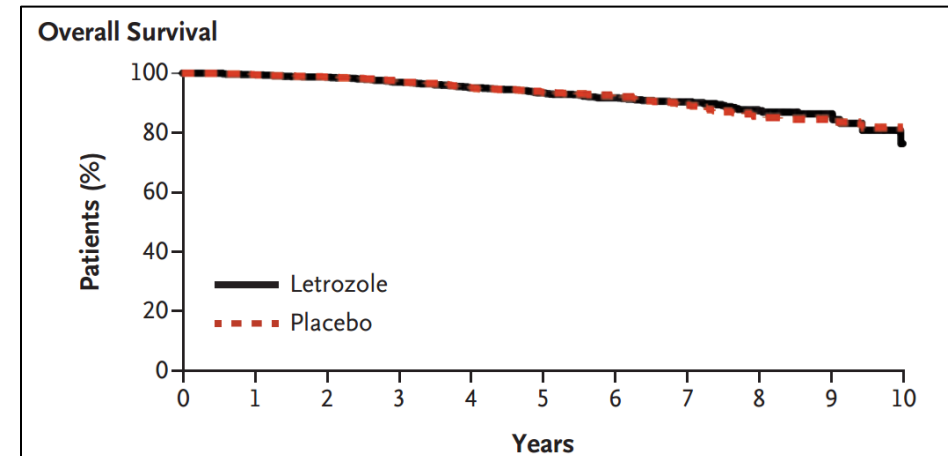
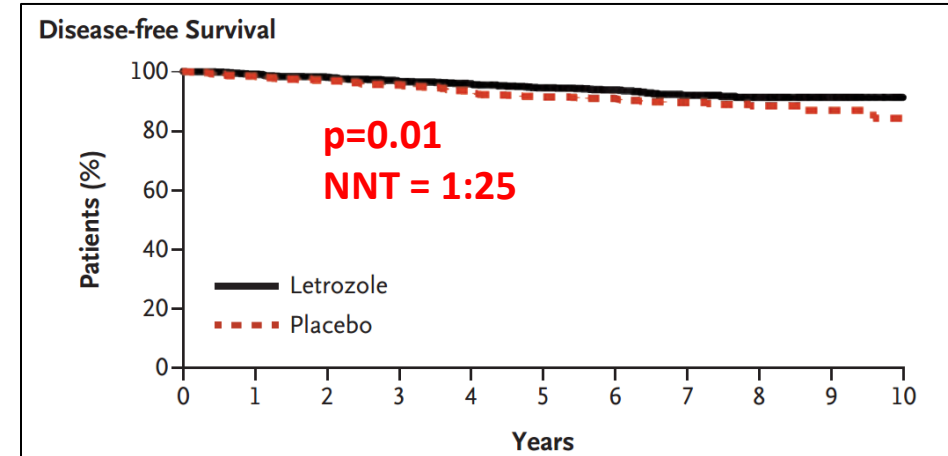
Death without recurrence		
Vascular death		
Stroke	1.03 (0.72–1.46)	0.89
Pulmonary embolus	1.21 (0.48–3.04)	0.69
Heart disease§	0.85 (0.69–1.03)	0.10
Neoplastic death		
Endometrial cancer¶	1.49 (0.71–3.13)	0.29
Other neoplastic disease	1.01 (0.74–1.39)	0.94
Other death		
Specified cause	1.03 (0.83–1.28)	0.80
Unspecified cause	1.06 (0.86–1.32)	0.58
Second cancer incidence		
Contralateral breast cancer	0.88 (0.77–1.00)	0.05
Endometrial cancer¶	1.74 (1.30–2.34)	0.0002
Primary liver cancer	0.99 (0.20–4.90)	0.99
Colorectal cancer	0.86 (0.58–1.27)	0.44
Unspecified site	0.99 (0.83–1.18)	0.91
Non-neoplastic disease (ever hospitalised or died)		
Stroke	1.06 (0.83–1.36)	0.63
Pulmonary embolus	1.87 (1.13–3.07)	0.01
Ischaemic heart disease	0.76 (0.60–0.95)	0.02
Gallstones	1.11 (0.80–1.54)	0.54
Cataract	1.11 (0.79–1.56)	0.54
Bone fracture	0.86 (0.61–1.21)	0.39

**+53 cases**

**+20 cases**

# MA.17R: Extended Adjuvant with AI

- Breast cancer pts who had completed 5 yrs. of adjuvant anti-estrogen therapy
- 5-year disease-free survival rate:
  - Letrozole - 95%
  - Placebo - 91%
- No significant difference in overall survival



# MA.17R: +10 years AI?

Variable	Letrozole (N=959)	Placebo (N=959)
	<i>number (percent)</i>	
Patients with a recurrence of the primary cancer or with contralateral breast cancer	67 (7.0)	98 (10.2)
Recurrence*†	55 (5.7)	68 (7.1)
Local breast	8 (0.8)	10 (1.0)
Local chest wall	6 (0.6)	7 (0.7)
Regional	5 (0.5)	13 (1.4)
Distant	42 (4.4)	53 (5.5)
Contralateral breast cancer†	13 (1.4)	31 (3.2)

→ **NNT = Distant Mets 1:100**

NNH =

1. Fracture, 1:20 (14% v 9%, p=0.001)
2. New osteoporosis, 1:20 (11% v 6%, p<0.001)

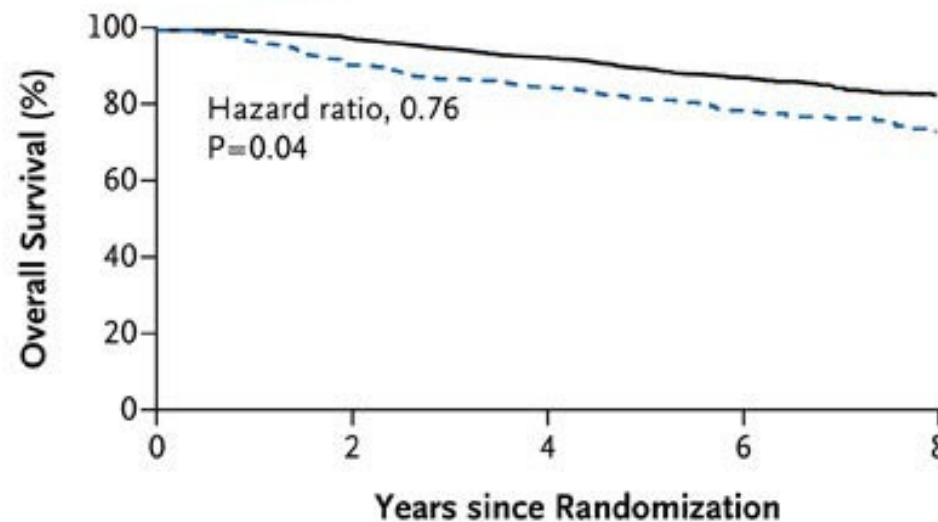
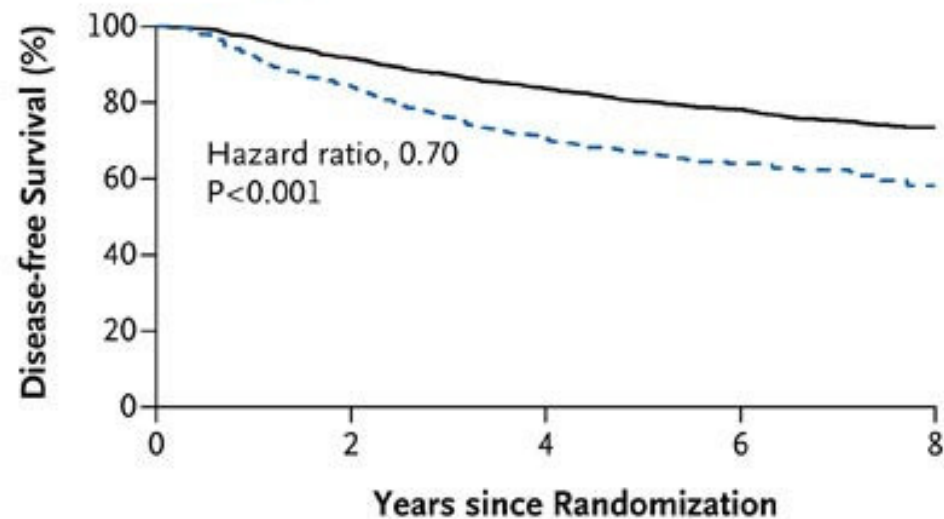
# Pre-menopausal women and adjuvant anti-estrogen therapy

ER/PR+ Breast Cancer

# Adjuvant ovarian suppression

- In pre-menopausal women ovarian suppression:
  - Further decreases risk of recurrence
  - Enable use of Aromatase Inhibitors
- Direct
  - Medical: GnRH analogues
    - Goserelin, Leuprolide
  - Surgical: oophorectomy
  - Radiation
- Indirect:
  - Chemotherapy-induced

## Longer Therapy, Iatrogenic Amenorrhea, and Survival in Early Breast Cancer



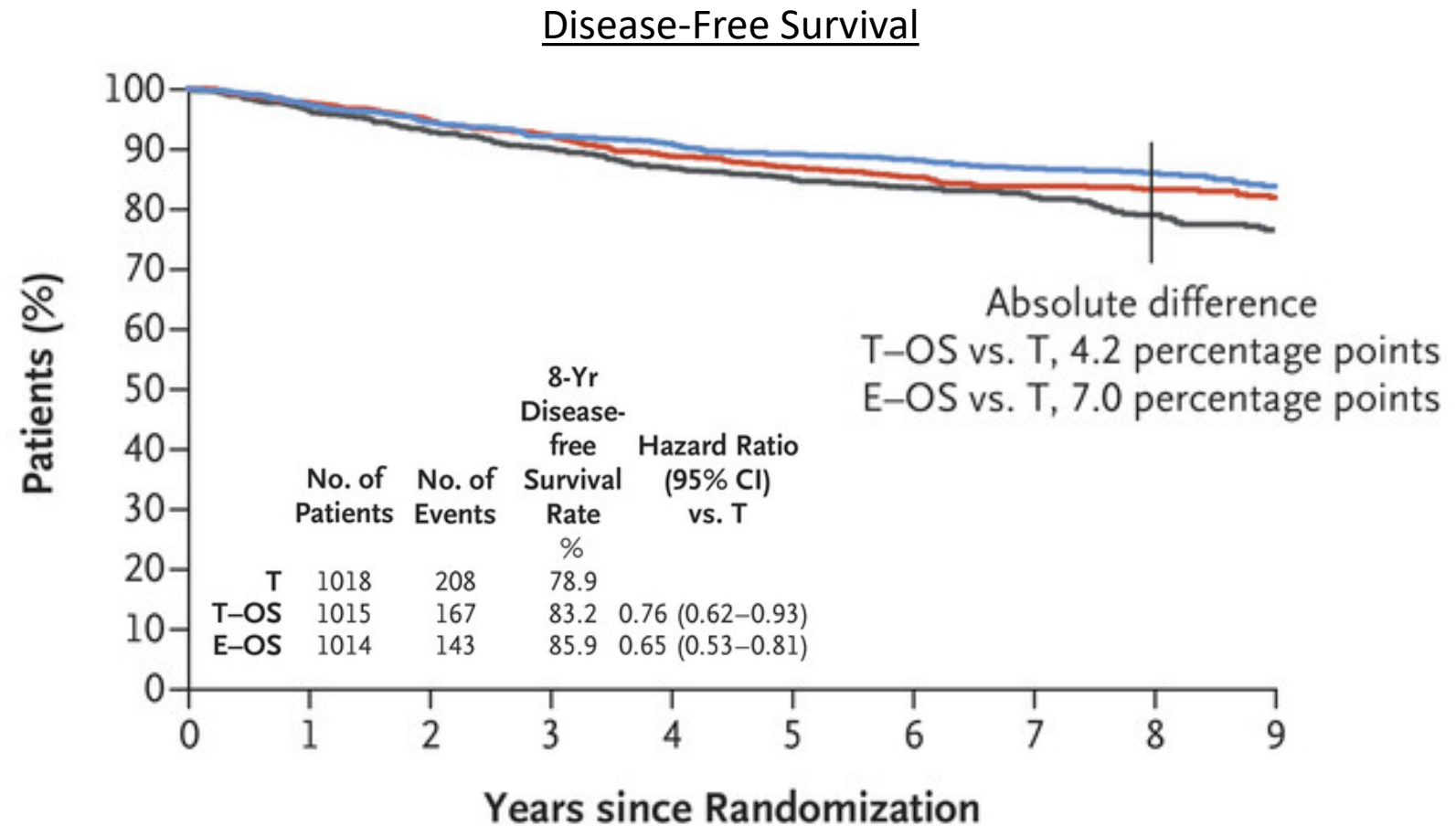
Subgroup	No. of Patients	No. of Events		Hazard Ratio	Hazard Ratio with 95% CI
		No Amenorrhea	Amenorrhea		
Sequential ACT	752	34	143	0.53	
Doxorubicin–docetaxel	788	83	127	0.56	
Concurrent ACT	771	55	150	0.53	
Age					
<40 yr	528	98	71	0.55	
40–44 yr	477	34	91	0.70	
>44 yr	1203	32	227	0.49	
ER status					
Negative	592	96	147	0.71	
Positive	1719	76	273	0.62	

0.4 0.6 0.8 1.0

← Amenorrhea Better | No Amenorrhea Better →

# SOFT and TEXT Trial – Pre-menopausal

- Pre-menopausal women  
Combined analysis of:
  - Tamoxifen
  - OS + Tamoxifen
  - OS + AI
- OS + AI significantly reduced recurrence
- Clinical application:
  - Most pre-menopausal women only need Tam
  - Consider OS + AI with high risk features
    - <35yo
    - Received chemotherapy

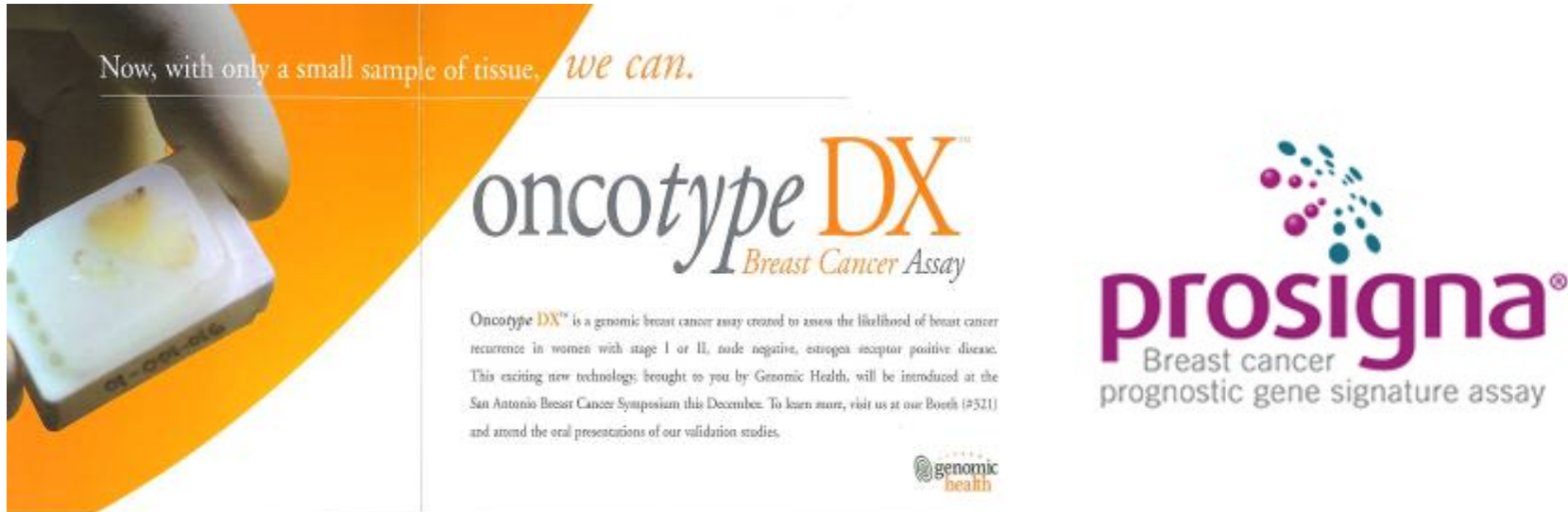


# Which ER/PR+ Patients Need Chemotherapy

ER/PR+ Breast Cancer



# Clinically Available Genomic Profiling Assays in Breast Cancer



Now, with only a small sample of tissue, *we can.*

**oncotype DX**  
Breast Cancer Assay

Oncotype DX™ is a genomic breast cancer assay created to assess the likelihood of breast cancer recurrence in women with stage I or II, node negative, estrogen receptor positive disease. This exciting new technology, brought to you by Genomic Health, will be introduced at the San Antonio Breast Cancer Symposium this December. To learn more, visit us at our Booth (#521) and attend the oral presentations of our validation studies.

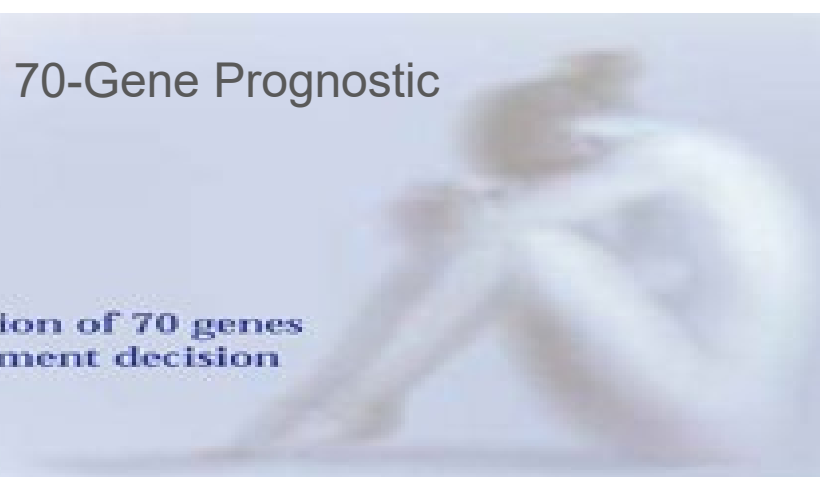
**prosigna**  
Breast cancer prognostic gene signature assay

genomic health

- Oncotype Dx
- Mammaprint
- Prosigna
- Breast Cancer Index

Agendia Mammaprint 70-Gene Prognostic Signature Assay

Giving you the expression of 70 genes to make the right treatment decision



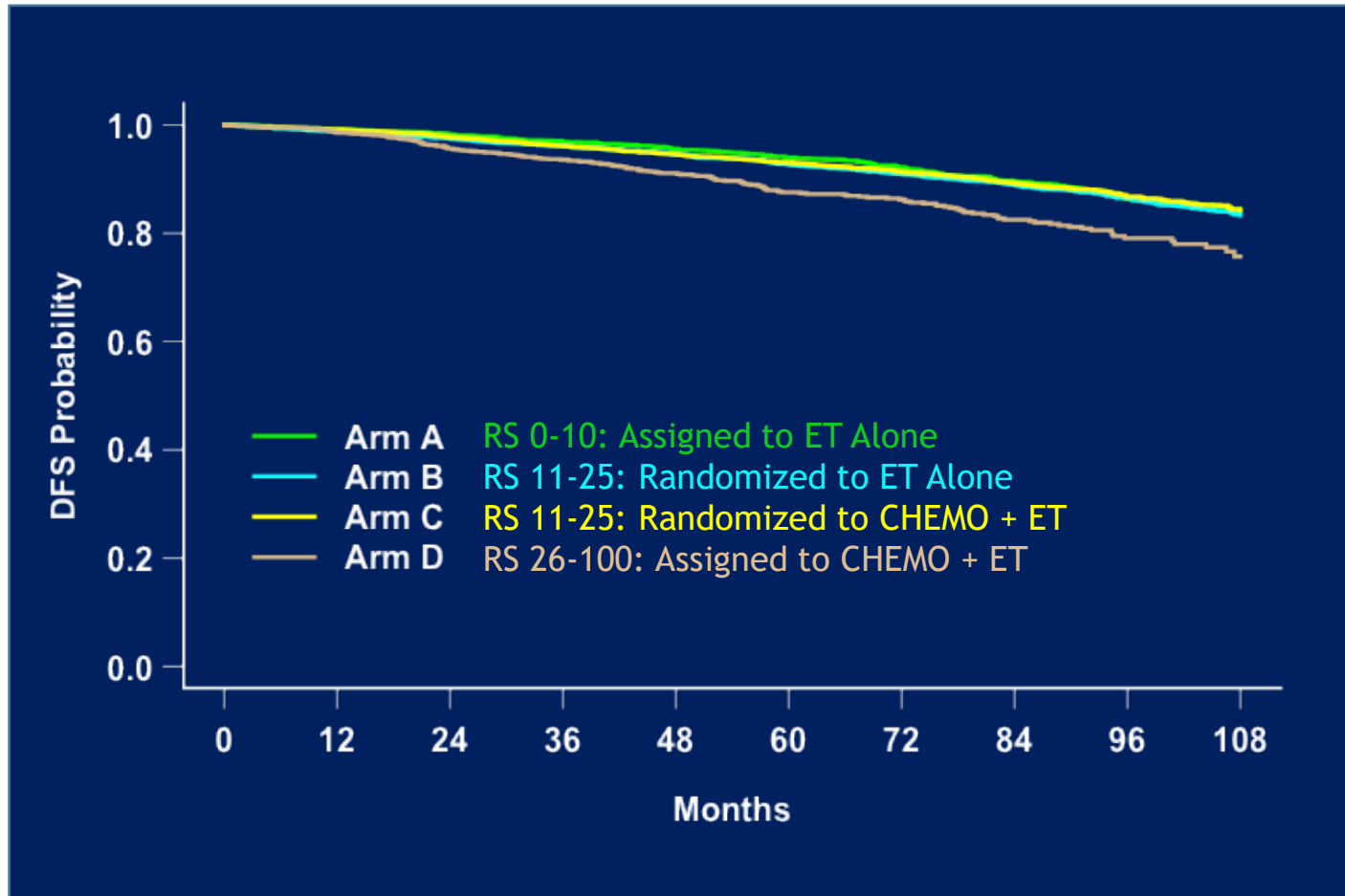


# Oncotype Dx: Indications for assay

## Criteria:

- Invasive breast cancer
- Hormone receptor positive (ER+ and/or PR+)
- HER2 negative (IHC 0-1+ or FISH/ISH non-amplified)
- pT1b (>0.5cm to 1.0cm) AND histologic grade 2 or 3, LVI
- pT1c, pT2, pT3

# TAILORx: Prospective Validation for Oncotype Dx, 9-yr event rates



**Arm A:** ET alone (RS 0-10)  
**3%** Distant recurrence rate

**Arms B & C:** Randomized (RS 11-25)  
**5%** Distant recurrence rate overall

**Arm D:** Chemo + endocrine (RS 26-100)  
**13%** Distant recurrence rate despite chemotherapy + endocrine therapy

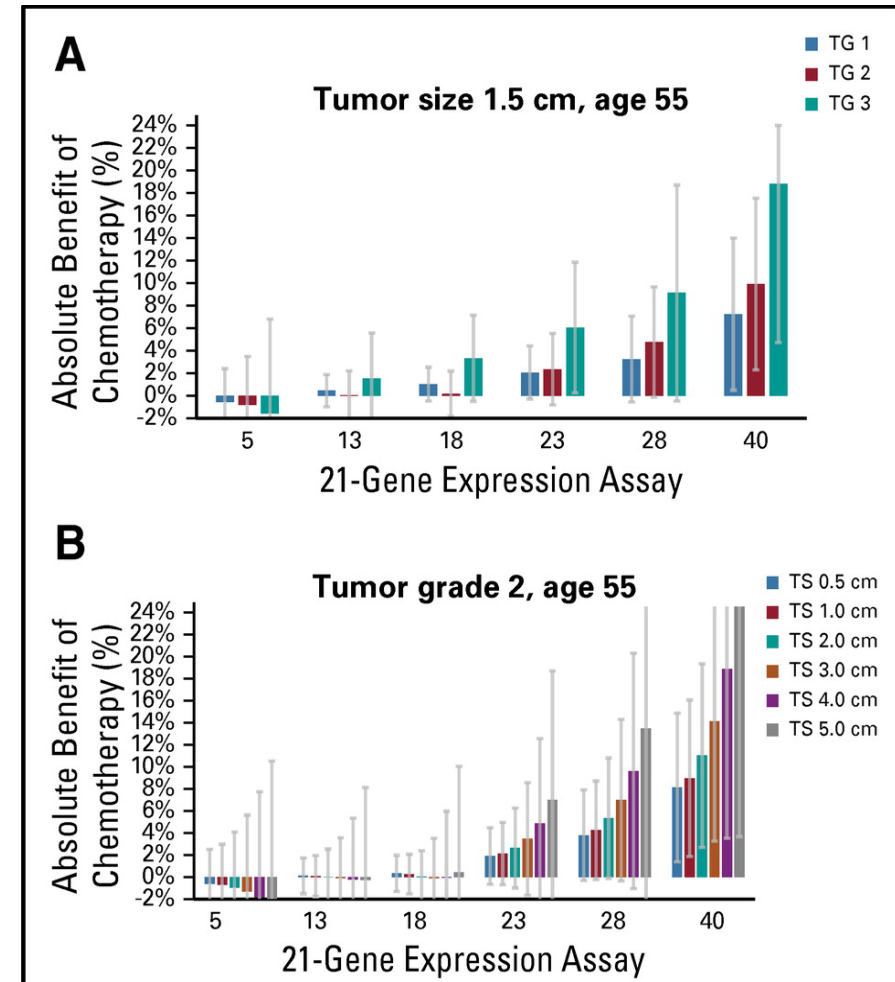
# TAILORx: Benefit of Chemotherapy in Women $\leq 50$ yo

- Interaction between Age – Recurrence Score – Chemotherapy
  - Some chemotherapy benefit in women  $\leq 50$ yo with a RS of 16-25
  - Greatest impact on distant recurrence with RS 21-25

Subgroup Age $\leq 50$ years				
RS 0-10	RS 11-15	RS 16-20	RS 21-25	RS 26-100
No CT Benefit	No CT Benefit	~1.5% CT Benefit	~7% CT Benefit	Large CT Benefit

# RSCLin: Educational tool development

- Background
  - RS has prognostic value (recurrence) and predictive value (chemo benefit)
  - Clinical and pathologic features provide prognostic information
- RSCLin provides more prognostic information for distant recurrence than RS or clinical-pathological factors alone (both  $P < .001$ , likelihood ratio test).
- RSCLin risk estimate was prognostic for distant recurrence risk in the Clalit registry ( $P < .001$ ).



# RSClin Educational Tool

**Introduction**   **RSClin™ Educational Tool**

## RSClin™ Educational Tool

Individualized estimates integrating clinical and pathological features for node-negative, HR positive, HER2 negative, early stage breast cancer patients.

Required fields display as **bold\***

**Oncotype DX Breast Recurrence Score® Result \***

**Planned Hormonal Treatment \***  Tamoxifen  
 Aromatase Inhibitor

**Patient Age At Surgery 🗓️ \***

**Tumor Size \*** --Select Unit--

**Tumor Grade (differentiation) \*** -- None --

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## Calculation Estimates

Numerical

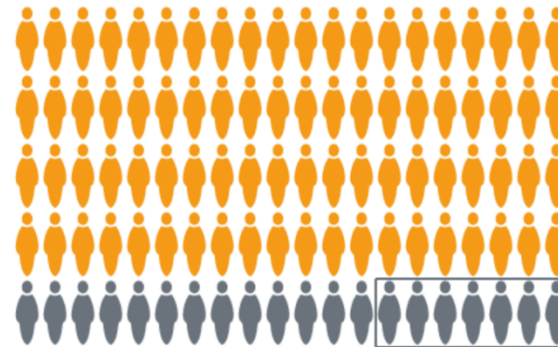
Icons

Dots

When patient specific characteristics are added to the Oncotype DX Breast Recurrence Score result, the following risk estimates provide additional information on your patient:

Individualized distant recurrence risk at 10 years **20%** (95% CI: 15% – 28%)

Individualized absolute chemotherapy benefit **7%** (95% CI: -1% – 15%)



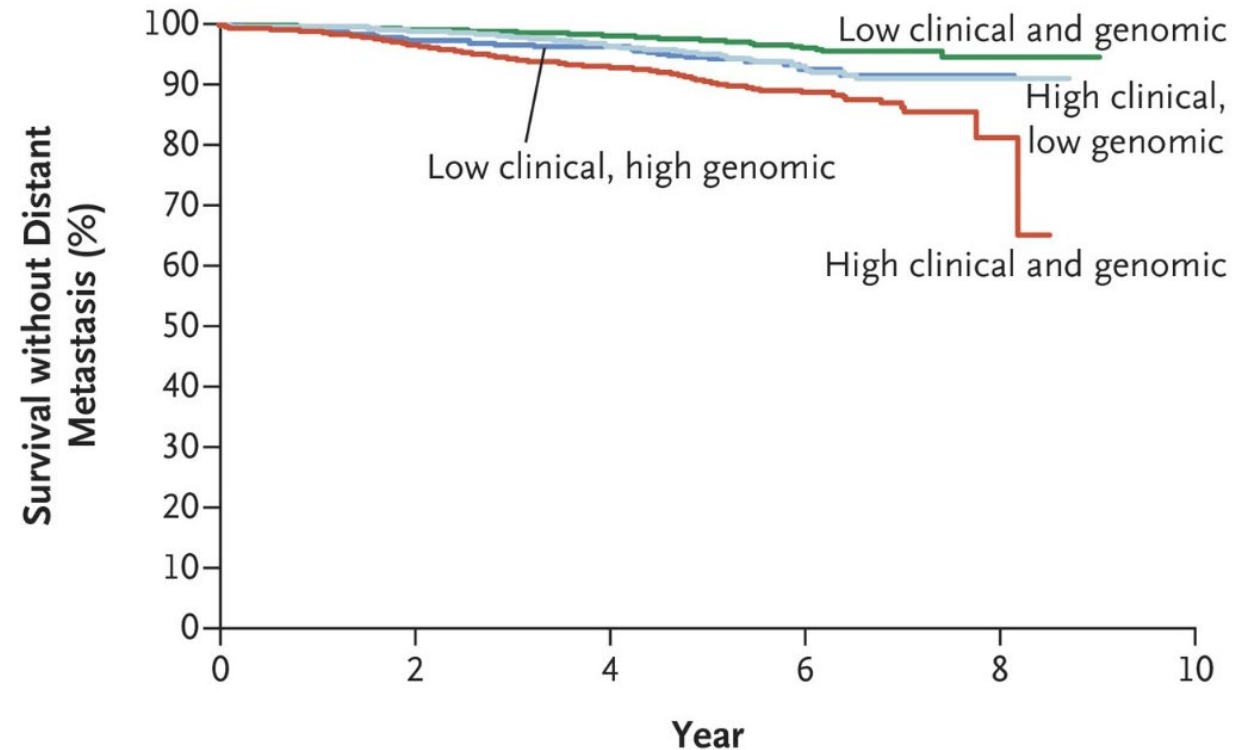
**80%** of patients not expected to recur with Aromatase Inhibitor

**20%** of patients expected to recur with Aromatase Inhibitor

**7%** of patients expected to benefit from chemotherapy

# MINDACT Trial: Mammaprint

- Phase III Trial
- Mammaprint - 70-gene assay
- Clinical High + Low genomic risk  
-> No benefit from chemotherapy
- Clinical High + High genomic risk  
-> Benefit from chemotherapy



# Mammaprint: Indications for assay

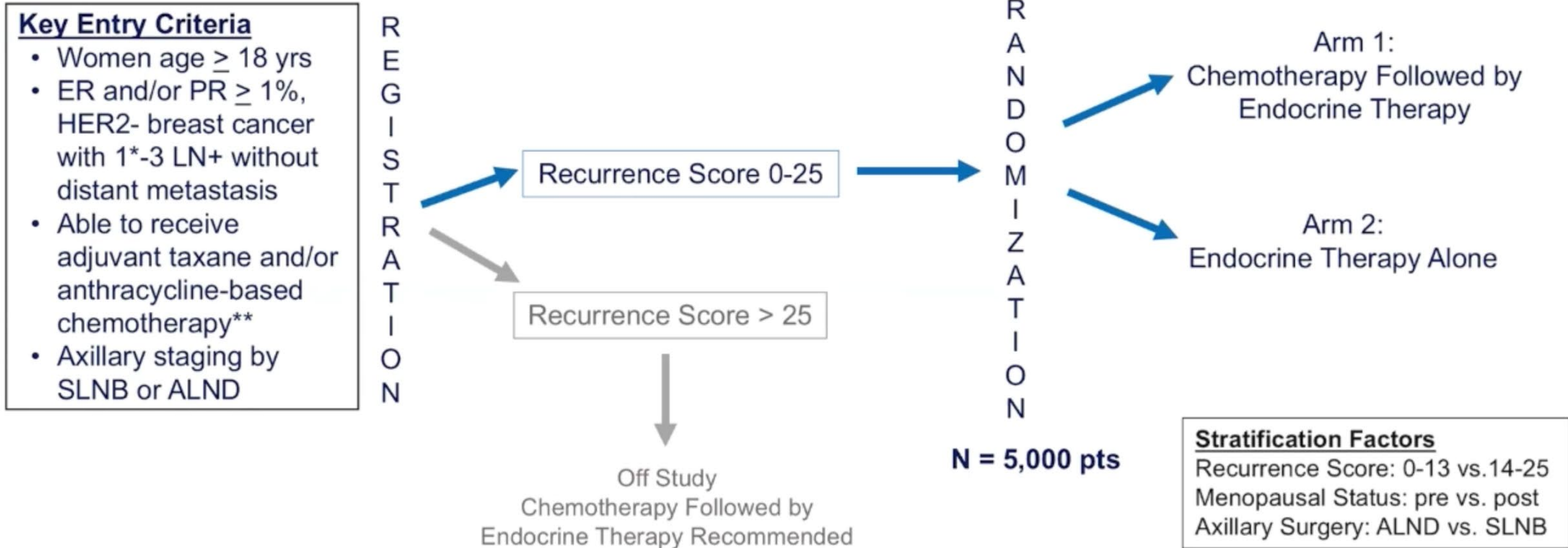
- Consider with patients who are Clinical High Risk (per Adjuvant! Online)
  - Grade 1 and >3cm or >2cm with 1-3+ LNs
  - Grade 2 and >2cm +/- 1-3+ LNs
  - Grade 3 and >1cm +/- 1-3+ LNs

HER2 status	Grade	Nodal status	Tumor Size	Clinical Risk in Mindact
HER2 negative	well differentiated	N-	≤ 3 cm	C-low
			3.1-5 cm	C-high
		1-3 positive nodes	≤ 2 cm	C-low
			2.1-5 cm	C-high
	moderately differentiated	N-	≤ 2 cm	C-low
			2.1-5 cm	C-high
		1-3 positive nodes	Any size	C-high
	poorly differentiated or undifferentiated	N-	≤ 1 cm	C-low
			1.1-5 cm	C-high
1-3 positive nodes		Any size	C-high	



Genomic Assays: Lymph Node  
Positive

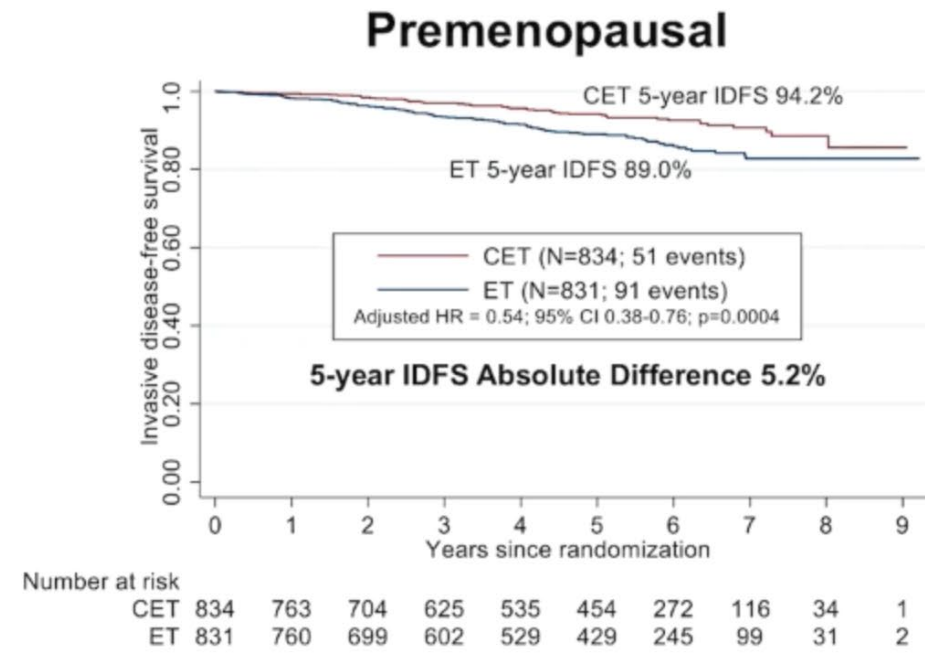
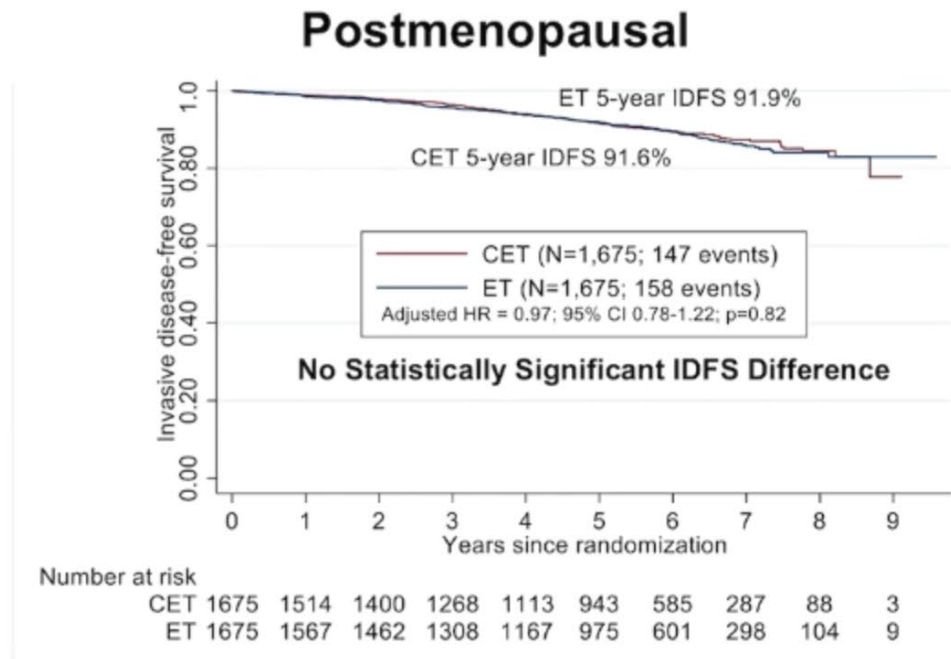
# RxPonder – Oncotype RS in 1-3+ LNs



**Primary objective: invasive disease free survival (IDFS) in pts w/ 1-3 nodes and RS of 25 or less.**

# RxPonder: Chemo and Menopausal status

## IDFS Stratified by Menopausal Status



Premenopausal women w/ 0-25 treated from chemo showed:

- 46% decrease in IDFS events, observed across all subgroups.
- 53% decrease in deaths with a 5 year OS improvement of 1.3% absolute benefit.
- Greater benefit in 14-25 RS vs 0-13 (6.2 vs 3.9%)



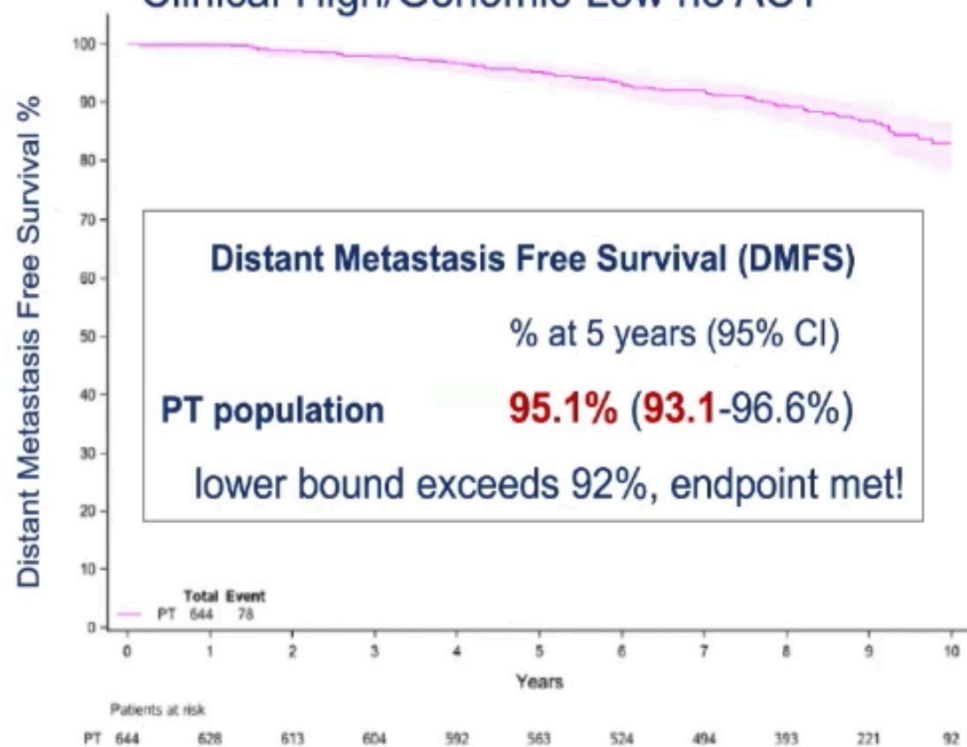
# RxPonder: Conclusions

- Postmenopausal women w/ 1-3 pos nodes w/ RS 0-25 can safely forego adjuvant chemo.
- Premenopausal women w/ 1-3 pos nodes w/ RS 0-25 may benefit from chemo.
- Question remains: In Pre-menopausal women how much benefit can be attributed to ovarian suppression from chemo

# MINDACT Update – 8.7 yrs median follow-up

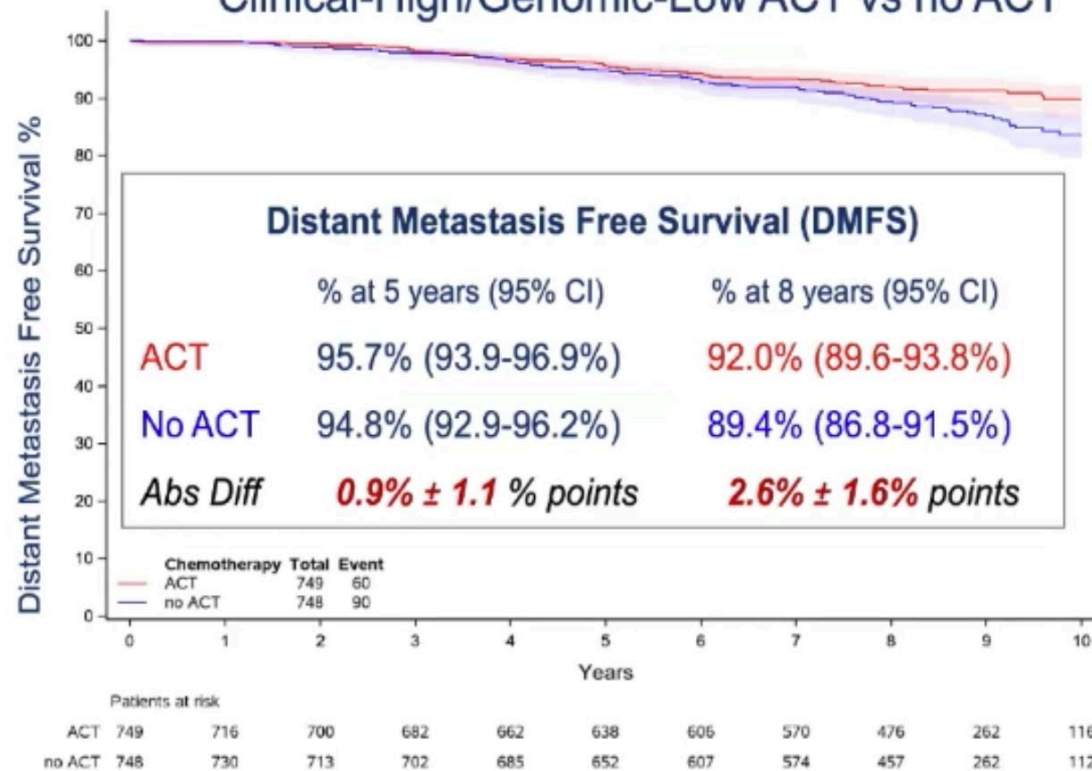
## PRIMARY ENDPOINT

Clinical-High/Genomic-Low no ACT



## SECONDARY ENDPOINT

Clinical-High/Genomic-Low ACT vs no ACT



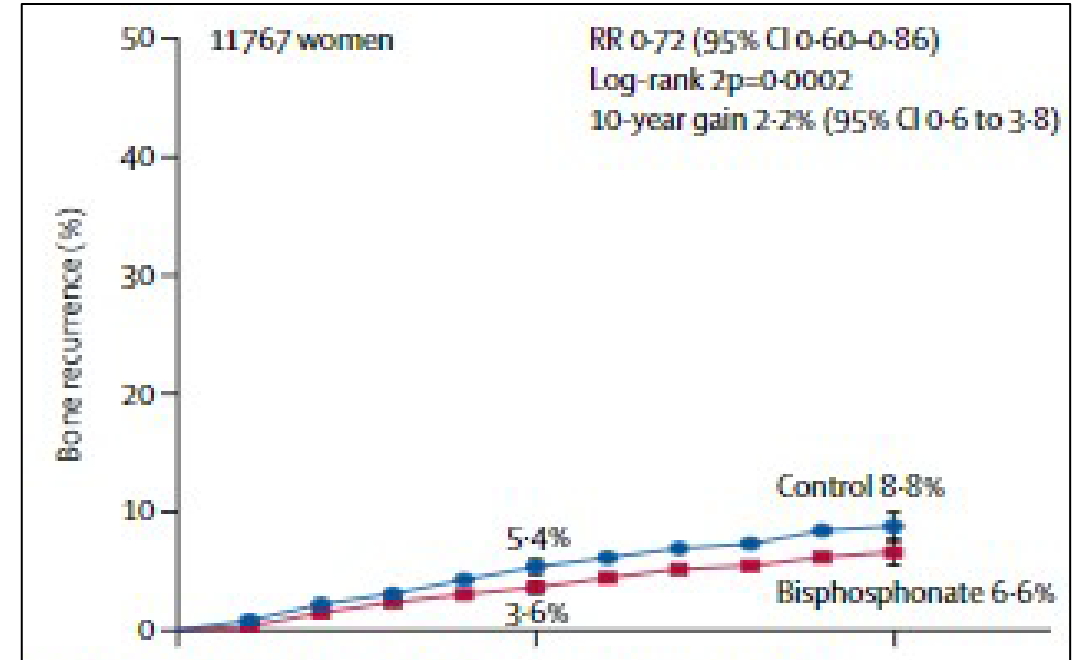
# MINDACT - Conclusions

- Primary end point
  - At 8.7yrs follow-up continues to be met in C-High/G-Low w/o chemo
- Secondary end point
  - At 8yrs follow-up DMFS gain w/ chemo in C-High/G-Low is 2.6%
- Exploratory Analysis by age ( $\leq 50$  vs  $>50$ yo) C-High/G-Low omit chemo
  - $>50$ yo cont to demonstrate no significant benefit from chemo (0.2%)
  - **$\leq 50$ yo reveals a clinically relevant difference of DMFS gain (5% +/- 2.8%) at 8yrs**
    - \* Chemotherapy induced ovarian suppression?

# Adjuvant Bisphosphonates

- Meta-analysis of adjuvant bisphosphonates
- Post-menopausal women:
  - Significant reduction in bone recurrence (RR 0.83, 0.73–0.94;  $2p=0.004$ )
- SEs:
  - Osteonecrosis of the jaw
  - Renal impairment

Bone recurrence rate/year (%) events/woman-years



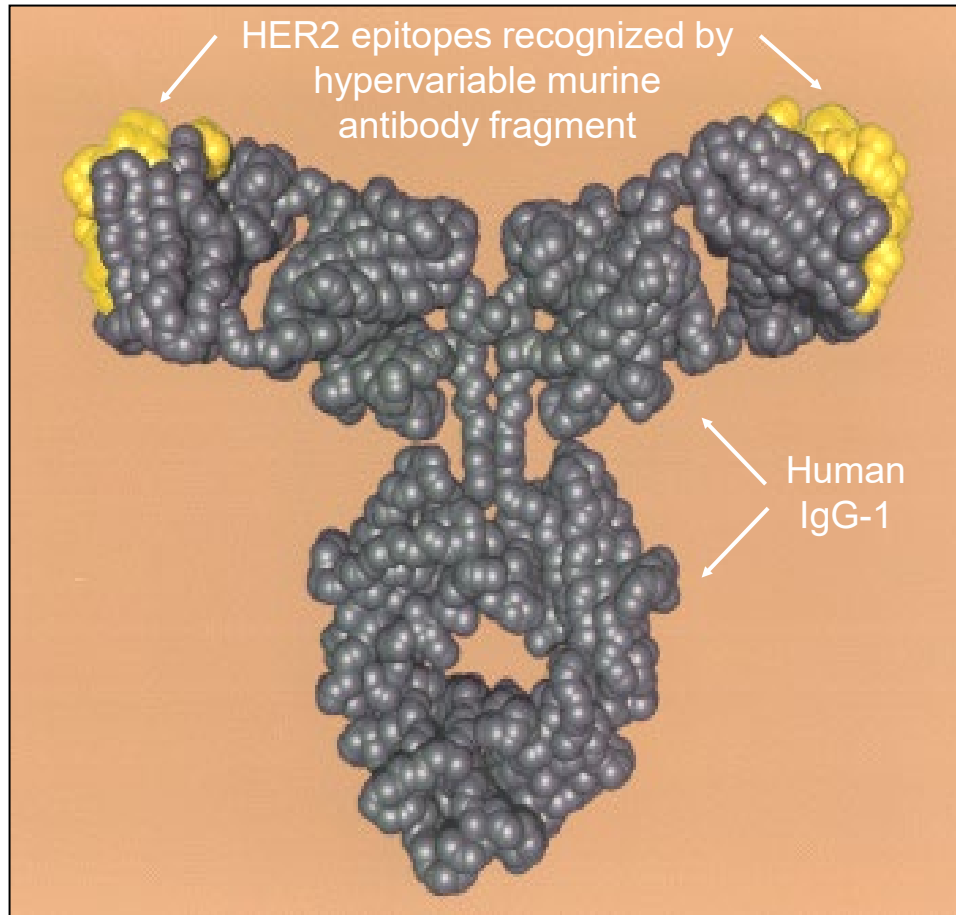
HER2+ Breast Cancer



# HER2 Positive Breast Cancer

- 25–30% of breast cancers
- Human epidermal growth factor receptor 2 (HER2) important in cell signaling and proliferation
- Overexpression of HER2 correlates with a more aggressive breast cancer
- HER2+ disease diagnosed by immunohistochemistry (IHC) or gene amplification by fluorescence *in-situ* hybridization (FISH)
  - ASCO/CAP updated guidelines - 2018

# Trastuzumab (Herceptin): humanized anti-HER2 antibody

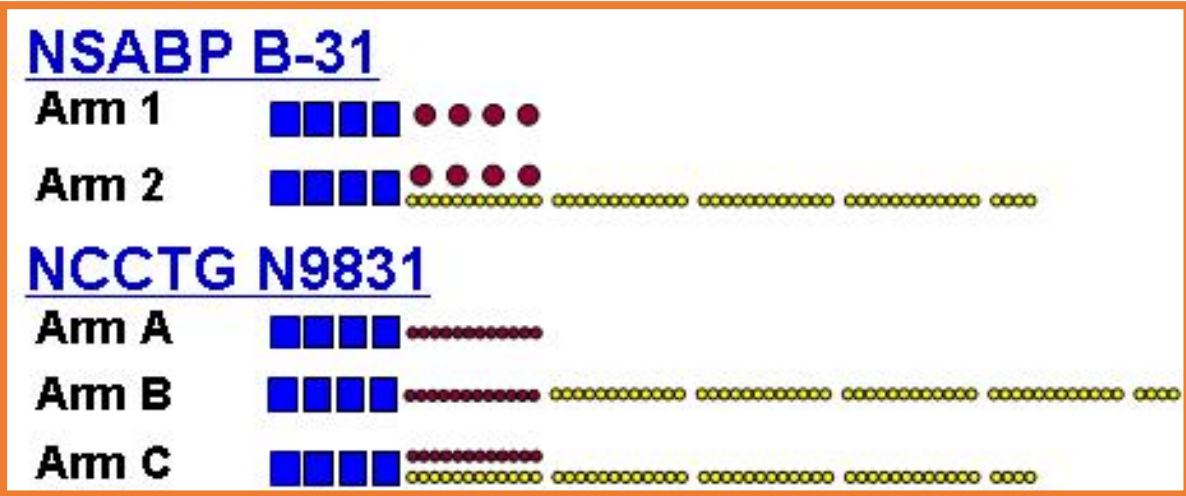


- Targets HER2 protein's ECD
- High affinity and specificity
- 95% human, 5% murine
  - Increases potential for recruiting immune effector mechanisms
- Fc portion recruits and interacts with immune effector cells
- Extensively investigated mechanisms of action

# Pivotal adjuvant trastuzumab trials: patient characteristics

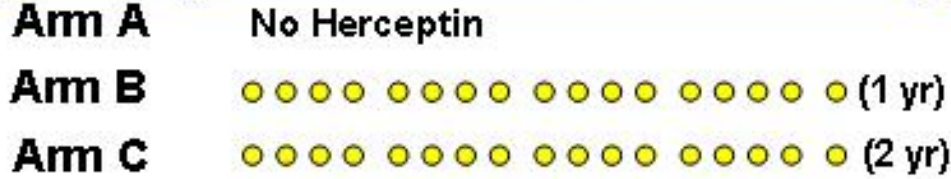
- HER2 positive (IHC 3+ or FISH amplified) invasive breast cancer, post lumpectomy/mastectomy
- Nodal status
  - Node positive (NSABP B-31)
  - Node positive or high-risk node negative (NCCTG N9831, HERA, BCIRG 006)
- No previous or current cardiac disease

# HER2+ Randomized Phase III Trials



No vs. sequential vs. concurrent

**HERA (Randomization after chemotherapy)**

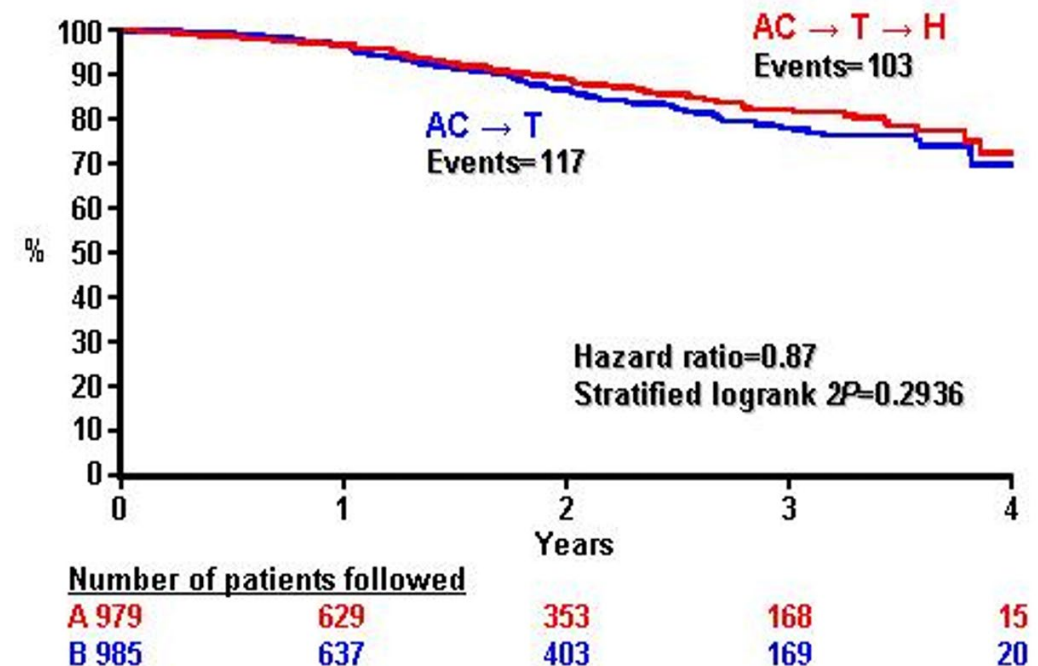


■■■■    AC q 3 wk \* 4  
 ●●●●    = paclitaxel q 3 wk \* 4    ●●●●●●●●●●●●●●    = paclitaxel q 1 wk \* 12  
 ○○○○○○    = trastuzumab q 1 w    ○○○○○○ ○○○○○○ ○○○○○○ ○○○○○○    = trastuzumab q 3 w

# NCCTG N9831: Sequential Trastuzumab

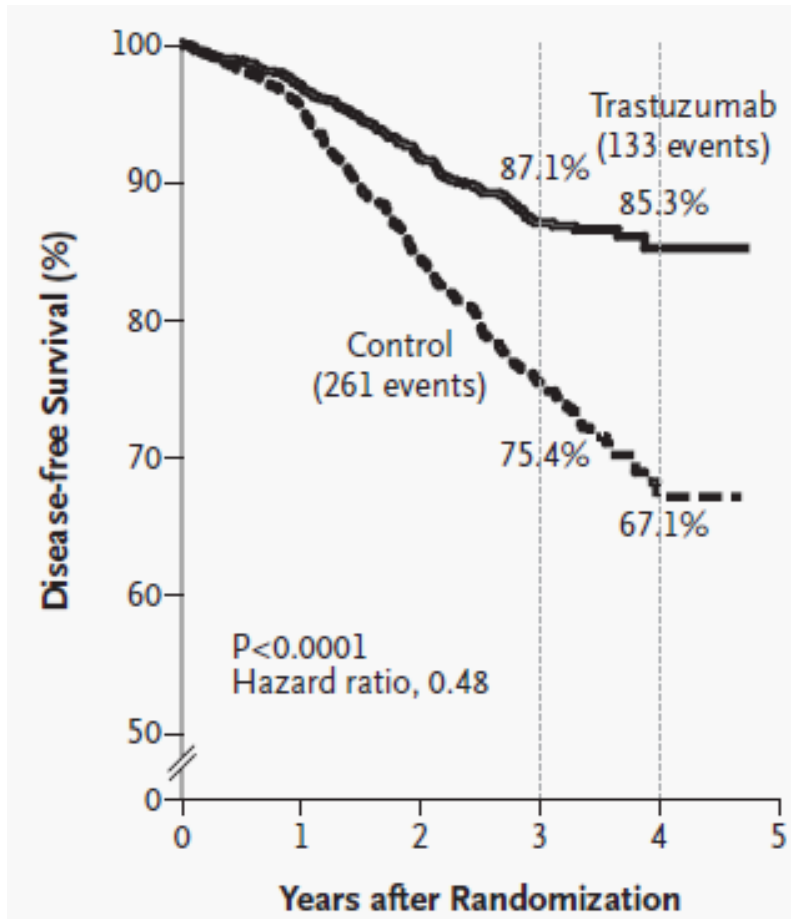
- Sequential vs Chemo alone
  - No benefit from sequential Trastuzumab

## N9831 Disease-Free Survival Control vs Sequential

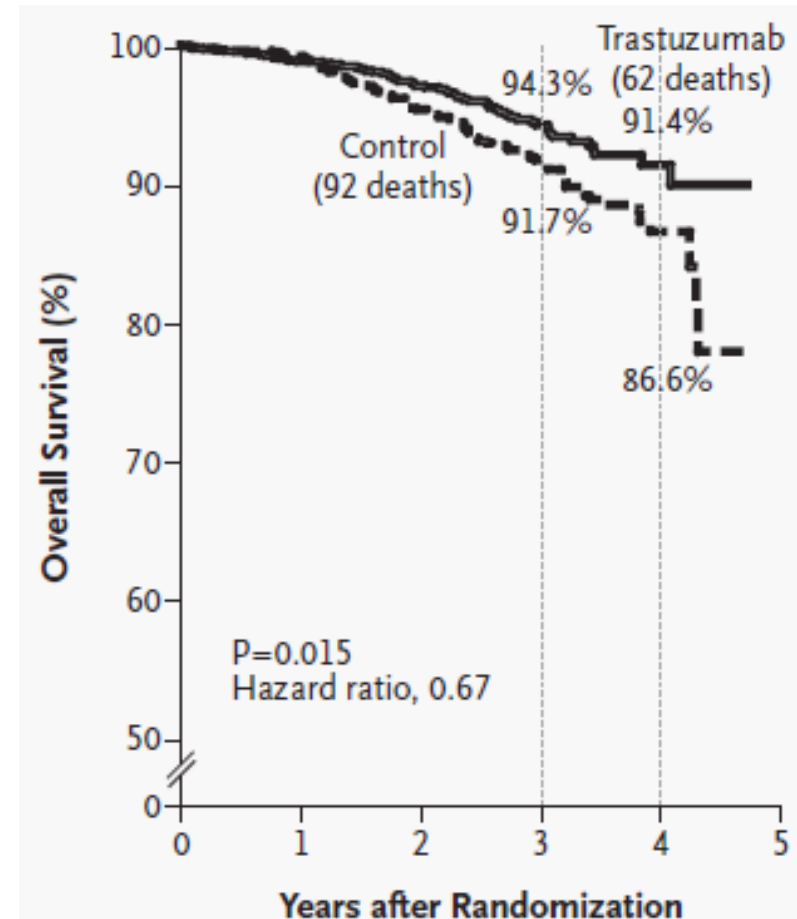


# Combined Analysis of B-31 and N9831

- Trastuzumab improved DFS

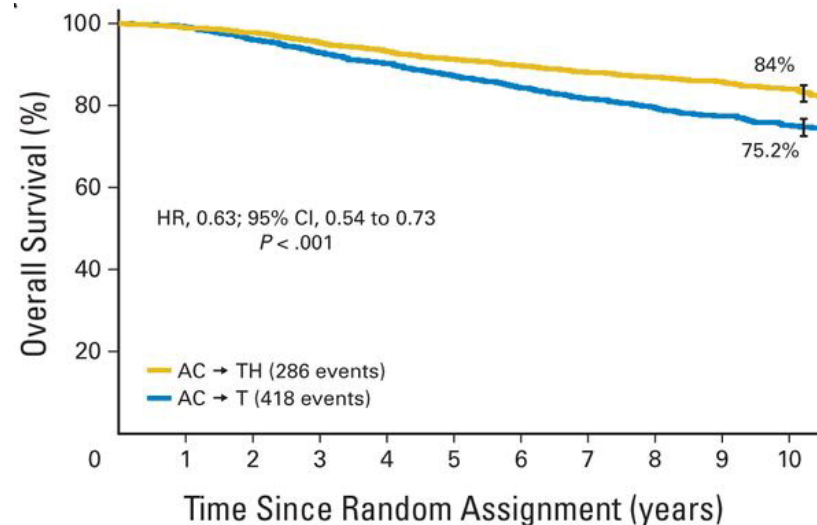
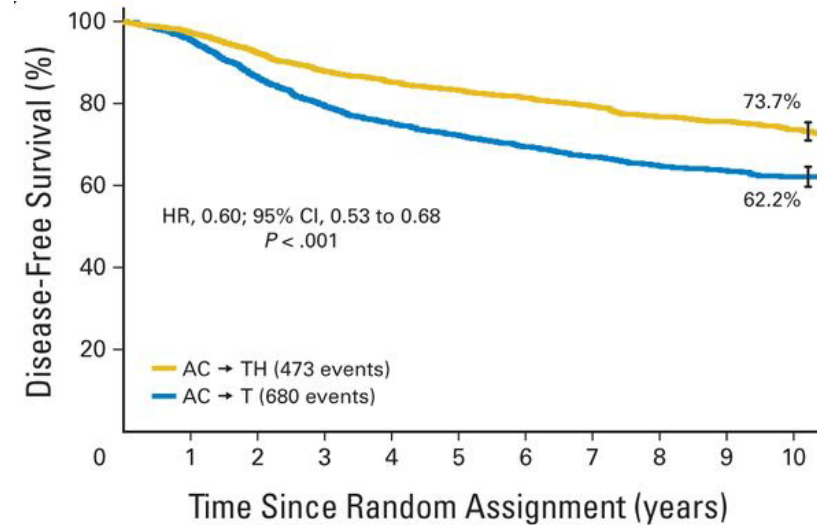


- Trastuzumab improved OS



# Combined analysis of B31 and N9831 – 10 yr.

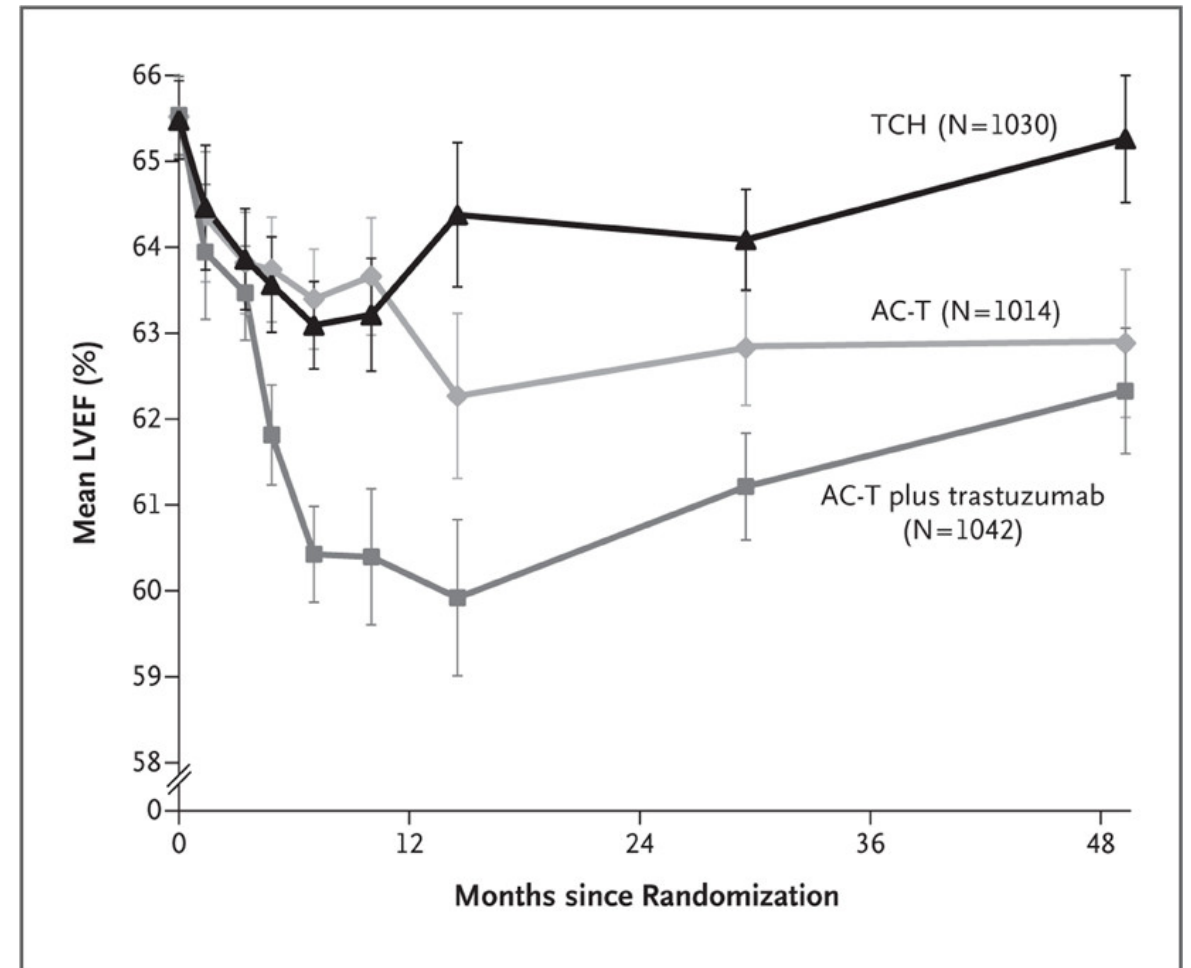
- Adding Trastuzumab to chemotherapy resulted in:
  - Improved DFS – 40%
  - Improved OS – 37%
- Acceptable toxicity
  - Cardiac events – 3%



# CV Risk: Trastuzumab and Anthracyclines

Clinical Event	AC-T	AC-T plus Trastuzumab	TCH
	<i>number of events</i>		
Total events	201	146	149
Distant breast-cancer recurrence	188	124	144
Grade 3 or 4 congestive heart failure	7	21	4
Acute leukemia	6	1	1†

- CV side effects w/ Anthracycline and Trastuzumab:
- 15% will have clinically significant decrease in EF
  - 1-3% w/ symptomatic CHF





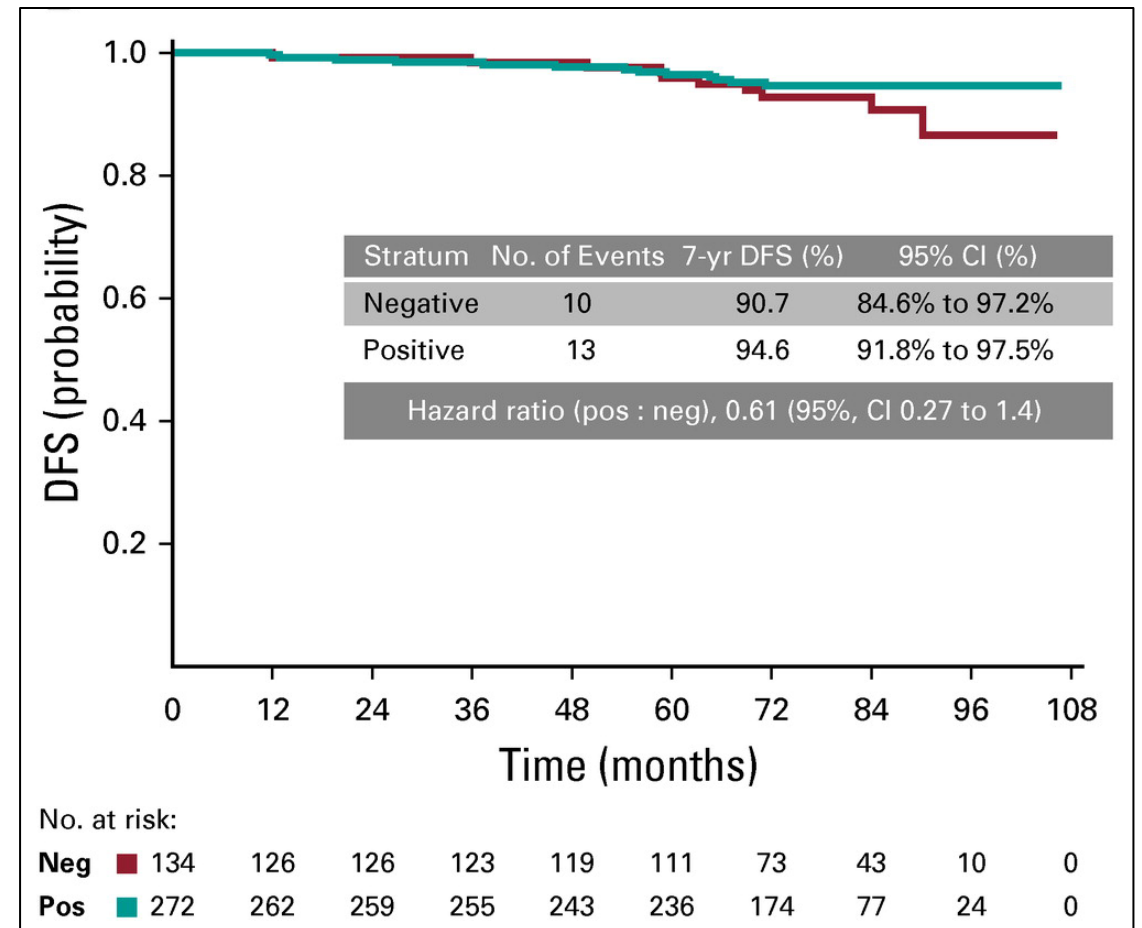


# Duration of Trastuzumab (HER2 therapy)

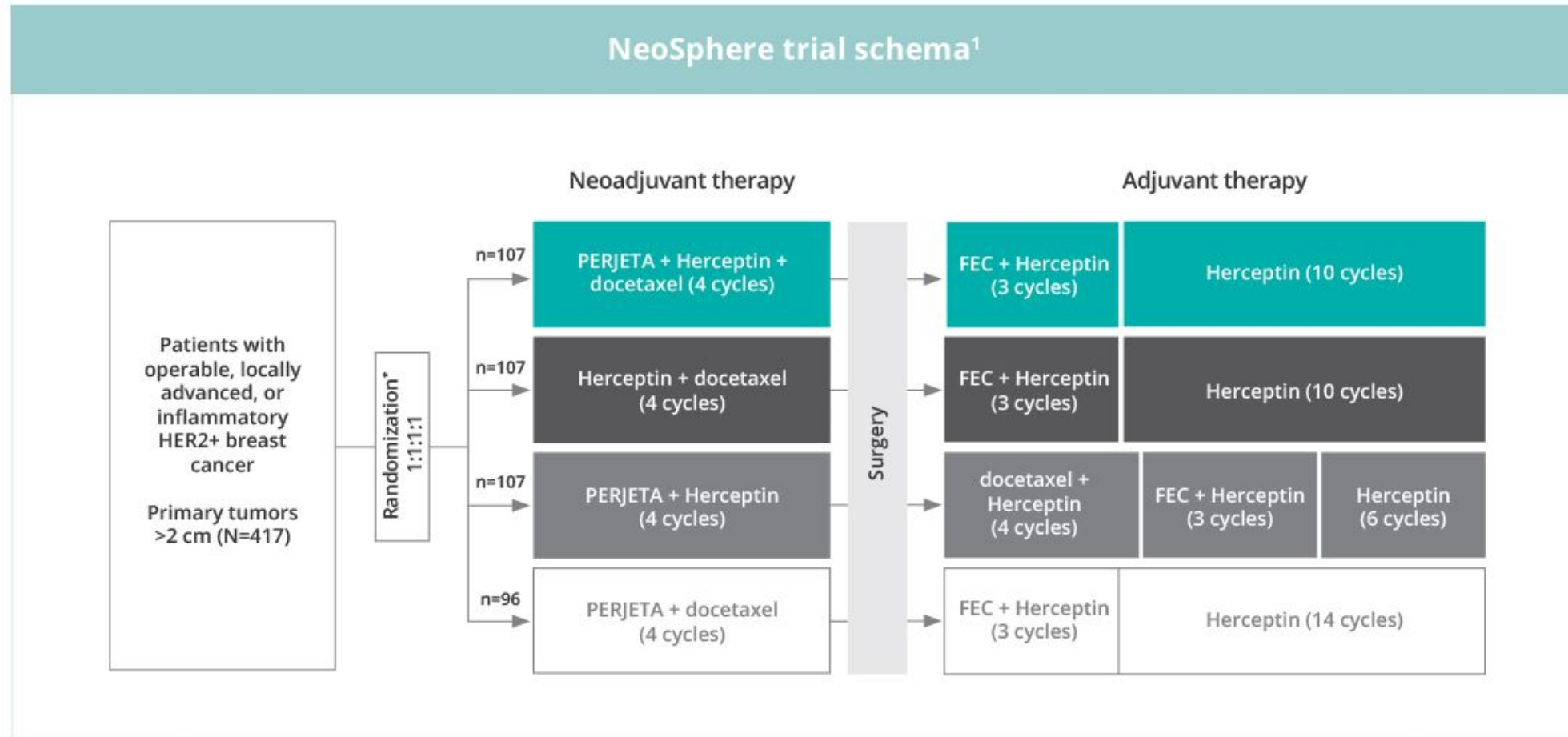
- HERA Trial: 1 year vs 2 years of Trastuzumab
  - No difference between 2-year vs 1-year for DFS (HR, 0.99, 95% CI, 0.85-1.14;  $P=0.86$ )
  - OS was also similar between both groups (HR, 1.05, 95% CI, 0.86-1.28;  $P=0.63$ )
  - Asymptomatic cardiac dysfunction was higher after 2 years of trastuzumab (7.2% vs. 4.1%)
- PHARE Trial: 6 months vs 1 year of Trastuzumab
  - HR for DFS in the study was 1.28 (95% CI: 1.05-1.56;  $p=0.29$ ).
  - The non-inferiority of 6 months of trastuzumab compared to 12 months could not be demonstrated
  - Could not prove noninferiority of 6 months

# Stage I HER2+ breast cancers: APT Trial

- APT Trial
  - Multicenter, Single-Arm Trial
  - Paclitaxel + Trastuzumab
- Eligibility:
  - HER2+ (3+ or FISH>2.0)
  - Primary tumor  $\leq$  3cm
- Results:
  - 7 yr. Relapse Free Interval:
    - 97.5% at 7 yrs.
  - DFS by HR status:
    - HR positive: 94.6%
    - HR negative: 90.7%

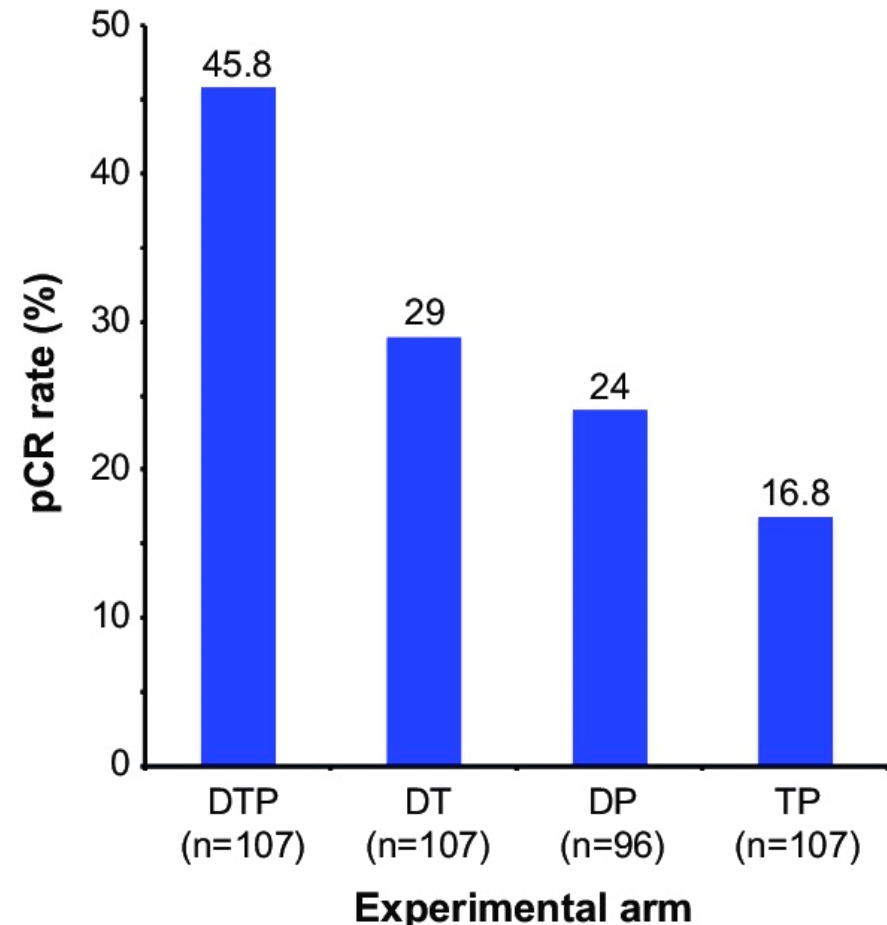


# Neosphere Trial: Neoadjuvant Pertuzumab



# Neosphere Trial: Path complete response

- Highest pathologic CR rate in the Pertuzumab + Trastuzumab + Docetaxel arm
  - 45.8% (95% CI 36.1-55.7)
- Most common grade  $\geq 3$  AEs:
  - Neutropenia
  - Febrile neutropenia
  - Leukopenia

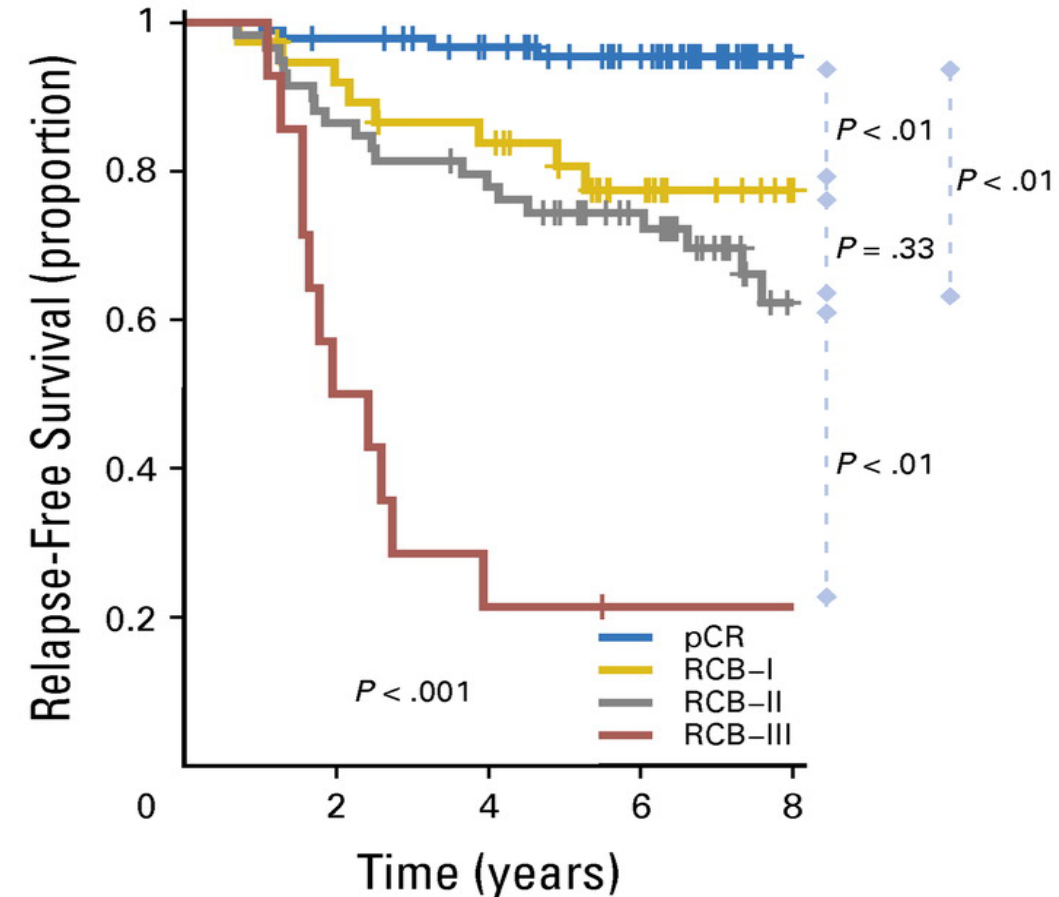


# Residual disease after neoadjuvant therapy

## Residual Cancer Burden (RCB) - Prognostic

- pCR had RFS of 95% - 5 yrs. and 10 yrs.)
- RCB-I (RFS of 81% - 5 yrs., 77% - 10 yrs.)
- RCB-II (RFS of 74% - 5 yrs., 47% - 10 yrs.)
- RCB-III (RFS of 21% - 5 yrs. and 10 yrs.)

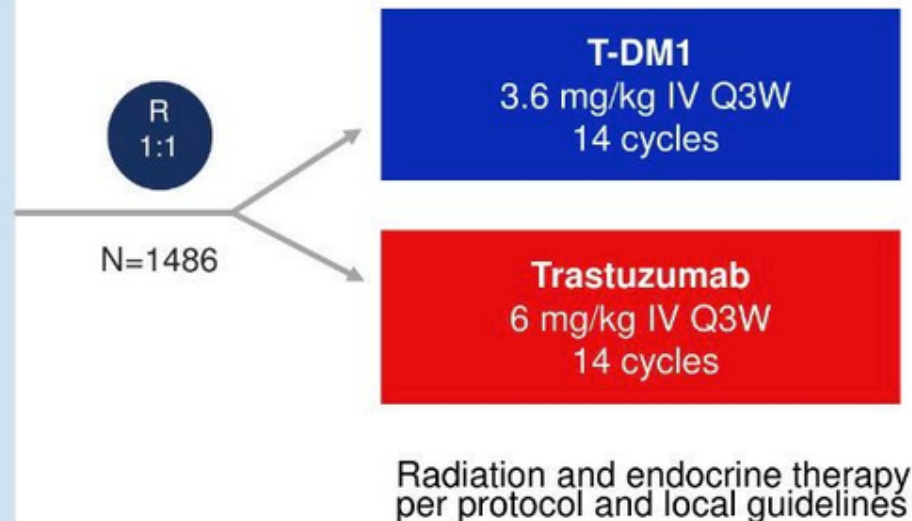
\* Additional Therapies Needed



# KATHERINE Study – Adjuvant TDM-1

## KATHERINE Study Design

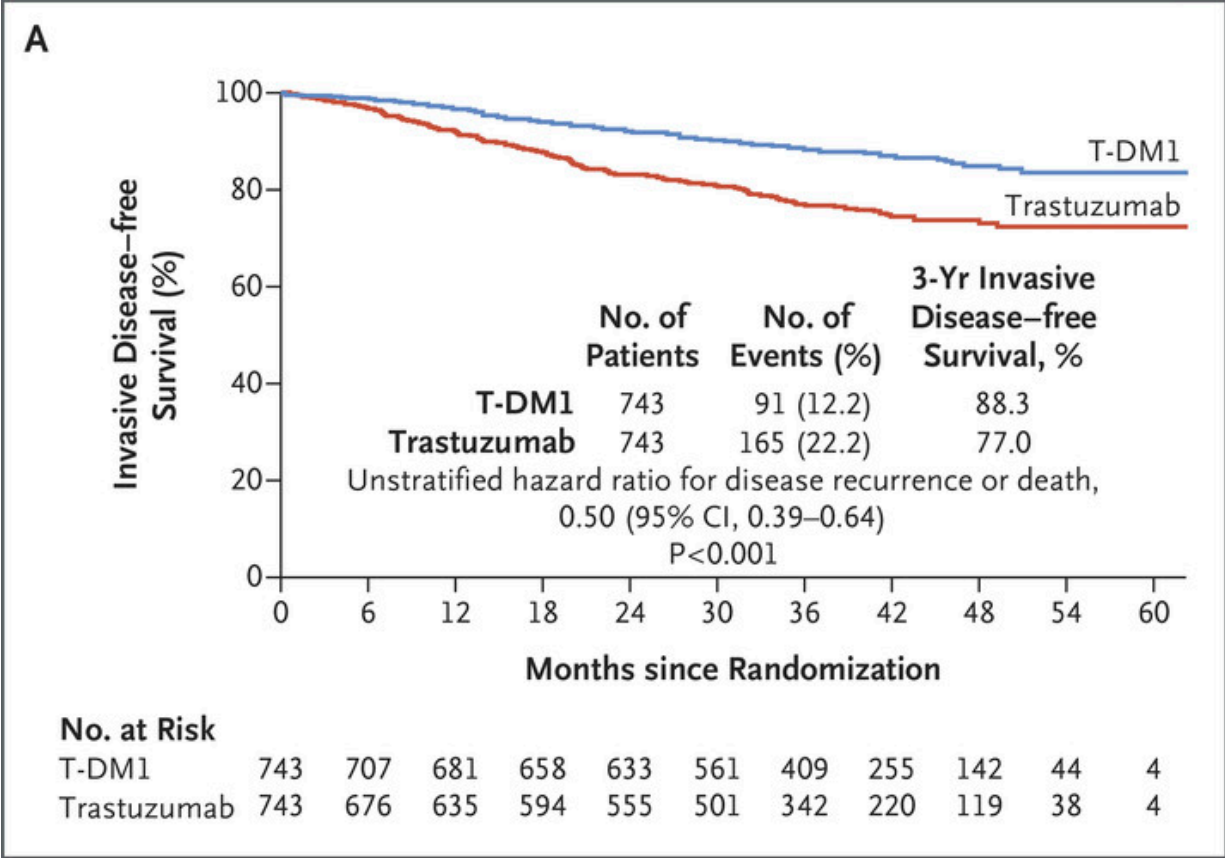
- cT1-4/N0-3/M0 at presentation (cT1a-b/N0 excluded)
- Centrally confirmed HER2-positive breast cancer
- Neoadjuvant therapy must have consisted of
  - Minimum of 6 cycles of chemotherapy
    - Minimum of 9 weeks of taxane
    - Anthracyclines and alkylating agents allowed
    - All chemotherapy prior to surgery
  - Minimum of 9 weeks of trastuzumab
    - Second HER2-targeted agent allowed
- Residual invasive tumor in breast or axillary nodes
- Randomization within 12 weeks of surgery



### Stratification factors:

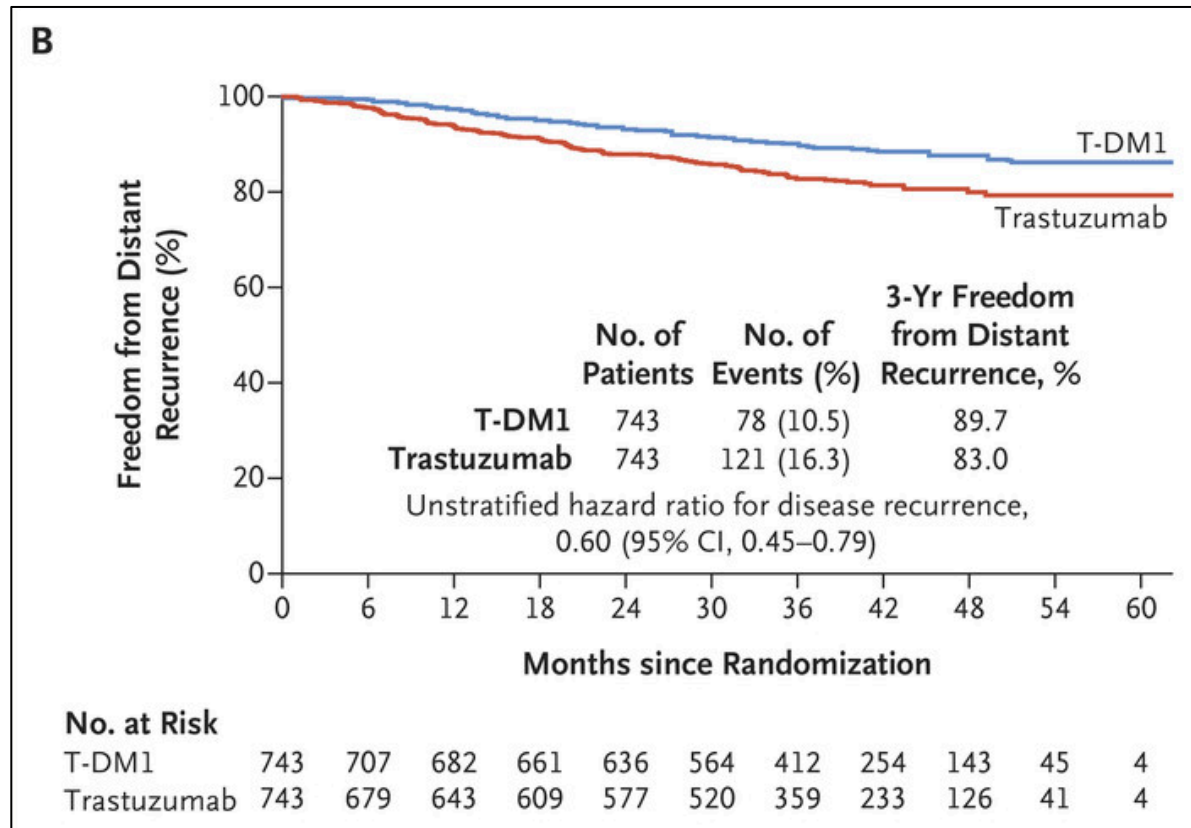
- Clinical presentation: Inoperable (stage cT4 or cN2–3) vs operable (stages cT1-3N0-1)
- Hormone receptor: ER or PR positive vs ER negative and PR negative/unknown
- Preoperative therapy: Trastuzumab vs trastuzumab plus other HER2-targeted therapy
- Pathological nodal status after neoadjuvant therapy: Positive vs negative/not done

# KATHERINE – Invasive disease-free survival



- Invasive disease occurred in:
  - TDM-1: 91 (12.2%) patients
  - Trastuzumab: 165 (22.2%) patients
- Estimated invasive disease-free survival at 3 years:
  - TDM-1: 88.3%
  - Trastuzumab: 77.0%

# KATHERINE – Distant recurrence



- Distant recurrences:
  - TDM-1: 78 (10.5%) patients
  - Trastuzumab: 121 (16.3%) patients
- To date no significant difference in overall survival
- Adverse events leading to discontinuation occurred in:
  - TDM-1: 133 (18.0%)
  - Trastuzumab: 15 (2.1%)

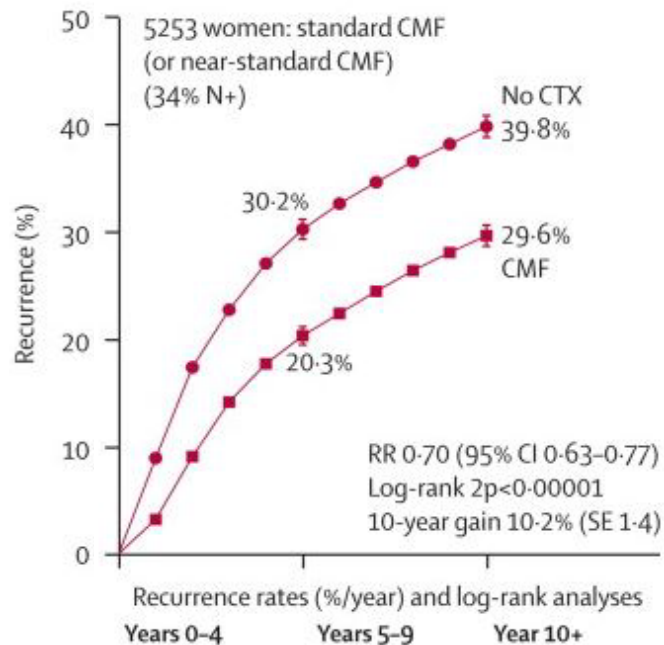


# Chemotherapy regimens

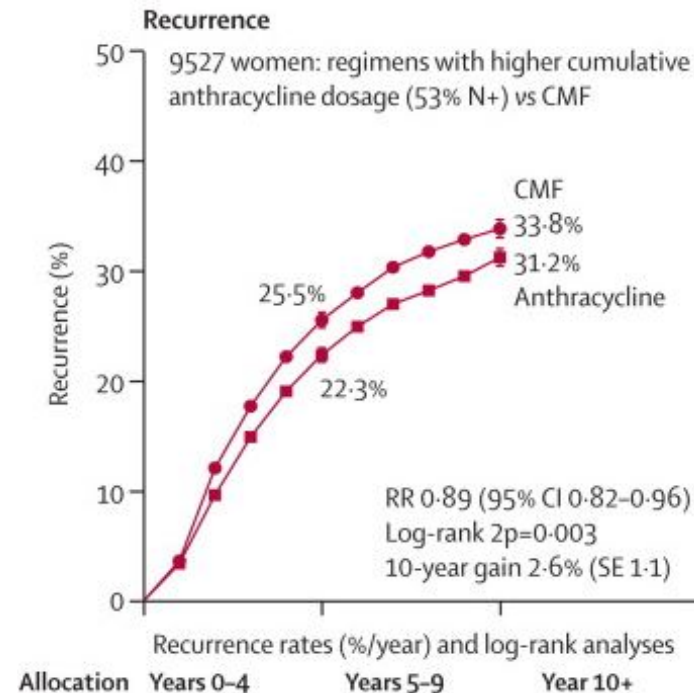
Localized or locally advanced breast cancer

# Benefits of Adjuvant Chemotherapy

- Polychemo. vs No Chemo, results in:
  - Decreased risk of recurrence
  - Decreased breast cancer mortality
  - Improved OS

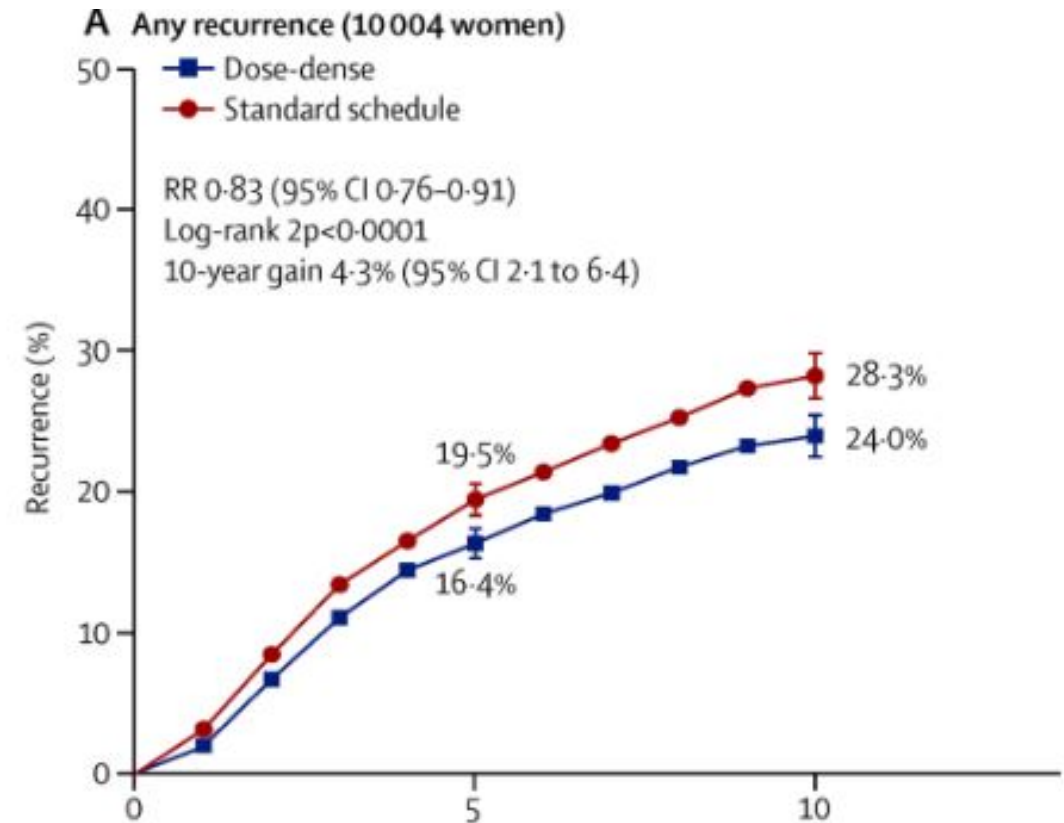


- CMF vs Anthracycline Based chemotherapy



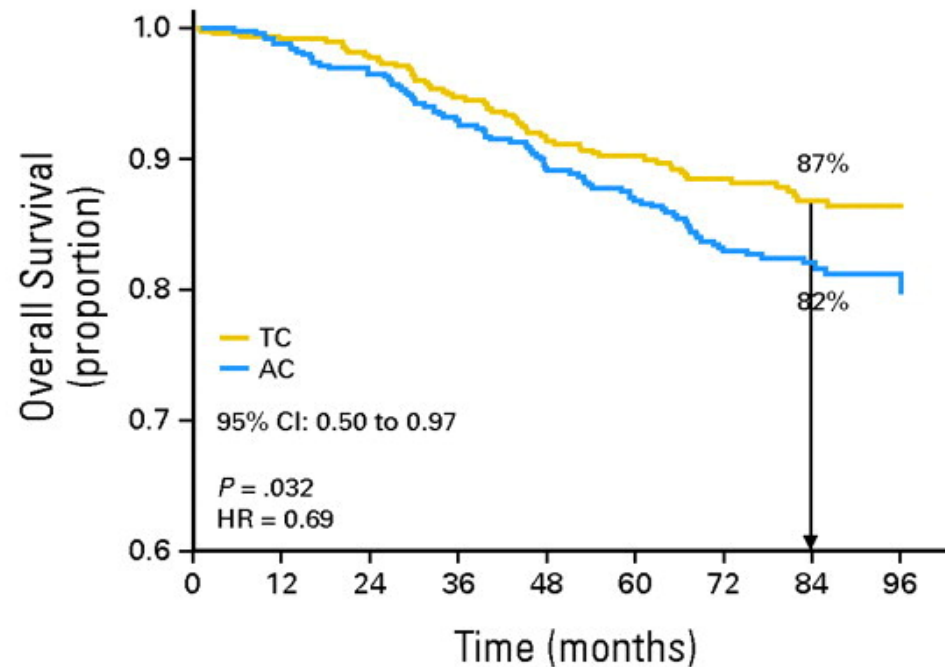
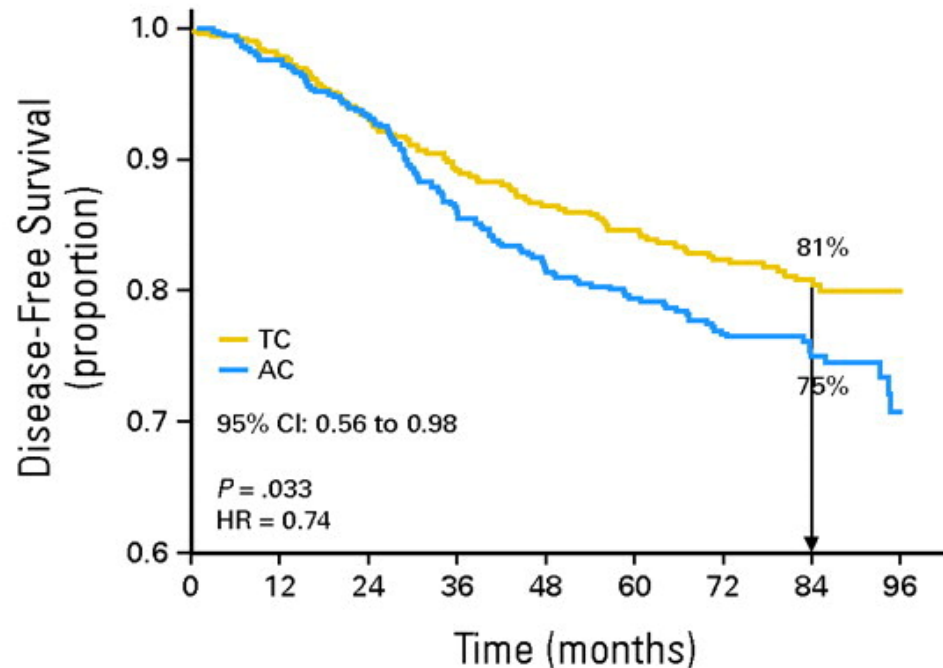
# Dose Density – Q2 vs 3 weekly Anthracycline

- Meta-analysis of 26 studies adjuvant chemo trials
- Dose Dense Q2 weekly chemo is superior to Q3 weekly chemo in reducing:
  - Risk of recurrence
  - Breast cancer mortality



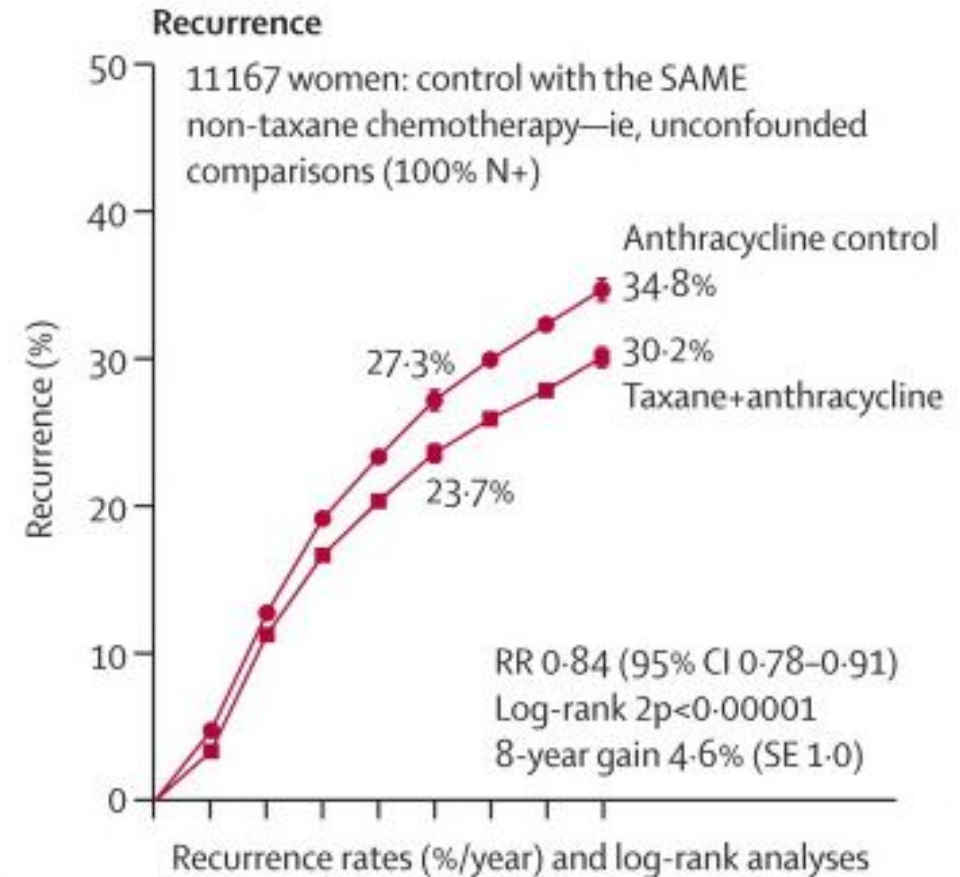
# Adjuvant Taxane vs Anthracycline Chemo

- TC associated with improved DFS compared to Q3 wk. AC
- TC associated with improved OS compared to Q3 wk. AC



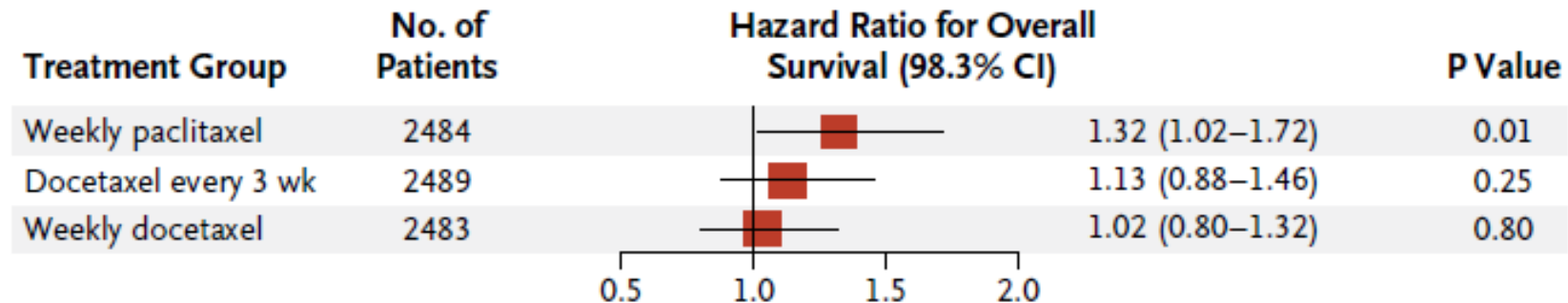
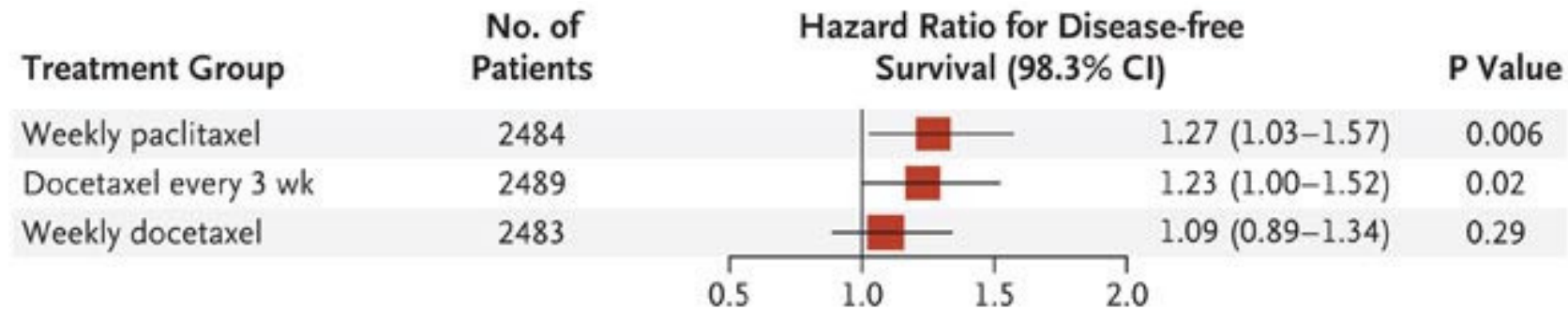
# Adjuvant chemotherapy: Taxane + Anthracycline

- Addition of Taxane chemotherapy to Anthracycline resulted in:
  - Decreased risk of recurrence
  - Decreased breast cancer mortality
  - Improved overall survival



# Weekly Paclitaxel in the Adjuvant Treatment of Breast Cancer

What is the optimal Taxane and schedule?



## Preoperative chemotherapy for women with operable breast cancer

- Meta-analysis of 14 trials
- Neoadjuvant vs Adjuvant Chemotherapy
  - Equivalent OS rates (HR 0.98, 95% CI, 0.87 to 1.09)
  - Equivalent DFS rates (HR 0.97, 95% CI 0.89-1.07)
- Neoadjuvant associated with improved breast conservation rates
- Pathologic complete response associated w/ significant improvements in:
  - OS (HR 0.48, 95% CI 0.33-0.69)
  - DFS (HR 0.48, 95% CI 0.37-0.63)

# Adjuvant chemotherapy regimens

## • Preferred Regimens (NCCN)

- Dose-Dense AC followed by Paclitaxel wkly
- Dose-Dense AC followed by Paclitaxel Q2 wkly
- TC (Docetaxel/Cyclophos) Q3 wkly

## • Additional Regimens (NCCN)

- Dose dense AC (Doxorubicin/Cyclophos)
- AC Q3 wkly
- CMF
- AC Q3 wkly followed by Paclitaxel wkly

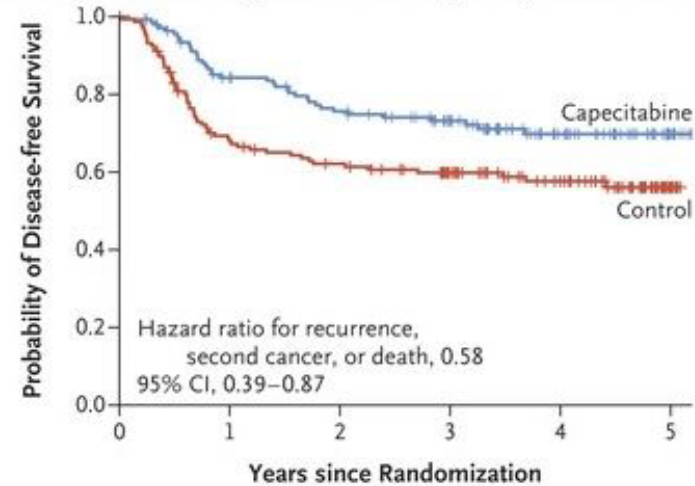


Triple Negative Breast Cancer

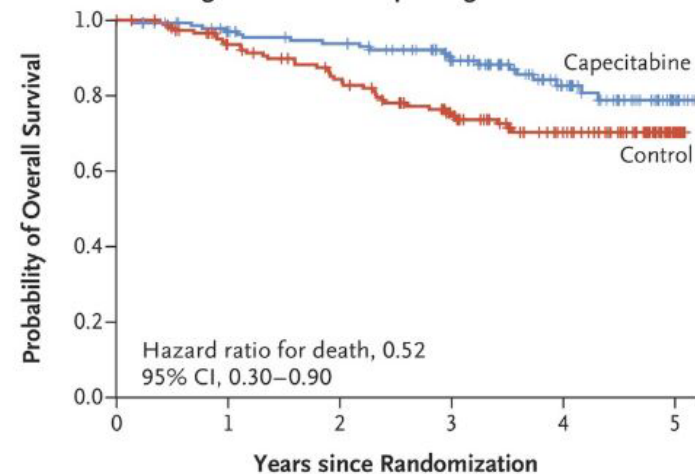
# Adjuvant Capecitabine for Breast Cancer after Preoperative Chemotherapy

- HR+ and TNBC patients with residual disease after neoadjuvant chemo
- In TNBC patients adjuvant Capecitabine improved:
  - Disease-free survival
  - Overall Survival

Disease-free Survival among Patients with Triple-Negative Disease



Overall Survival among Patients with Triple-Negative Disease



# Goserelin for Ovarian Protection during Breast-Cancer Adjuvant Chemotherapy

- Pre-menopausal undergoing adjuvant chemo assigned to:
  - Goserelin + chemotherapy
  - Chemotherapy alone
- Goserelin associated with:
  - Less ovarian failure
  - More pregnancies (21% vs 11%)
  - Improved DFS and OS

