



Esophageal and Gastric Cancer

2025 Comprehensive Oncology Review

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Land Acknowledgement

Fred Hutchinson Cancer Center acknowledges the Coast Salish peoples of this land, the land which touches the shared waters of all tribes and bands within the Duwamish, Puyallup, Suquamish, Tulalip and Muckleshoot nations.



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- 5** Advanced ESO-Gastric cancers

Emerging Questions / Topics in Upper GI Cancers

1. Locally advanced esophageal GE / GEJ adenocarcinoma
 - Role of radiation?
 - Non-operative management

1. Immune checkpoint inhibitors in perioperative treatment for gastric cancer

1. Claudin 18.2 as a treatment target

Epidemiology and Risk Factors

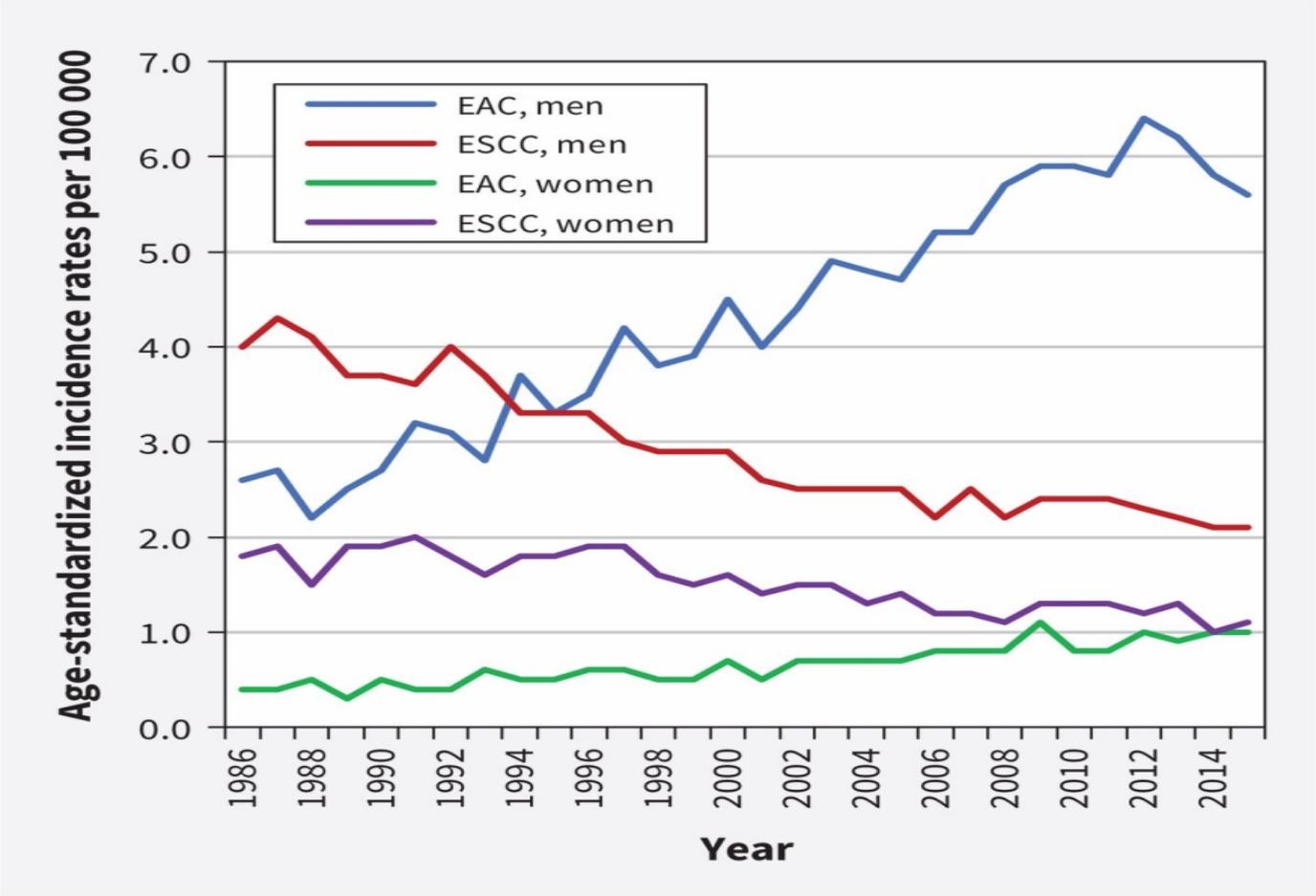
Incidence and Mortality - 2025

	Estimated new cases			Estimated deaths		
	Male	Female	TOTAL	Male	Female	TOTAL
↓ <i>Esophageal</i>	17,430	4,640	22,070	12,940	3,310	16,250
↑ <i>Gastric</i>	17,720	12,580	30,300	6,400	4,380	10,780

Esophageal Cancer: 6th most common cause of cancer death worldwide
 Gastric Cancer: 3rd most common cause of cancer death worldwide



Esophageal Cancer Epidemiology

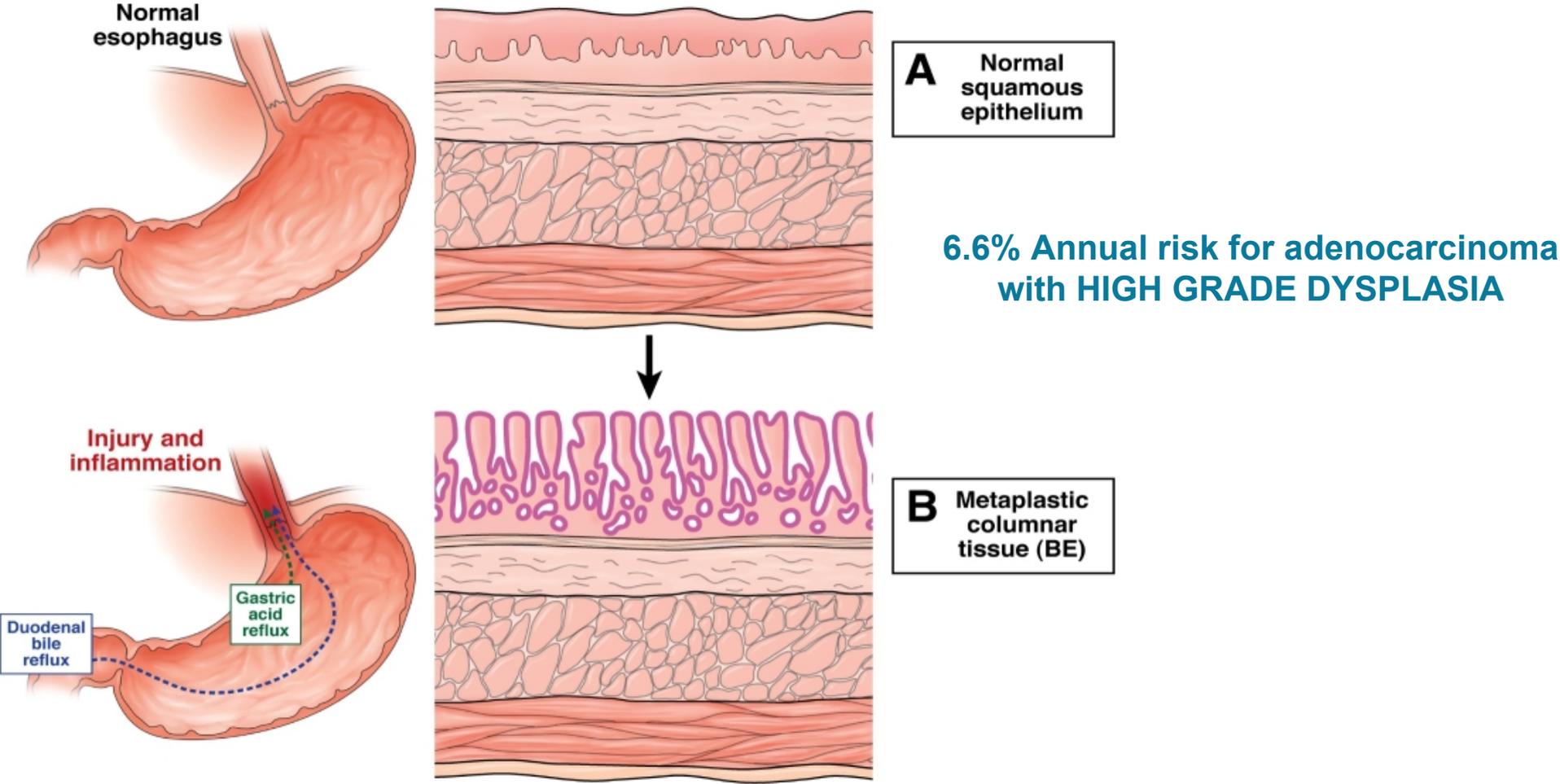


Esophageal Cancer: Risk Factors

Squamous Cell Carcinoma	Adenocarcinoma
<ul style="list-style-type: none"> • <i>Tobacco (5-10 x risk)</i> • <i>EtOH (3-7 x risk)</i> • Betel nut • Hot liquids – burns • Nitroso compounds 	<ul style="list-style-type: none"> • Tobacco (2 x risk) • EtOH (1.2 x risk) • <i>GERD (7.7 x risk)</i> • <i>Obesity (3 x risk)</i>



Barrett's Esophagus



Gastric Cancer: Risk Factors

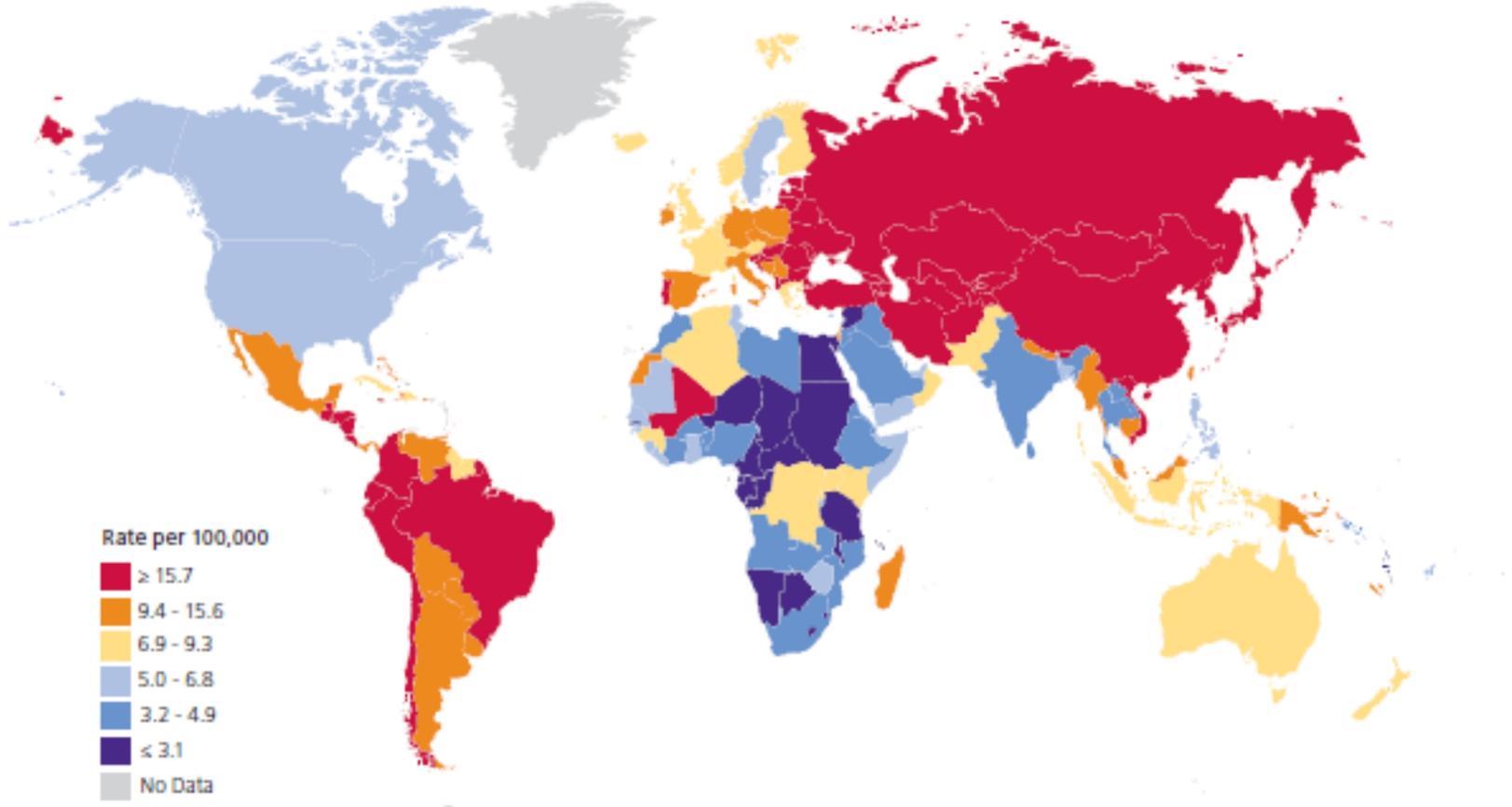
Gastric Cancer

- Nitrite-containing, salt preserved foods
- Smoking (distal gastric cancers) (OR 2.1 vs. nonsmoker)
- GERD (cardia tumors) (OR 2.0)
- Obesity (2-3x higher risk in obese vs. normal BMI)
- **H. pylori** (intestinal subtype; body/distal) (1.2-16.7 fold increased risk, particularly CagA strain)
- **Familial** (Hereditary diffuse gastric cancer (CDH1 mut; E. cadherin loss); HNPCC (Lynch); Peutz-Jehgers (STK11); Li-Fraumeni (p53); FAP (APC))



Gastric Cancer Trends

International variation in age-standardized gastric cancer incidence globally



Gastric Cancer in Asian vs. Western Populations

- In Asia:
 - Younger age at diagnosis
 - More localized disease at presentation (53% in Japan vs. 27% in US) – screening programs
 - More common in distal stomach
 - More aggressive surgical resection
 - More lines of systemic therapy
- ***Better Survival***

Diagnosis and Staging

Esophageal Cancer Staging: AJCC 9th ed

AJCC 9th Edition - Esophageal Cancer Staging

T stage	Tis = high grade dysplasia T1a = Tumor invades lamina propria or muscularis mucosae T1b = Tumor invades submucosa) T2 = Tumor invades muscularis propria T3 = Tumor invades adventitia T4a = Resectable tumor invading pleura, pericardium, or diaphragm T4b = Unresectable tumor invading other adjacent structures, such as aorta, vertebral body, trachea, etc.)
N stage	N0 = No lymph node metastases N1 = Metastases in 1-2 regional lymph nodes N2 = Metastases in 3-6 regional lymph nodes N3 = Metastases in 7 or more regional lymph nodes
M stage	M0 = no distant metastases M1 = distant metastases

Stage groupings

- Location for squamous (not adeno)
- Grade is included in stage grouping for both



Gastric Cancer Staging: AJCC 9th ed

AJCC 9 th Edition - Esophageal Cancer Staging	
T stage	<p>Tis = high grade dysplasia</p> <p>T1a = Tumor invades lamina propria or muscularis mucosae</p> <p>T1b = Tumor invades submucosa</p> <p>T2 = Tumor invades muscularis propria</p> <p>T3 = Tumor penetrates subserosal connective tissue without invasion of visceral peritoneum or adjacent structures</p> <p>T4a = Tumor invades serosa (visceral peritoneum)</p> <p>T4b = Tumor invades adjacent structures/organs</p>
N stage	<p>N0 = No lymph node metastases</p> <p>N1 = Metastases in 1-2 regional lymph nodes</p> <p>N2 = Metastases in 3-6 regional lymph nodes</p> <p>N3 = Metastases in 7 or more regional lymph nodes</p>
M stage	<p>M0 = no distant metastases</p> <p>M1 = distant metastases*</p>

M1 disease: positive peritoneal cytology (without gross peritoneal disease) is considered M1 disease



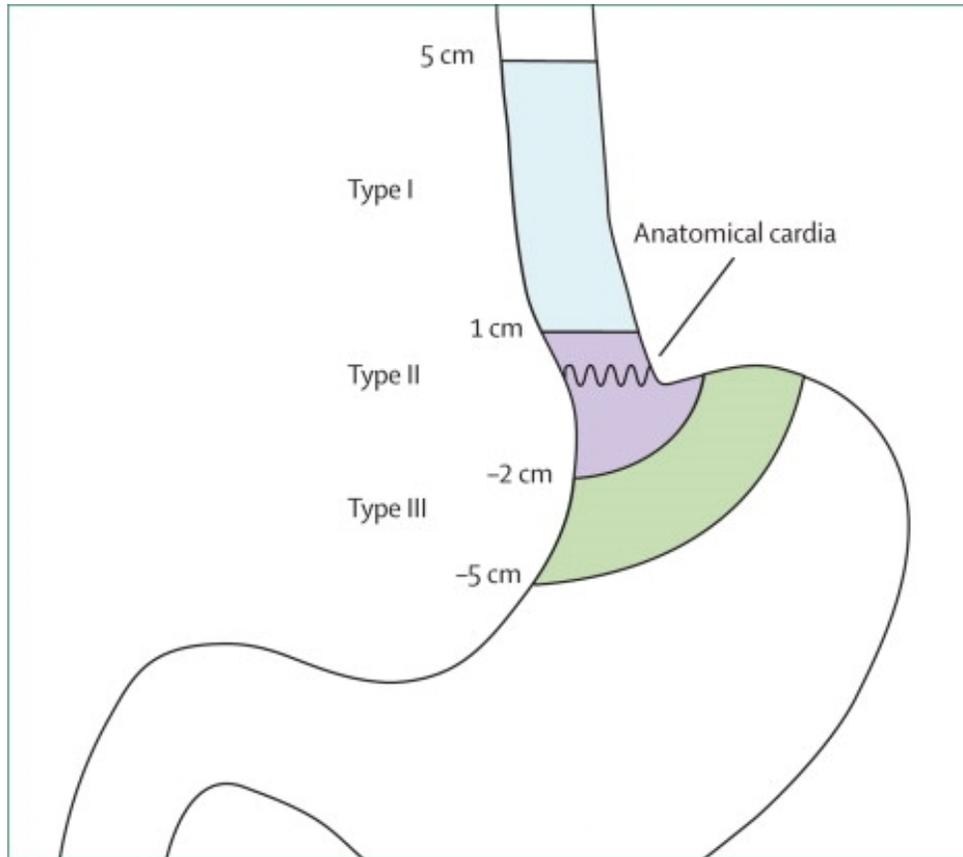
Esophageal and Gastric Cancer Staging Workup

- **T-stage**: EUS*, Bronchoscopy (if above carina)
- **N-stage**: EUS (round, hypoechoic, smooth bordered), PET
- **M-stage**: CT, PET, diagnostic laparoscopy (gastric)

** Dysphagia is usually indicative of T3 lesion regardless of EUS
EUS may not be helpful in linitis plastica / diffuse-type gastric cancer*



GE Junction– Siewert Classification



Type 1	Located between 1-5cm proximal to anatomic cardia
Type 2	Located between 1cm proximal and 2cm distal to anatomic cardia
Type 3	Located between 2 and 5cm distal to anatomic cardia



Esophageal Cancer Staging Nomenclature

- Clinical staging (u or c prefix)
- Pathologic staging (after chemoradiation): yp prefix
- Example: uT3N1 (stage IIIB) distal esophageal adeno
→ chemoRT → surgery → ypT1N0

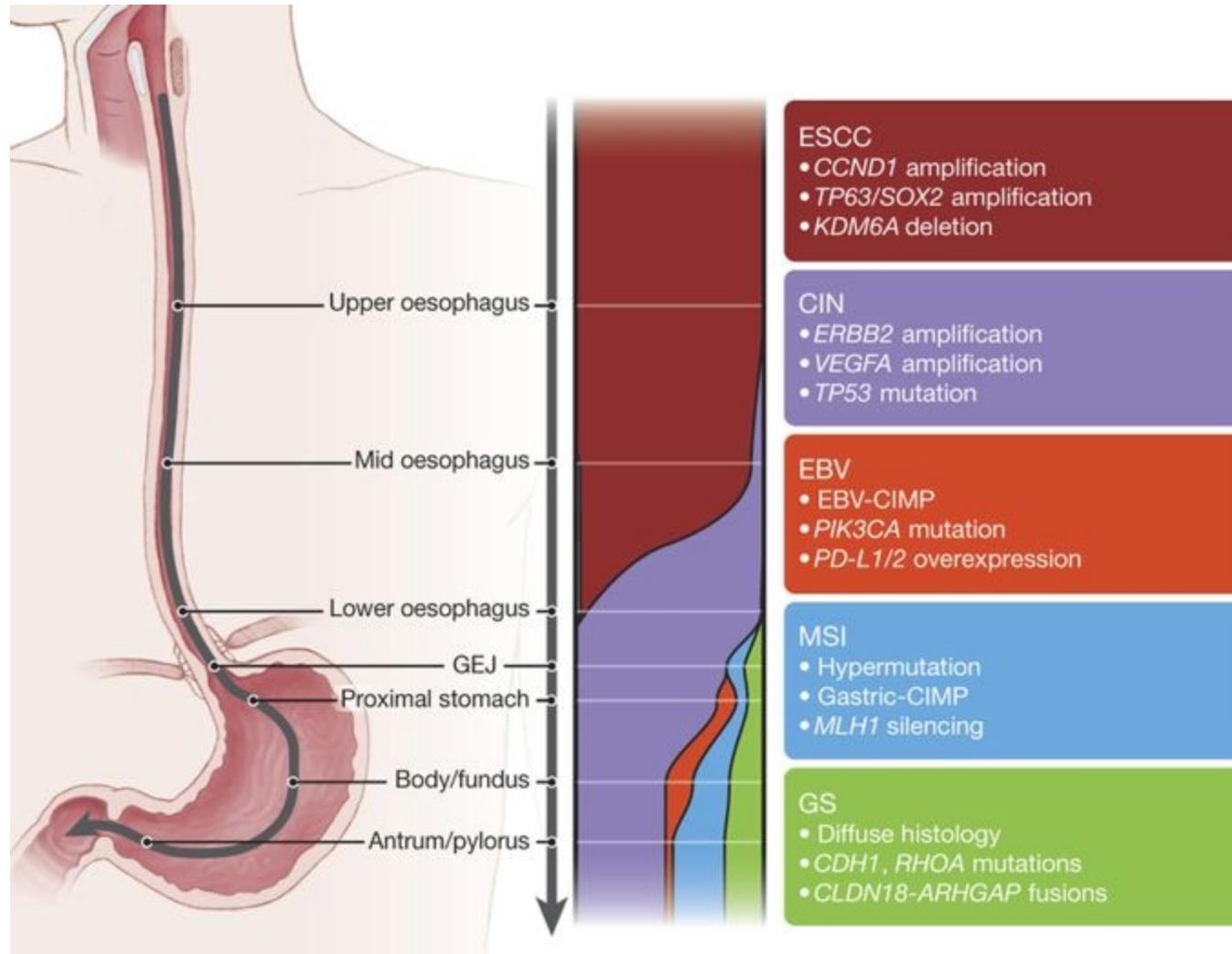
Tumor Regression Grading

Modified Ryan Scheme	
Description	Tumor Regression Score
No viable cancer cells (complete response)	0
Single cells or rare small groups of cancer cells (near complete response)	1
Residual cancer with evident tumor regression, but more than single or rare groups of cancer cells (partial response)	2
Extensive residual cancer with no evident tumor regression (poor or no response)	3



Pathology

Upper GI Cancer Molecular Subtypes

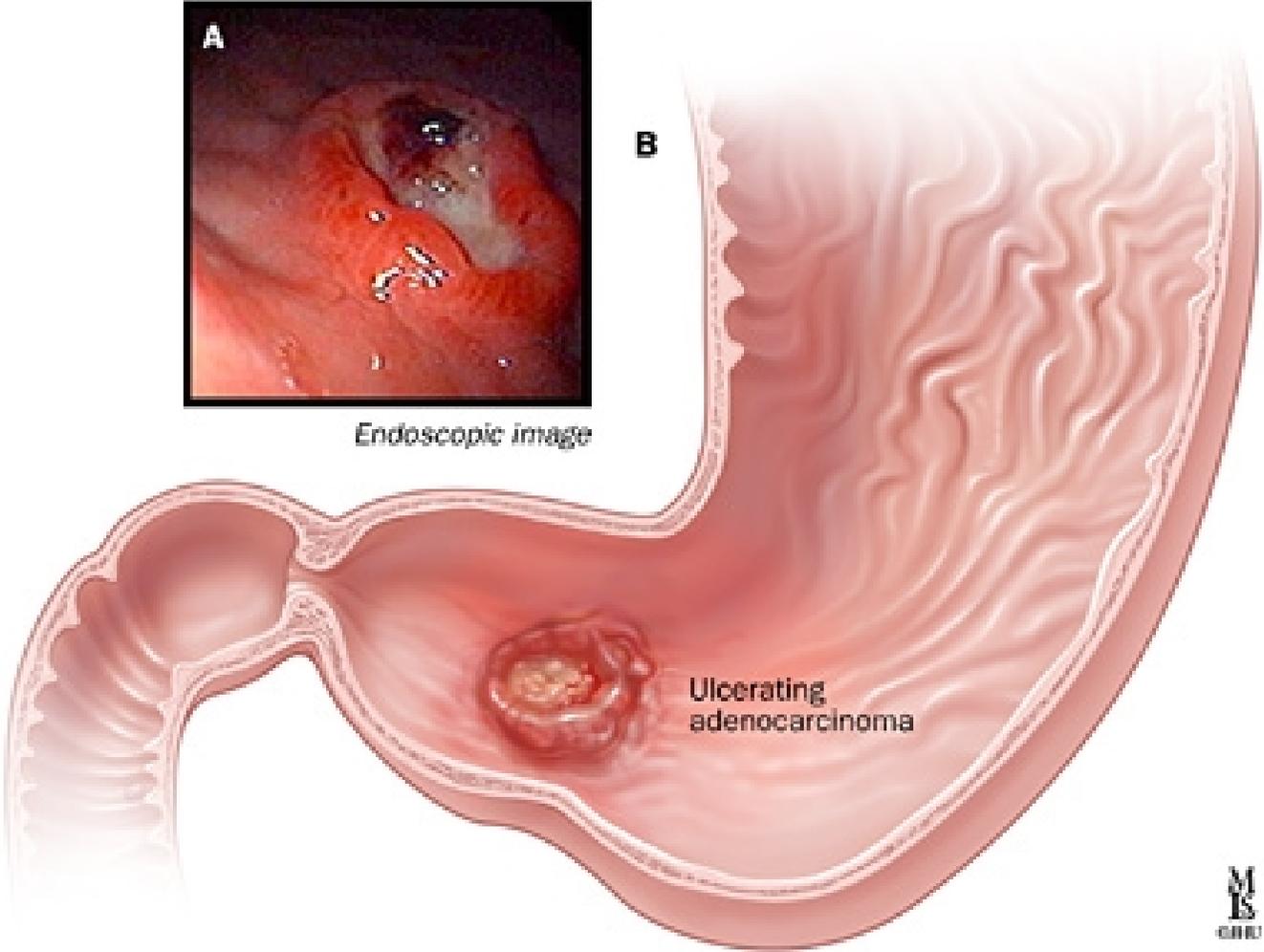


Lauren Classification - Adenocarcinoma

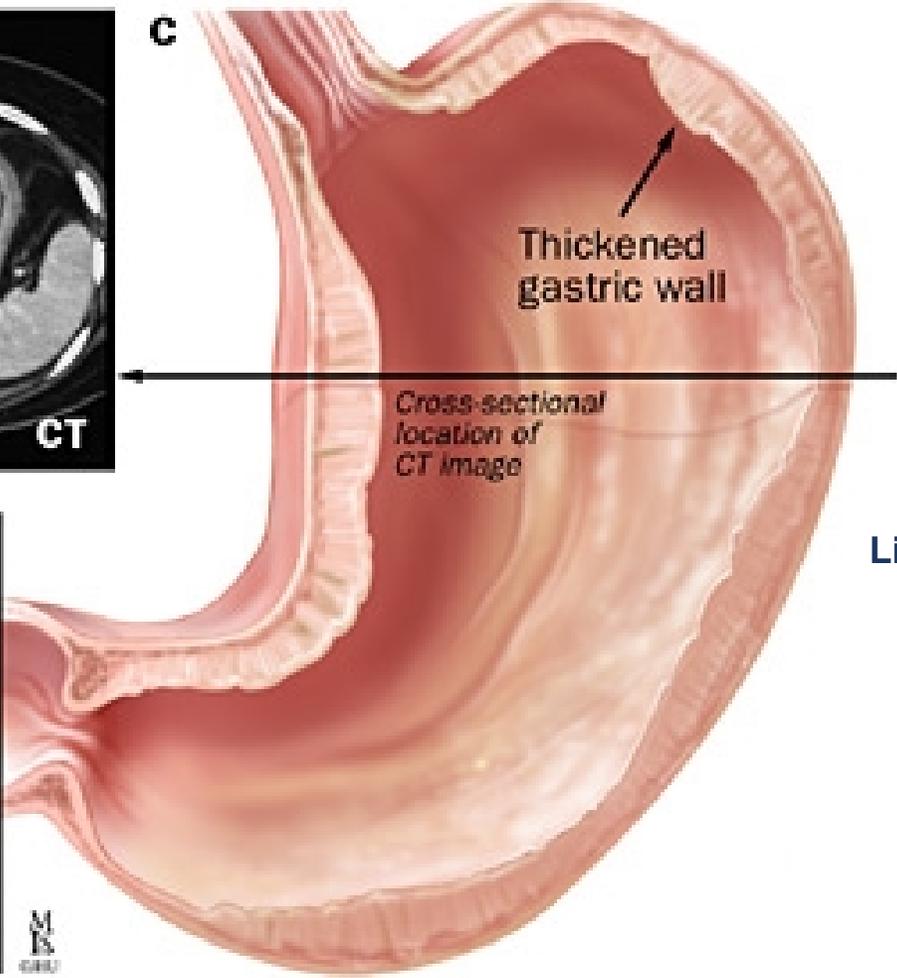
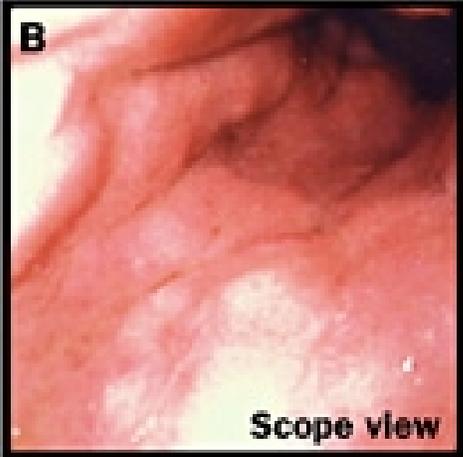
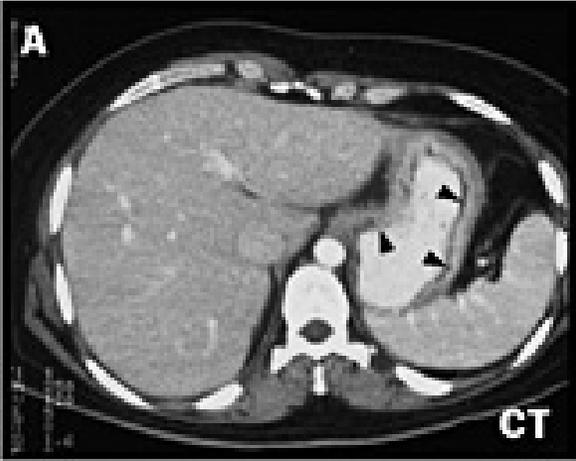
Intestinal	Diffuse
<ul style="list-style-type: none">• Inflammation present (<i>H. pylori</i>, <i>atrophic gastritis</i>, <i>glandular dysplasia</i>)• 'Cascade' of events: inflammation → intestinal metaplasia → dysplasia → invasive carcinoma• Mucosal mass• Develop over years, better prognosis	<ul style="list-style-type: none">• No inflammation• Loss of E-cadherin -- no clear precancerous lesion• No clear mucosal mass - Invades gastric wall (e.g. linitis plastica)• Highly metastatic, invasive, poor prognosis



Intestinal Type Adenocarcinoma



Diffuse Type Adenocarcinoma



Hereditary Diffuse Gastric Cancer

- Germline mutations in CDH1 gene (leading to loss of E-cadherin)
- Autosomal dominant with > 70% penetrance
- Diffuse, signet ring type adenocarcinoma
- Increased incidence lobular breast cancer
- Prophylactic gastrectomy should be considered

Her2 + Esophageal and Gastric Cancers

- **15-20%** of all gastric/esophageal adenocarcinoma (distal esophageal, GE junction, intestinal-type)
- Her2 3+ OR FISH + (*HER2*/CEP17 ratio ≥ 2.0) considered eligible

Gastric / Eso	Breast
<ul style="list-style-type: none">• Heterogeneous expression• Interpretation criteria differs between biopsy and resection• Apical membrane often does not stain - + result requires only lateral / basolateral staining	<ul style="list-style-type: none">• Uniform expression• Same interpretation criteria regardless of specimen• Complete circumferential staining required for positive result.

PDL1 Assessment in Upper GI Cancer

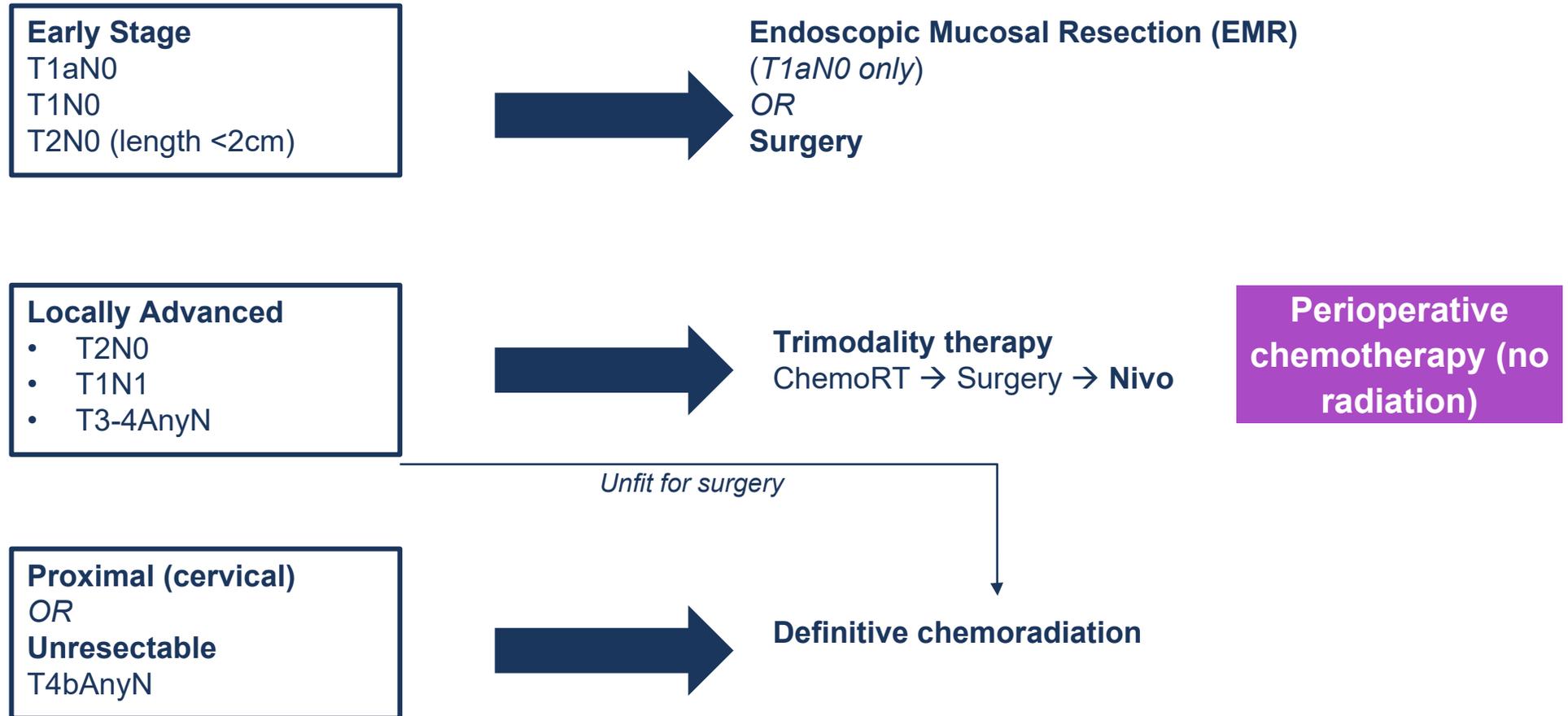
- PD-L1 is expressed in approximately 40% of esophagogastric cancers.
- **CPS Score** -- Unlike melanoma or lung cancer, membranous PD-L1 expression is rare ; occurs predominantly on infiltrating immune cells.
- Pembro / Keynote studies = PD-L1 **IHC 22C3** PharmaDx
- Nivo / BMS gastric studies = PD-L1 **IHC 28-8** PharmaDx

Claudin 18.2

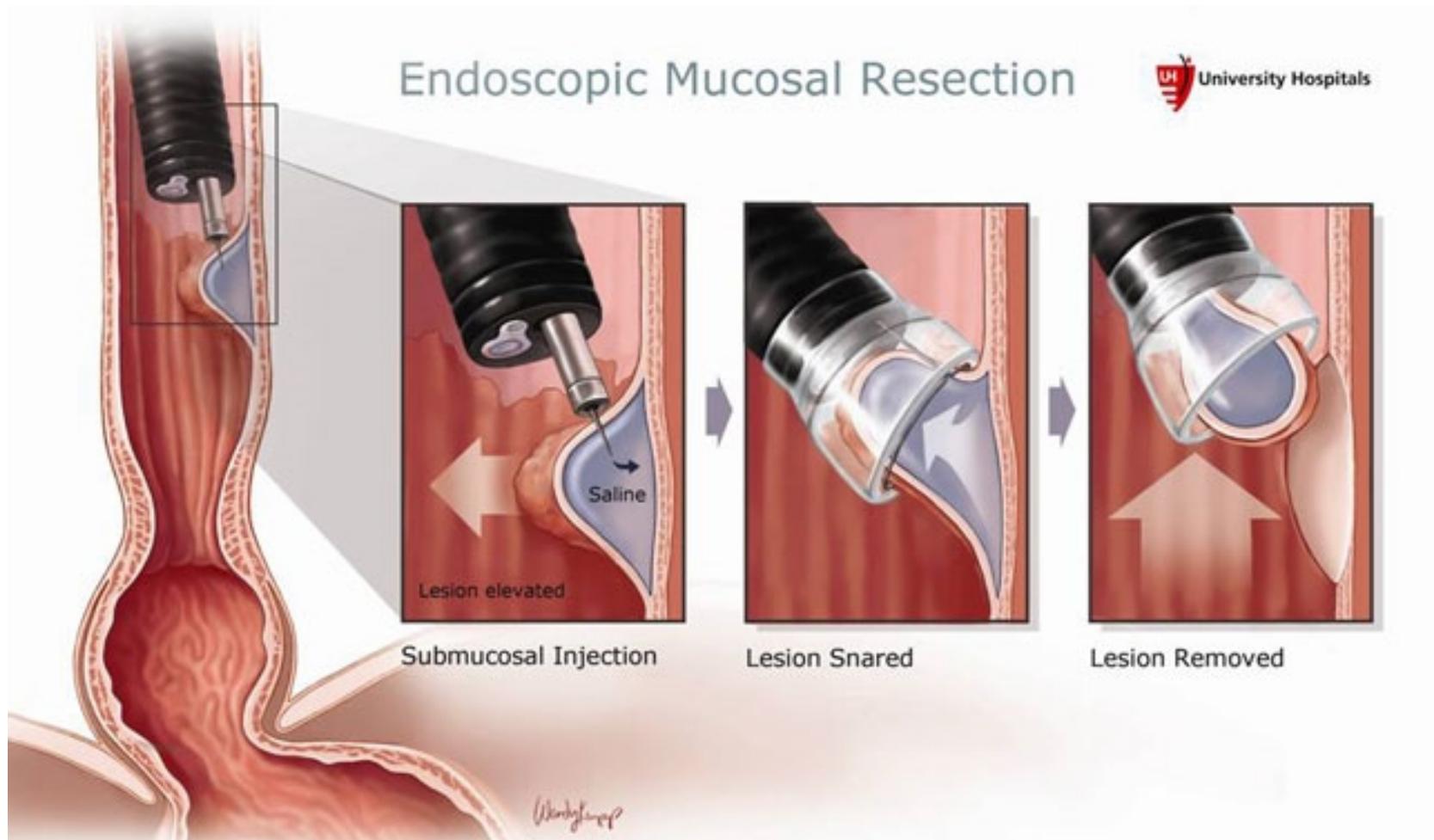
- **Claudin 18.2** is a tight junction protein normally expressed in the gastric mucosa – in gastric malignancy, it becomes exposed on the cell surface where it is targetable.
- Claudin 18.2 (CLDN18.2) immunohistochemistry (IHC): positivity defined as $\geq 75\%$ of viable tumor cells showing moderate to strong membranous staining (2+ or 3+ intensity)
- VENTANA CLDN18 (43-14A) RxDx IHC assay
- **CLDN18.2 positivity ($\geq 75\%$ cutoff) is seen in approximately 24–44% of advanced gastric and gastroesophageal junction adenocarcinomas**
- **Predictive**, not prognostic, biomarker

Stage I-III Esophageal Cancer

Esophageal Cancer Treatment Algorithm



Endoscopic Mucosal Resection – T1a lesions



Surgery (Esophagectomy)

Transhiatal approach	Transthoracic (Ivor Lewis)
<ul style="list-style-type: none">• Blind dissection of tumor• Thoracotomy not required• Anastomotic leak more common, but easier to manage• Abdominal and cervical incisions• Shorter ICU / hospital stay	<ul style="list-style-type: none">• Direct visualization of tumor• Thoracotomy required• Anastomotic leak less common, but mediastinal leaks difficult to manage – higher morbidity• Abdominal and thoracic incisions

Surgery should be done at a high-volume center



Rationale for Chemoradiation in Esophageal Cancer

- Staging tests aren't perfect
- Esophagus nearby heart, great vessels, and lungs
- Neoadjuvant chemoradiation helps:
 - Downstage the tumor
 - Sterilizes the surgical field
 - Treat micrometastatic dx
 - Much more challenging to give adjuvant chemoRT



Dutch CROSS Trial

ORIGINAL ARTICLE

Preoperative Chemoradiotherapy for Esophageal or Junctional Cancer

P. van Hagen, M.C.C.M. Hulshof, J.J.B. van Lanschot, E.W. Steyerberg,
M.I. van Berge Henegouwen, B.P.L. Wijnhoven, D.J. Richel,
G.A.P. Nieuwenhuijzen, G.A.P. Hospers, J.J. Bonenkamp, M.A. Cuesta,
R.J.B. Blaisse, O.R.C. Busch, F.J.W. ten Kate, G.-J. Creemers, C.J.A. Punt,
J.T.M. Plukker, H.M.W. Verheul, E.J. Spillenaar Bilgen, H. van Dekken,
M.J.C. van der Sangen, T. Rozema, K. Biermann, J.C. Beukema,
A.H.M. Piet, C.M. van Rij, J.G. Reinders, H.W. Tilanus,
and A. van der Gaast, for the CROSS Group*



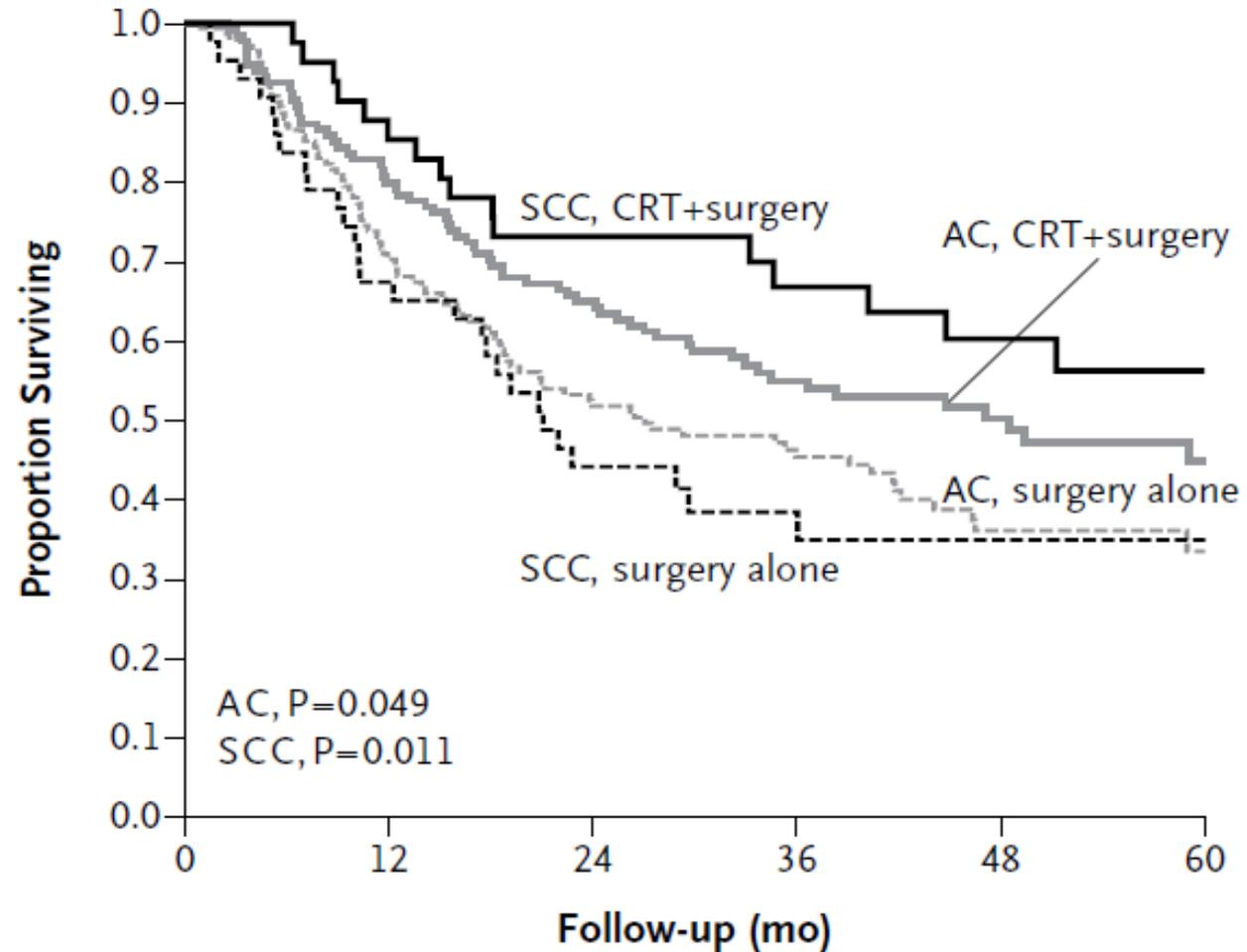
Dutch CROSS Trial

Rationale	<ul style="list-style-type: none">• Does preoperative chemoradiation add to benefit of surgery?
N = 368	<ul style="list-style-type: none">• 188 surgery vs 180 chemoRT + surgery
Inclusion	<ul style="list-style-type: none">• Adenocarcinoma or SCC• Esophagus and GE Junction (Siewert 3 excluded); T1N1, T2-3N0-1
Treatment Arms	<ul style="list-style-type: none">• Surgery alone (Transthoracic for mid-thoracic tumors, Transhiatal for distal tumors)• Preoperative chemoRT → surgery<ul style="list-style-type: none">○ Total Radiation Dose = 41.4 Gy○ Weekly Carboplatin AUC 2 + Paclitaxel 50mg/m²



Histologic Subtype and Survival

B Survival According to Tumor Type and Treatment Group



Dutch CROSS Trial – Key Results

	Surgery alone	CRT + surgery
N	188	175
R0 resection rate	67%	92.3%
Path complete response	N/A	32%
Med survival	26 months	49 months
1-year survival	70%	82%
3-year survival	48%	59%
Anastomotic leakage	25%	22%
In-hospital mortality	3.8%	3.4%



Radiation Esophagitis

- **Topical anesthetics** (e.g. viscous lidocaine)
- **Analgesics and antiinflammatories** (narcotics, dex elixir, carafate)
- **Dietary modification** (bland, soft, pureed, less acidic, room temp, converting to liquid medication when possible)
- **Supplementary nutrition**
 - **Avoid PEG/G tubes in surgical candidates**; NG / Dobhoff preoperatively in the short term



Adjuvant Therapy -- Checkmate 577

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Adjuvant Nivolumab in Resected Esophageal or Gastroesophageal Junction Cancer

R.J. Kelly, J.A. Ajani, J. Kuzdzal, T. Zander, E. Van Cutsem, G. Piessen, G. Mendez, J. Feliciano, S. Motoyama, A. Lièvre, H. Uronis, E. Elimova, C. Grootsholten, K. Geboes, S. Zafar, S. Snow, A.H. Ko, K. Feeney, M. Schenker, P. Kocon, J. Zhang, L. Zhu, M. Lei, P. Singh, K. Kondo, J.M. Cleary, and M. Moehler, for the CheckMate 577 Investigators*

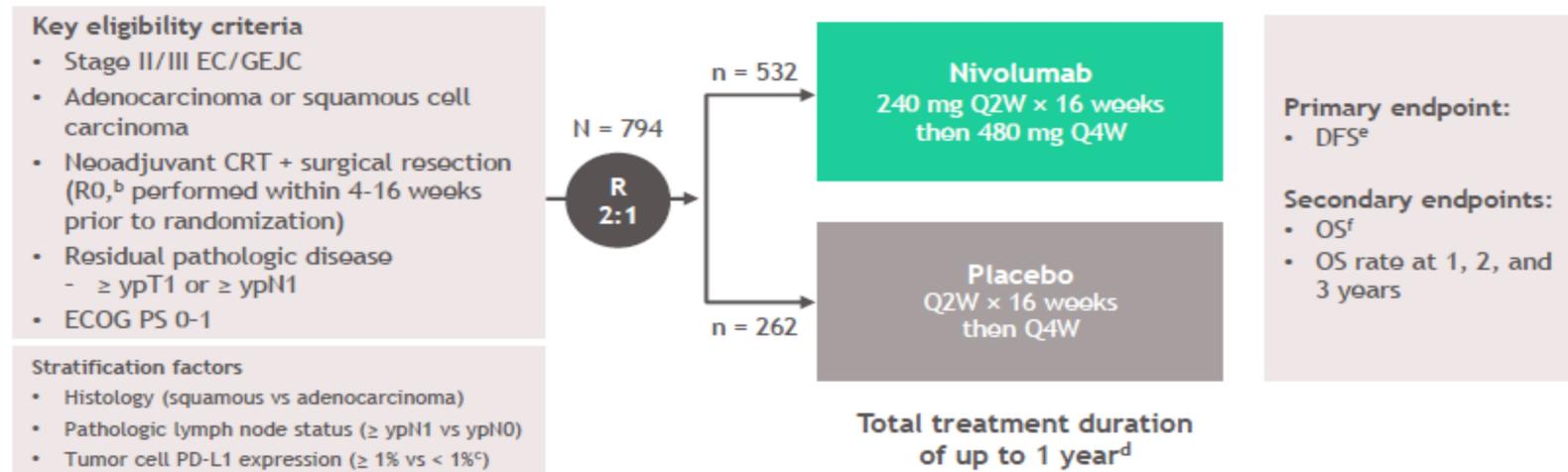


Checkmate 577 Study Design

CheckMate 577

CheckMate 577 study design

- CheckMate 577 is a global, phase 3, randomized, double-blind, placebo-controlled trial^a



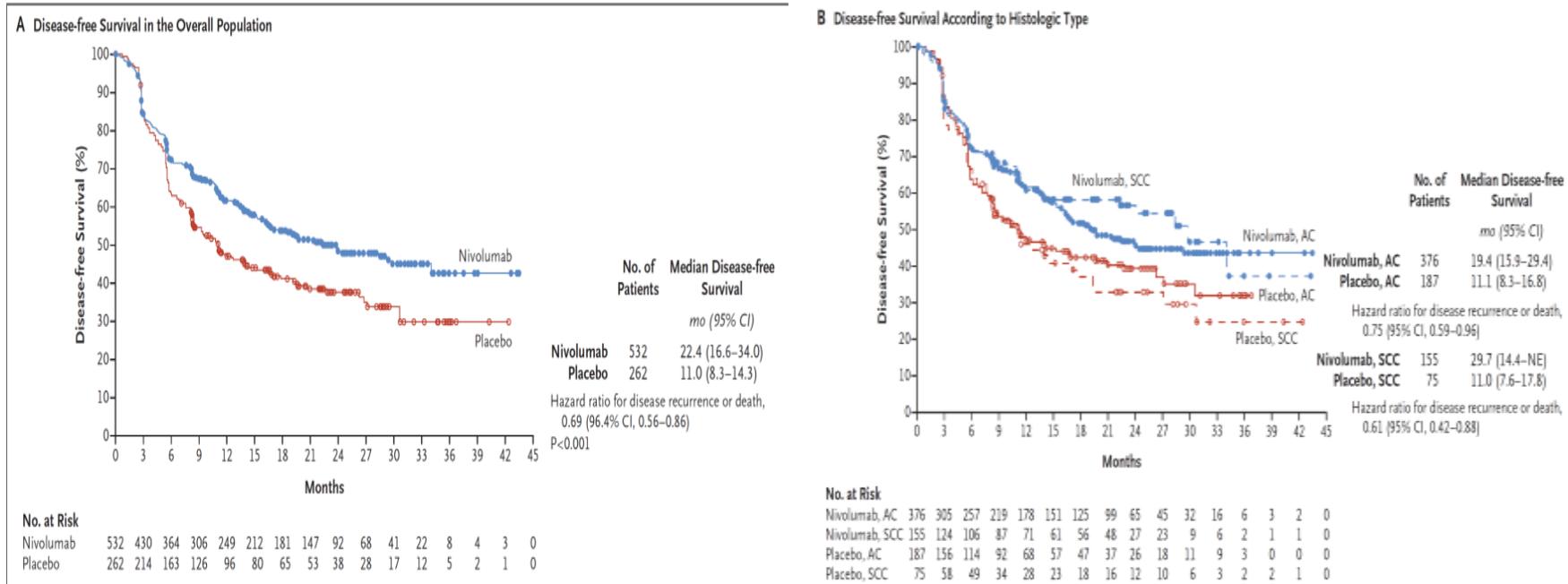
- Median follow-up was 24.4 months (range, 6.2-44.9)^g
- Geographical regions: Europe (38%), US and Canada (32%), Asia (13%), rest of the world (16%)

^aClinicalTrials.gov number, NCT02743494; ^bPatients must have been surgically rendered free of disease with negative margins on resected specimens defined as no vital tumor present within 1 mm of the proximal, distal, or circumferential resection margins; ^c< 1% includes indeterminate/nonevaluable tumor cell PD-L1 expression; ^dUntil disease recurrence, unacceptable toxicity, or withdrawal of consent; ^eAssessed by investigator, the study required at least 440 DFS events to achieve 91% power to detect an average HR of 0.72 at a 2-sided α of 0.05, accounting for a pre-specified interim analysis; ^fThe study will continue as planned to allow for future analysis of OS; ^gTime from randomization date to clinical data cutoff (May 12, 2020).

5

Disease-Free Survival

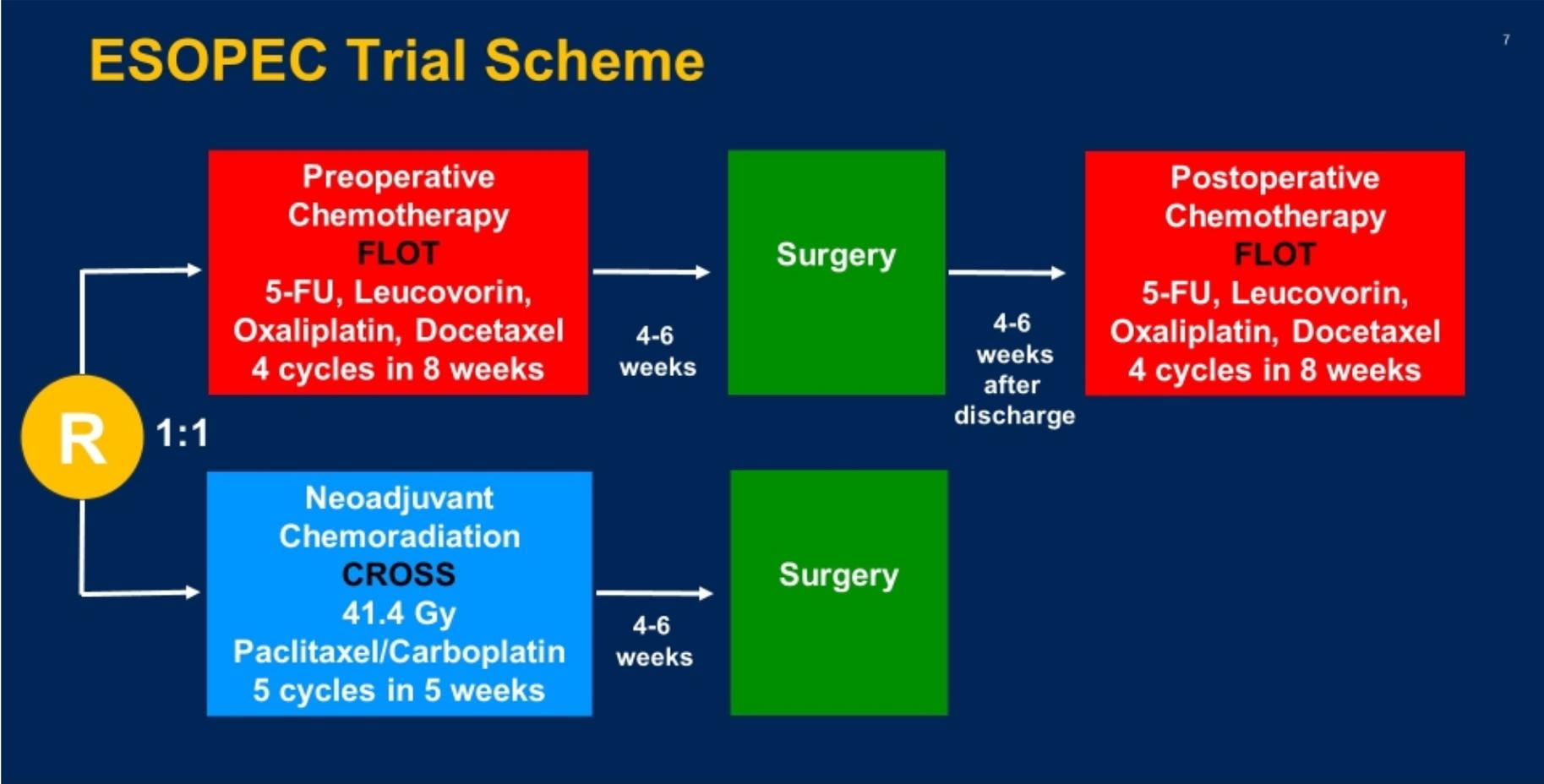
Median DFS 22.4 months (Nivo) vs. 11.0 months (Placebo)



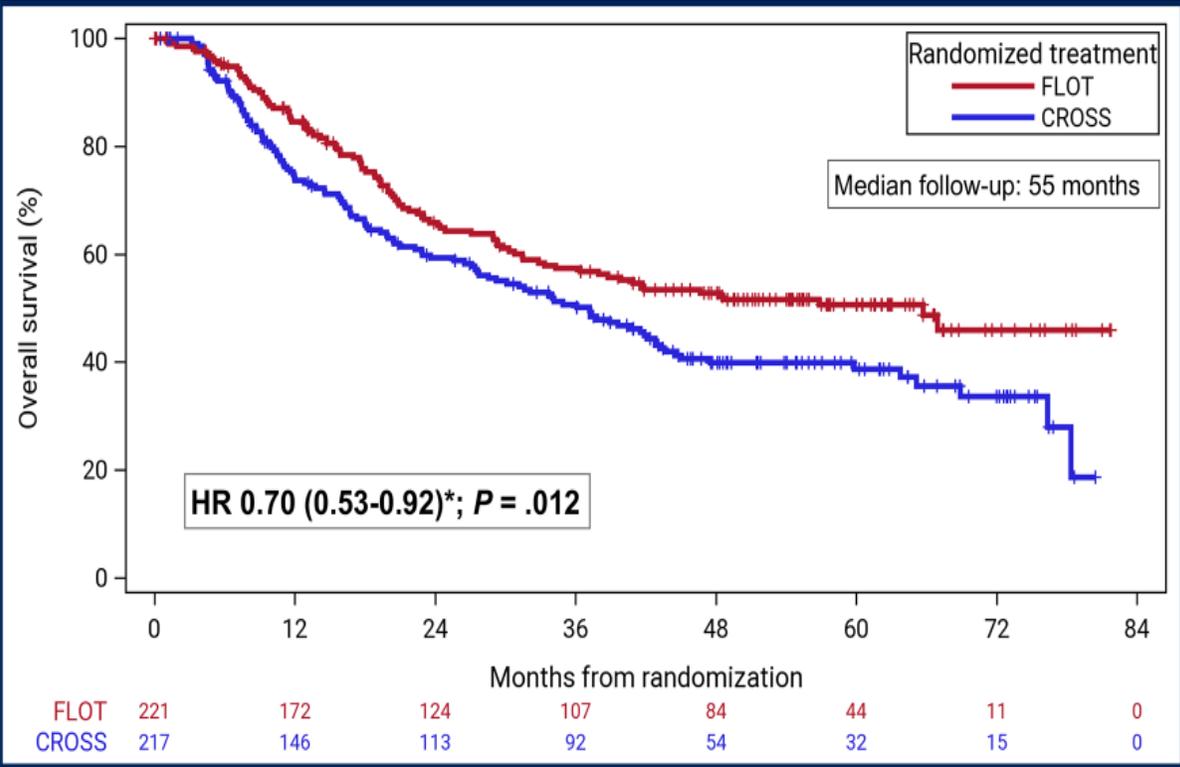
Benefit seen across all pre-specified subgroups; PD-L1 expression did not matter

Nivolumab was FDA approved in May 2021 for adjuvant esophageal cancer with residual disease after trimodality therapy (i.e. not for patients with pathological CR)

ESOPEC – Periop FLOT vs. CROSS in Esophageal Adeno



ESOPEC – Key Results



	FLOT	CROSS
Events	97	121
Median OS time (months)	66 95% CI 36 – NE	37 95% CI 28 – 43
3-year OS rate	57.4%	50.7%
5-year OS rate	50.6%	38.7%

	FLOT	CROSS
Completed neoadjuvant Tx	87.3%	67.7%
Completed adjuvant Tx	52.5%	
R0 resection	94.2%	95.0%
Path CR	16.8%	10%

ESOPEC – Summary

- Lower RT dose (41.4 Gy) than we typically use here in the US ; low rates of completion of neoadjuvant Tx and low pCR rates compared with CROSS Trial (32%)
- Control arm does not include adjuvant nivolumab
- Systemic therapy given in CROSS might be too little in adenocarcinoma
- For fit healthy patients with esophageal adenocarcinoma would prefer perioperative FLOT

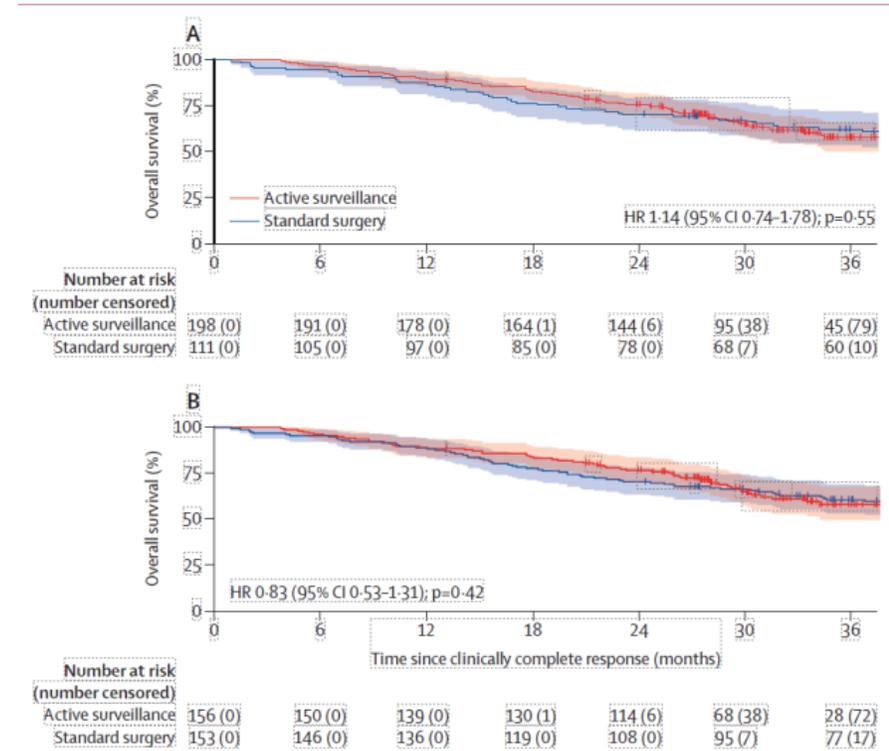
Can we treat nonoperatively?

Neoadjuvant chemoradiotherapy followed by active surveillance versus standard surgery for oesophageal cancer (SANO trial): a multicentre, stepped-wedge, cluster-randomised, non-inferiority, phase 3 trial

Berend J van der Wilk*, Ben M Eyck*, Bas P L Wijnhoven, Sjoerd M Lagarde, Camiel Rosman, Bo J Noordman, Maria J Valkema, Tanya M Bissefing, Peter-Paul L O Coene, Marc J van Det, Jan Willem T Dekker, Jolanda M van Dieren, Michail Doukas, Stijn van Esser, W Edward Fiets, Henk H Hartgrink, Joos Heisterkamp, I Lisanne Holster, Bastiaan Klarenbeek, David van Klaveren, Eva Kouw, Ewout A Kouwenhoven, Misha D Luyer, Bianca Mostert, Gard A P Nieuwenhuijzen, Liekele E Oostenbrug, Jean-Pierre Pierie, Johanna W van Sandick, Meindert N Sosef, Manon CW Spaander, Roelf Valkema, Edwin S van der Zaag, Ewout W Steyerberg, J Jan B van Lanschot, SANO Study Group†

Multicentre, stepped-wedge, cluster-randomised, non-inferiority, phase 3 trial

309 patients with CR after neoadjuvant chemoRT → R
→ surgery vs. surveillance

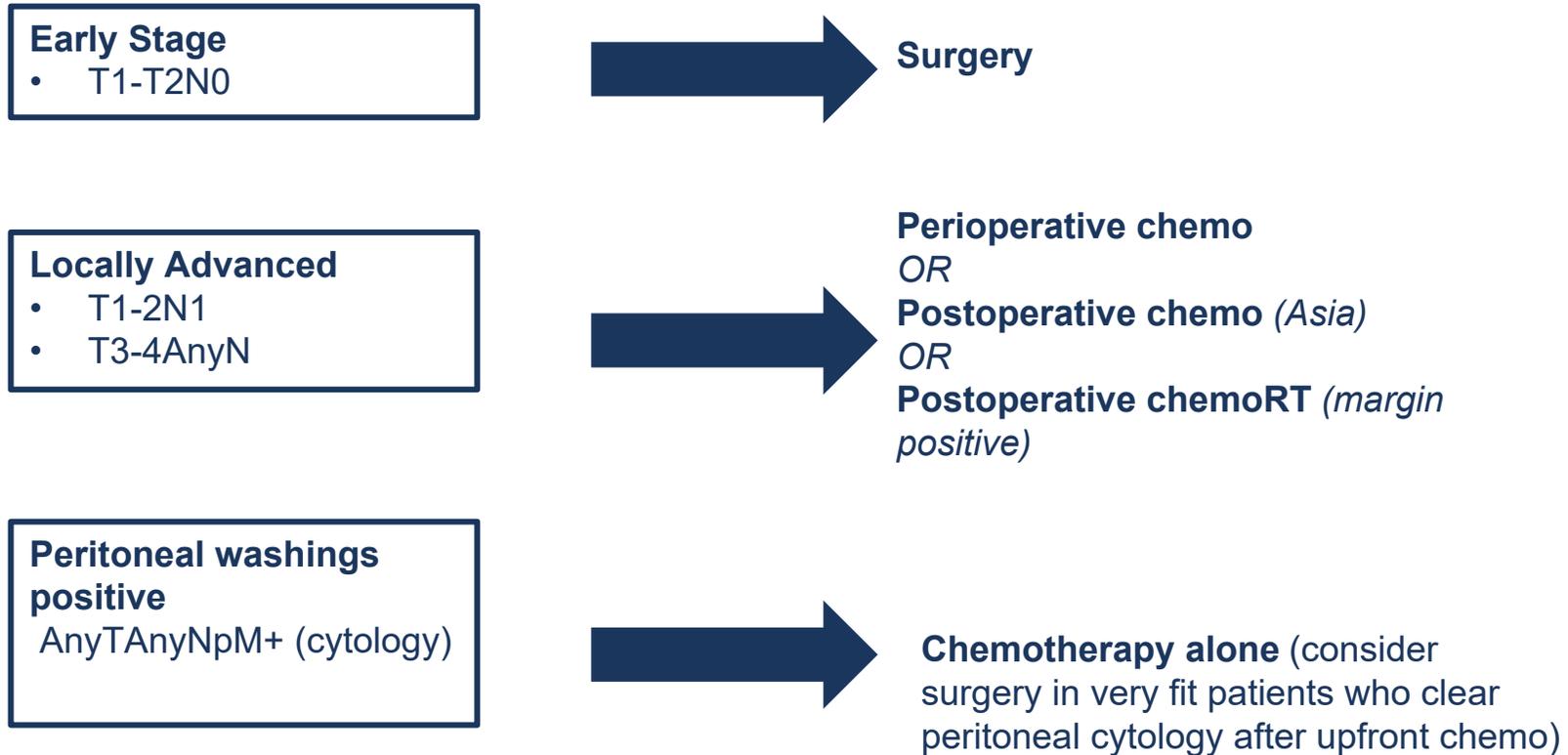


Take – Home Points – Stage I-III Esophageal Cancer

1. Endoscopic resection for T1a lesions
2. For T2+ or N1+ tumors, Trimodality therapy → Adjuvant nivolumab is still the standard approach for squamous cell carcinoma and an option for adenocarcinoma
3. Esophageal adenocarcinoma – recent data supports a non-radiation approach with perioperative FLOT

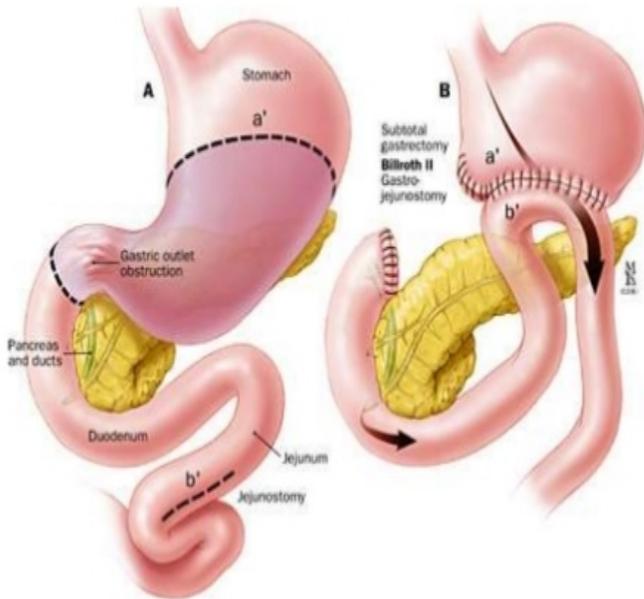
Stage I-III Gastric Cancer

Gastric Cancer Treatment Algorithm

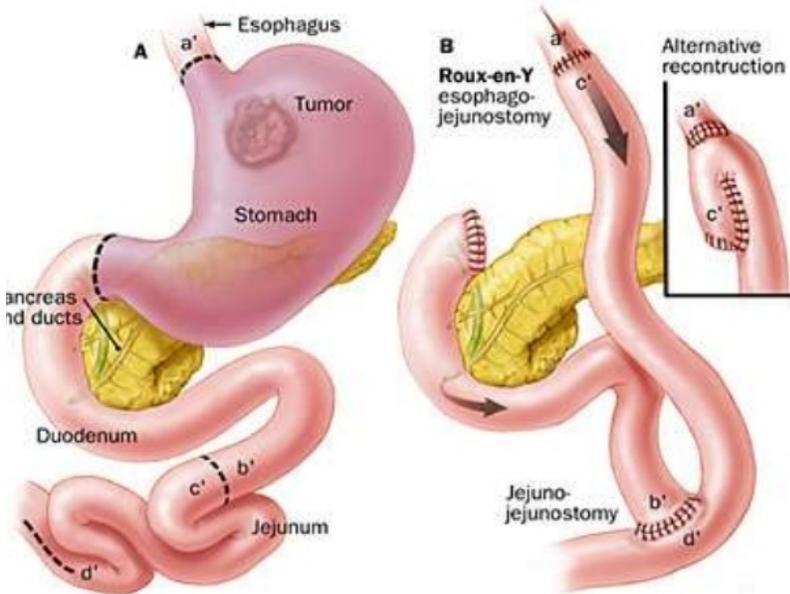


Gastric Resection

Distal Gastrectomy



Total Gastrectomy



Gastric Cancer Lymph Node Dissection

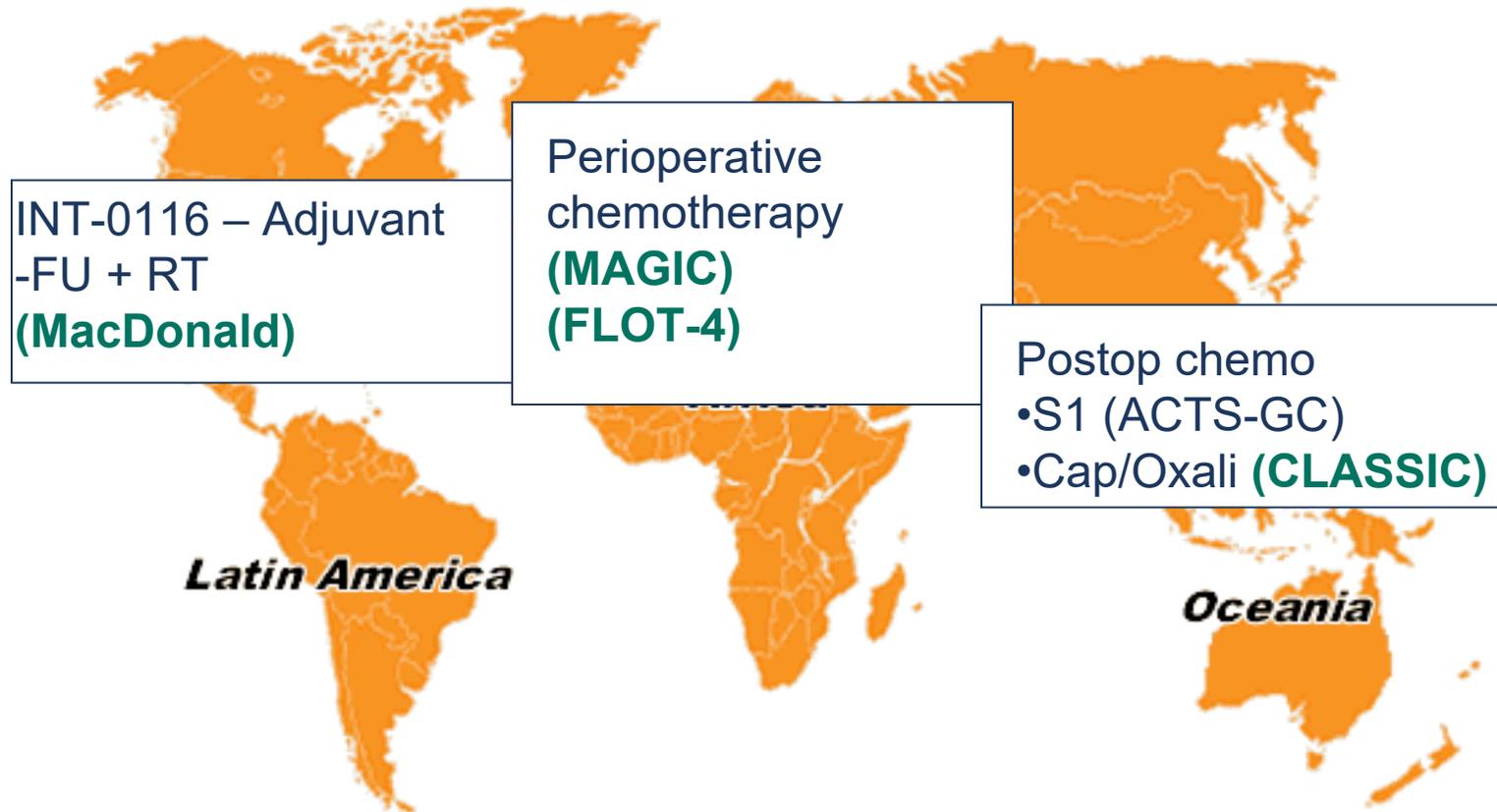
Lymph Node Dissection	Description
D1	lesser and greater curvature, paracardial
D2	Left gastric, hepatic, celiac, splenic (could require pancreatectomy or splenectomy to access these nodes)
D3	D2 + portahepatic, hepatoduodenal
D4	retropancreatic, root of mesentery, transverse mesocolon, paraaortic



Post-Gastrectomy Considerations

- Inability to store and break down food – frequent SMALL meals
- Vitamin B12 deficiency – lack of intrinsic factor production (cardia)
- Iron deficiency – decreased gastric acid
- Dumping syndrome – rapid emptying into small bowel – lightheadedness, nausea, diarrhea

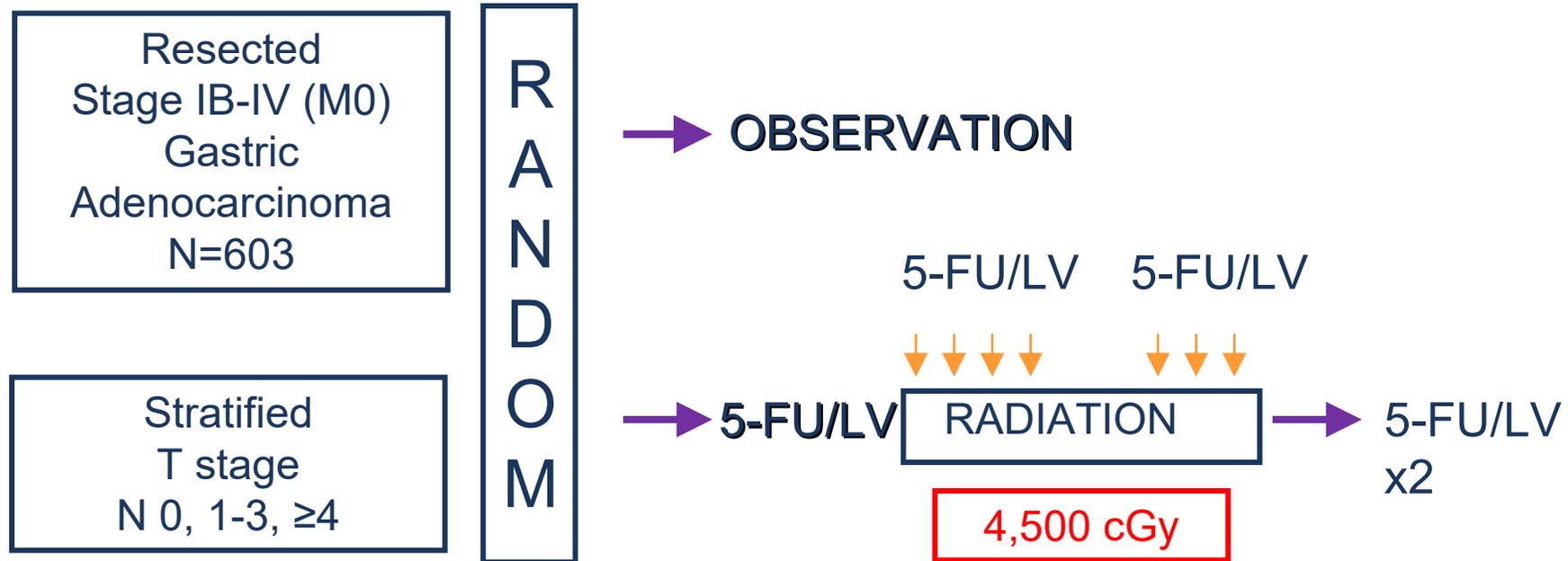
Adjuvant and Neoadjuvant Treatment



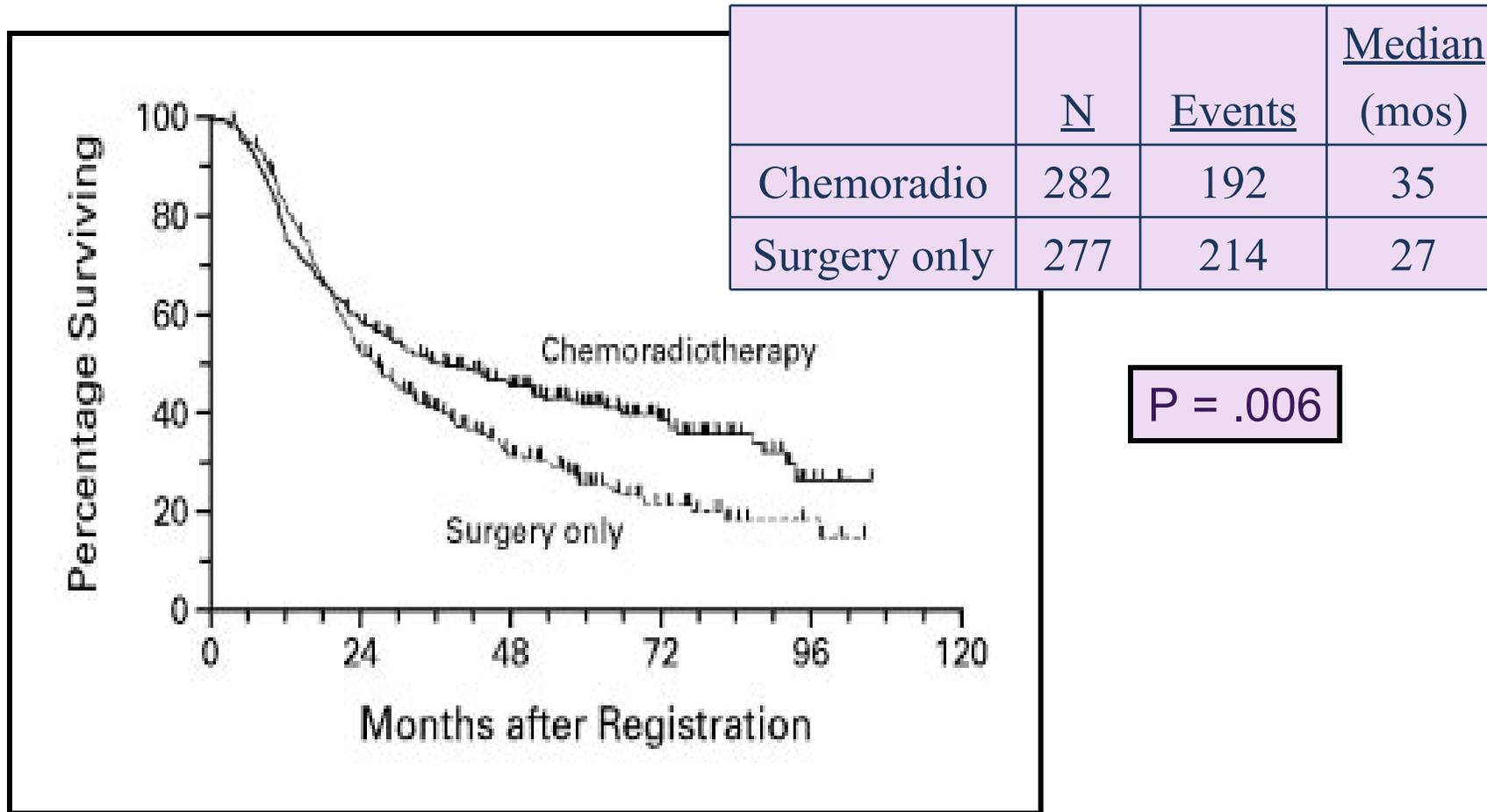
Adjuvant ChemoRT: INT 0116/SWOG 9008

SCHEMA

20% GE Junction



Adjuvant ChemoRT: INT 0116/SWOG 9008



Adjuvant ChemoRT: INT 0116/SWOG 9008

Level of lymph node dissection	%
< D1	54%
D1	36%
D2	10%

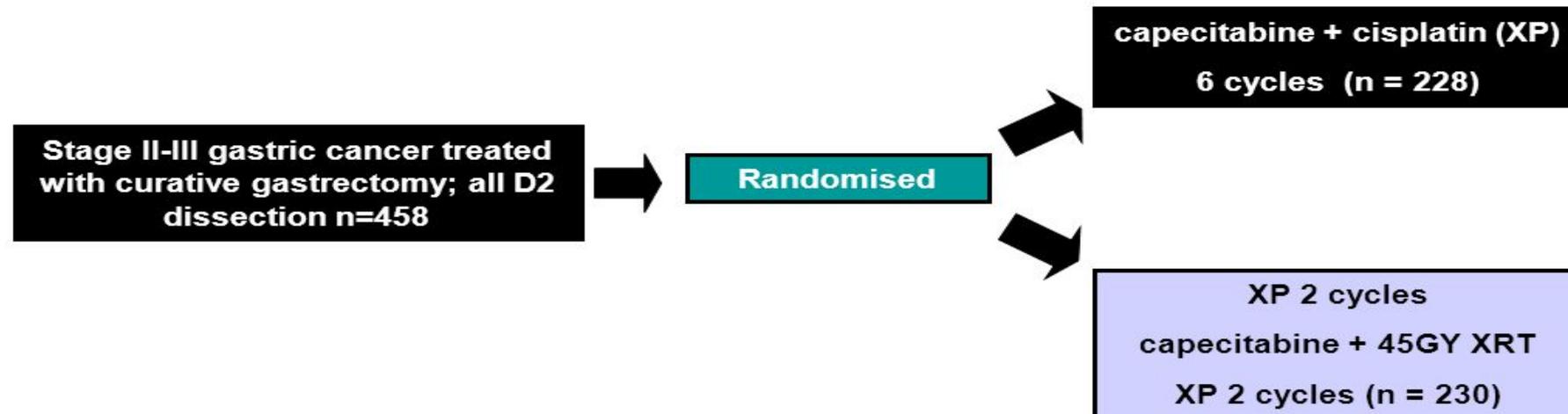
Criticisms of INT 0116/SWOG 9008:

- Survival benefit with chemoRT because of inadequate surgery
- Better chemotherapy regimens after this trial (so we may not need RT)



ARTIST and ARTIST II Trials: Adjuvant Chemo vs. RT

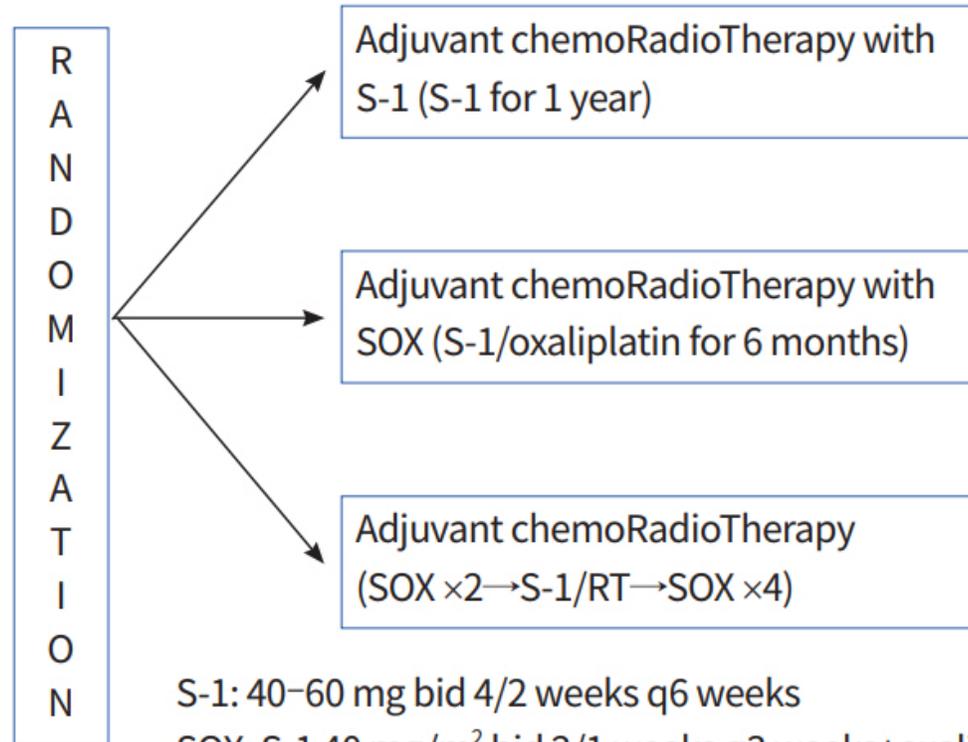
Adjuvant Chemotherapy vs. CRT ARTIST Trial



ARTIST and ARTIST II Trials: Adjuvant Chemo vs. RT

ARTIST II Trial

- 900 Patients with D2 resected gastric adenocarcinoma
- pStage II to III, LN+
- Stratified by (1) stage, (2) type of surgery (STG vs. TG), (3) Lauren classification

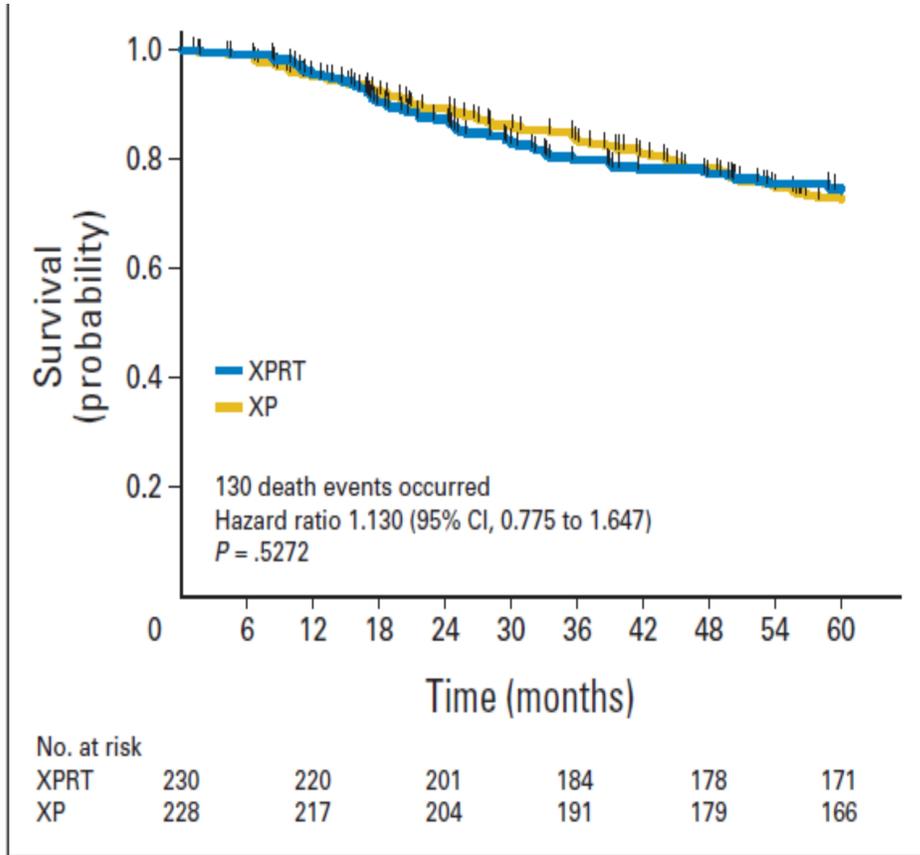


S-1: 40–60 mg bid 4/2 weeks q6 weeks

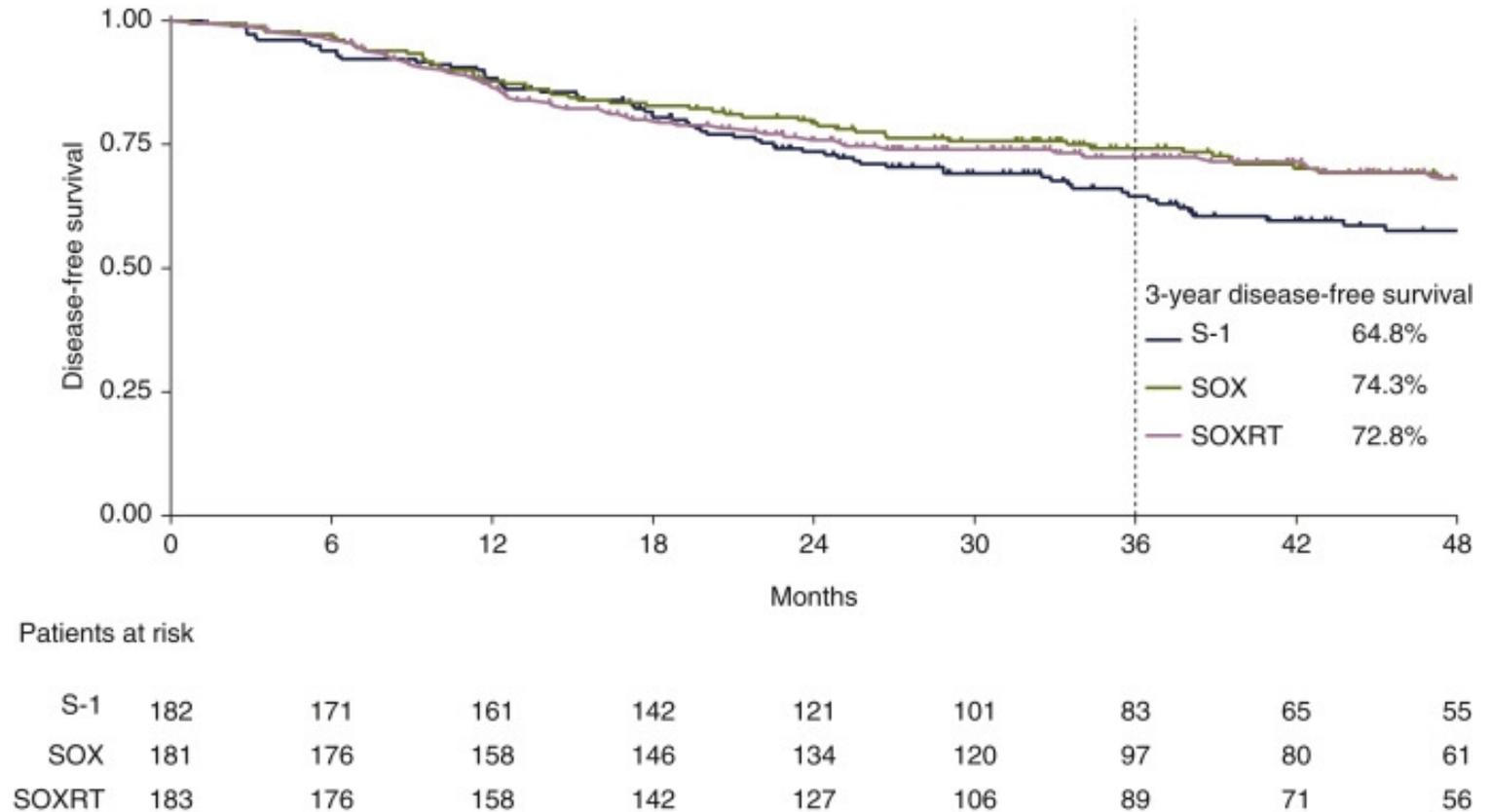
SOX: S-1 40 mg/m² bid 2/1 weeks q3 weeks+oxaliplatin 130 mg/m² D1

SOXRT: S-1 40 mg bid daily concurrently with RT 45 Gy for 5 weeks

ARTIST and ARTIST II Trials: Adjuvant Chemo vs. RT



Median OS – ARTIST I



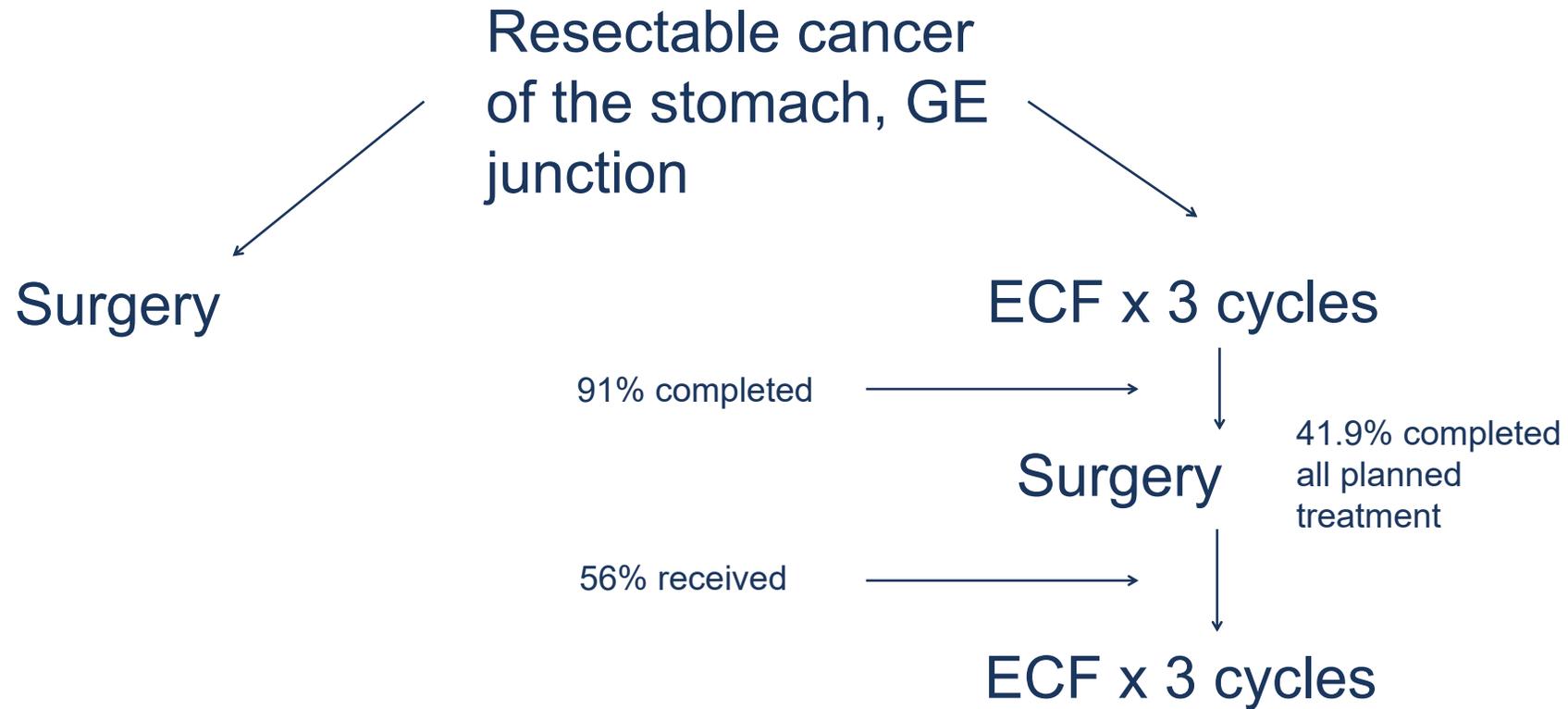
DFS – ARTIST II

Is there a role for Postoperative Radiation?

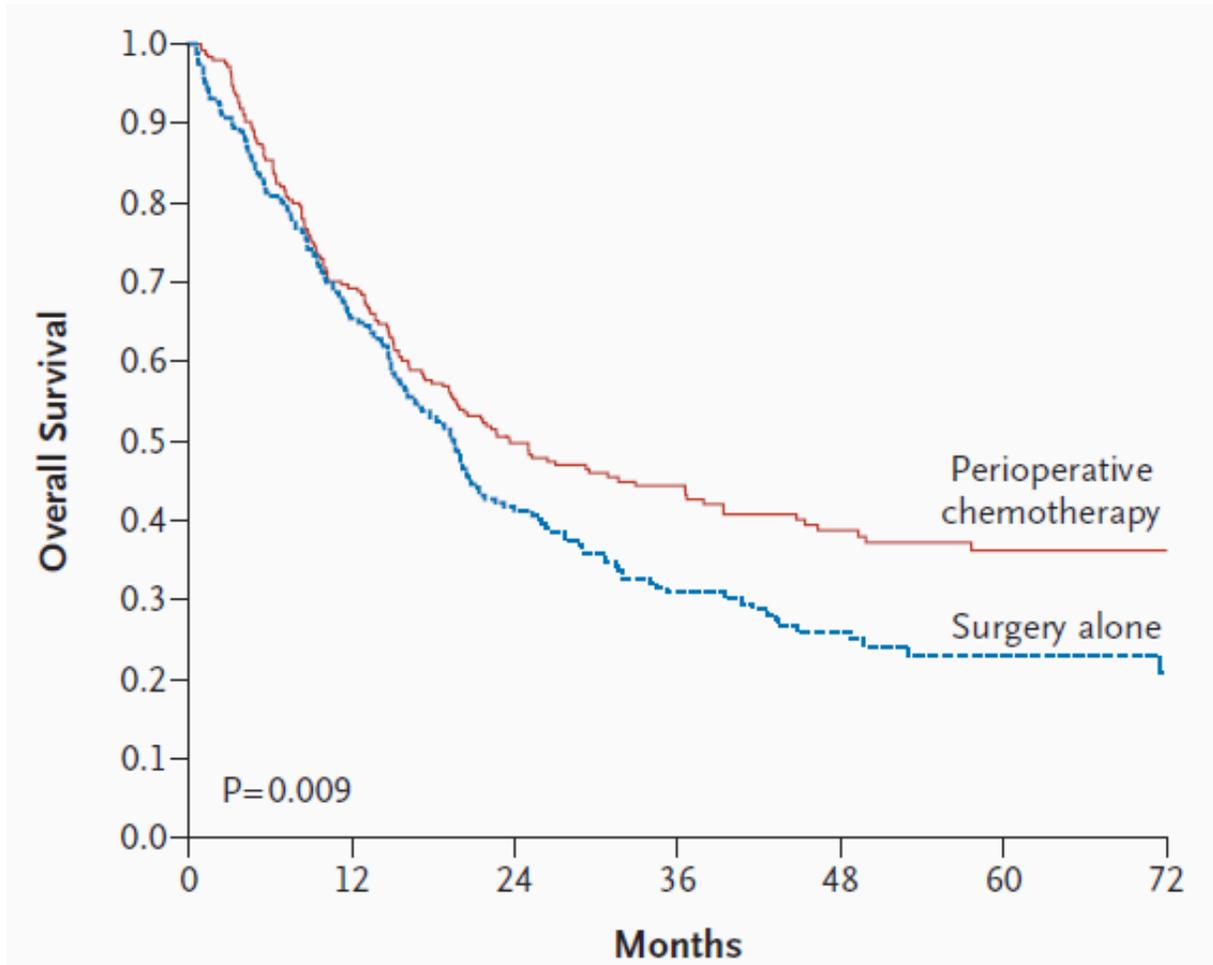
NO, except ...

- Inadequate resections / lymph node dissection
- Positive margin (R1 resection)
- Studies evaluating radiation in neoadjuvant setting for gastric cancer (e.g. TOPGEAR)

Perioperative Chemotherapy: MAGIC Trial



Perioperative Chemotherapy: MAGIC Trial



5-year survival

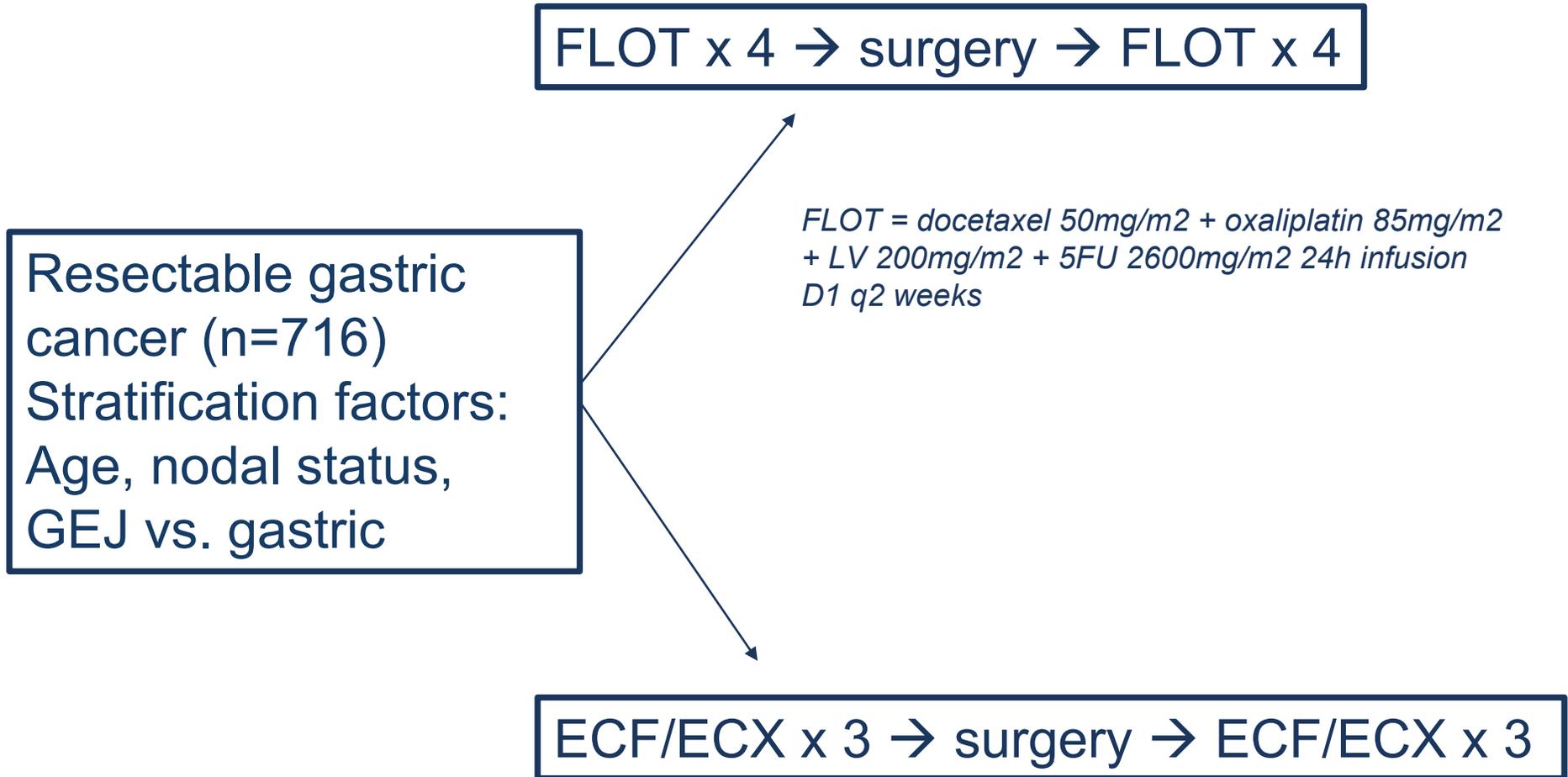
- 36.3% (Chemo)
- 23.0% (Surgery)

Median Survival

- 24 months (Chemo)
- 20 months (Surgery)



Perioperative Chemotherapy: FLOT-4



Perioperative Chemotherapy: FLOT-4

Key Results:

- 50% FLOT vs. 37% ECF/X completed post-operative chemotherapy
- Median OS 50 months vs. 35 months (HR 0.77, p=0.012)
- 3yr OS 57% FLOT vs. 48% ECF/X
- Postop complications and 30/90 day mortality were similar



Adding to FLOT-4?

PETRARCA study (phase II/III) (FLOT +/- Trastuzumab)

- 81 patients randomized
- No benefit with addition of trastuzumab to FLOT – path CR, R0 resection rate, DFS, OS
- Study ended early and did not proceed to phase III

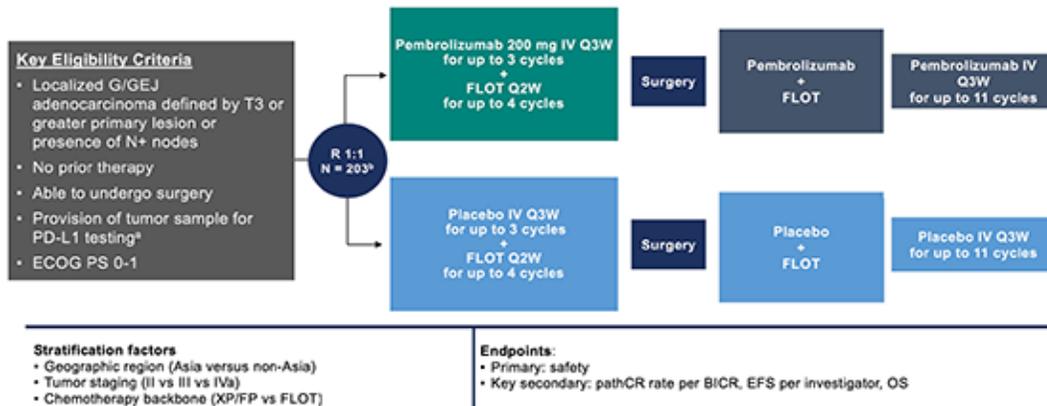
Ramses/FLOT-7 (phase II/III) (FLOT-4 +/- Ramucirumab)

- 180 patients randomized (excluding Siewert type I)
- Endpoints: Path response, R0 resection rate, safety
- Findings: Increased AEs, Improved R0 resection rate (97% vs. 83%, $p=0.0049$), similar path response



Incorporating IO into Perioperative Treatment

Keynote 585 – Perioperative FLOT +/- Pembrolizumab resected Gastric + GEJ cancer



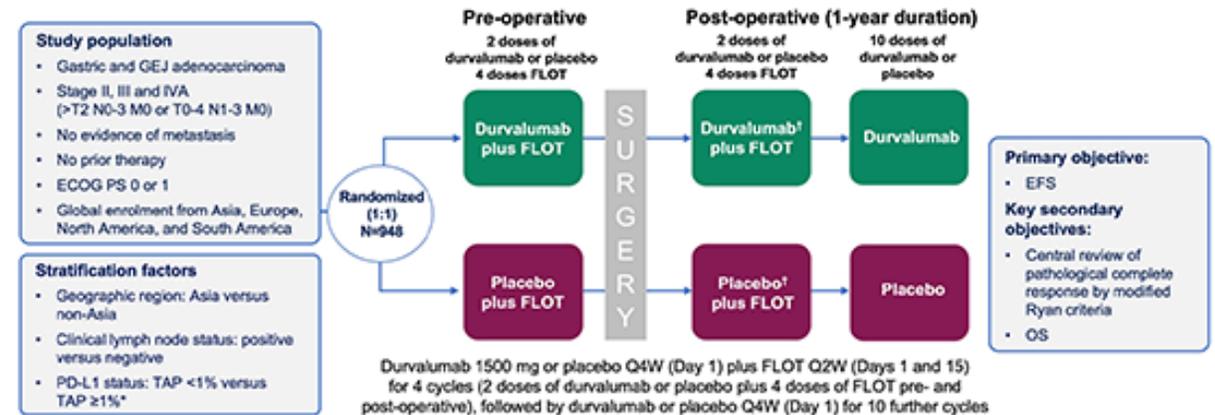
Improved path CR rate (12.9% vs. 2%)

DFS: 44.4 mo vs. 25.3 mo, HR 0.81, p=0.0198, NS*

mOS: 60.7 mo vs. 58 mo, HR 0.90, p=0.174

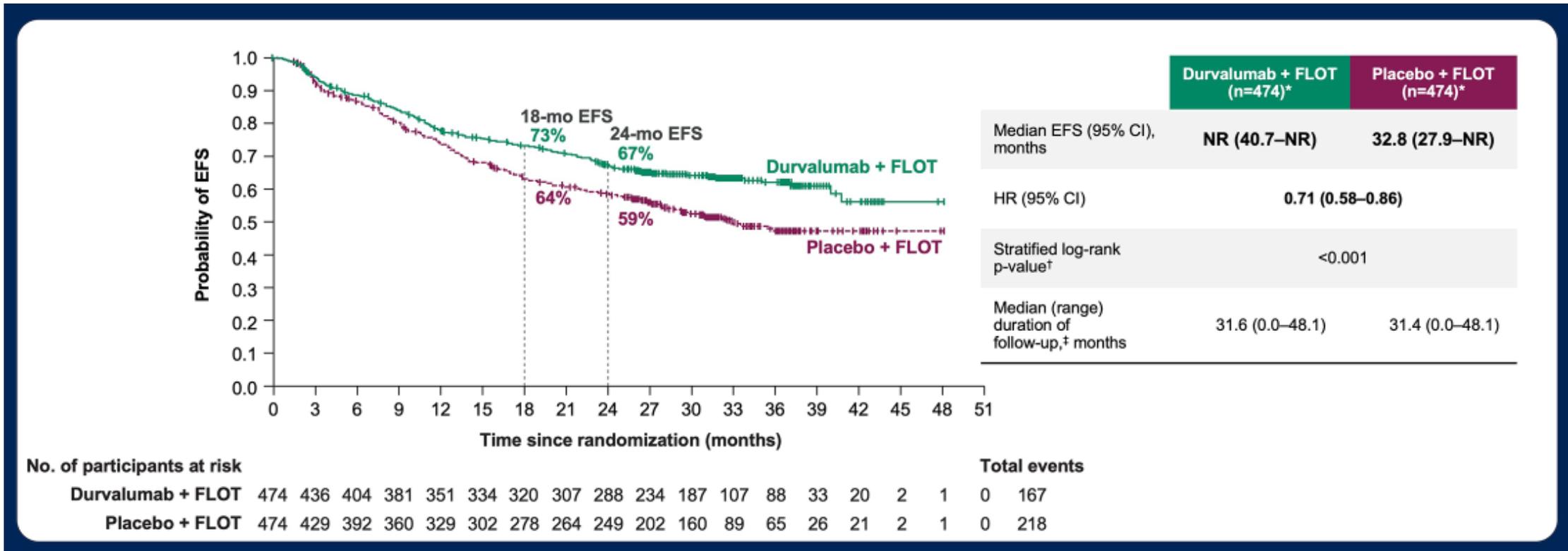
* Above threshold of significance p=0.0178

Matterhorn study – Perioperative FLOT +/- Durvalumab resected Gastric + GEJ cancer



Improved path CR rate (19% vs. 7%)

Incorporating IO into Perioperative Treatment - Matterhorn



Take – Home Points – Stage I-III Gastric Cancer

1. D2 gastrectomy should be performed when possible
2. Post-gastrectomy B12 and iron supplementation
3. Perioperative chemotherapy with FLOT-4 regimen
4. Vanishing role of radiation therapy in gastric cancer treated with D2 lymph node dissection
5. Perioperative chemotherapy (+ Durvalumab) is a preferred strategy in GE jxn adenocarcinoma
6. Perioperative FLOT + Durvalumab is a new SOC in gastric adenocarcinoma

Advanced / Metastatic Esophageal and Gastric Cancers

Initial Diagnostic Evaluation

Clinical Assessment

- ECOG PS
- Comorbidities
- Nutritional status
 - Stent
 - G or J tube

Labs and Imaging

- CT C/A/P w/ IV contrast (peritoneal dz)
- CEA
- CA 19-9

Molecular testing

- Her2 IHC and FISH (3+ or FISH+)
- PDL1 (CPS score)
- MSI
- Claudin 18.2
- EBV (Gastric)
- *NGS for most*

First-Line Chemotherapy Backbones

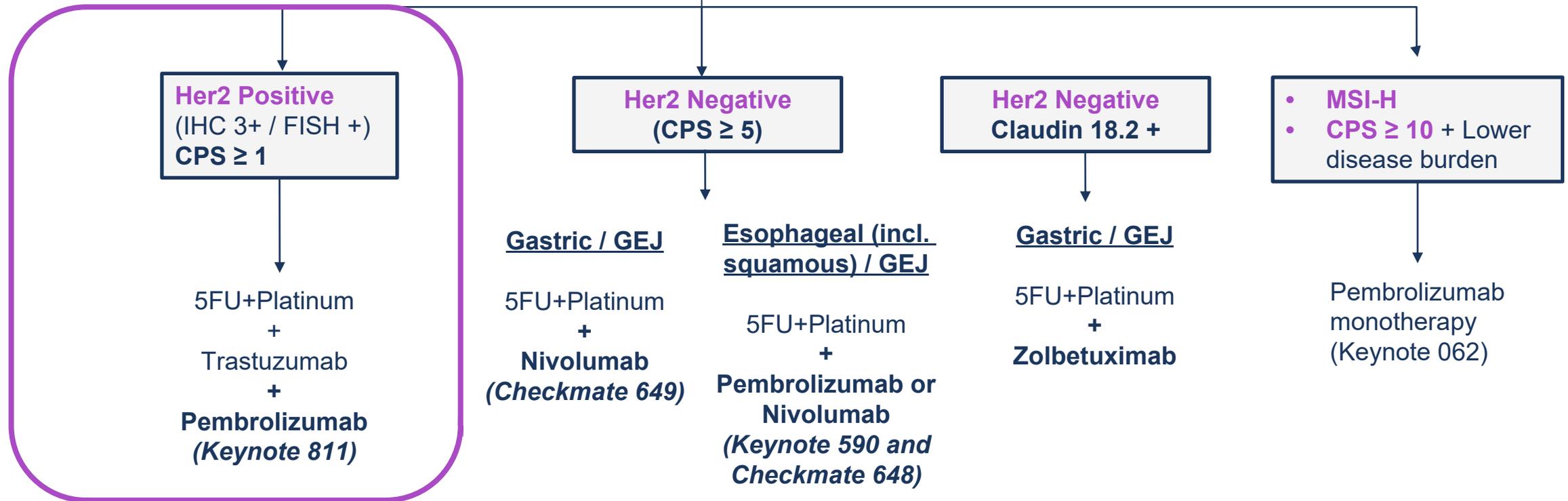
2-drug regimens are preferred to the older 3-drug regimens (e.g. ECF, EOX, EOF, DCF, modified DCF)

- FOLFOX (US)
- 5-FU + cisplatin
- FOLFIRI
- Can use capecitabine (but ensure patient can swallow)

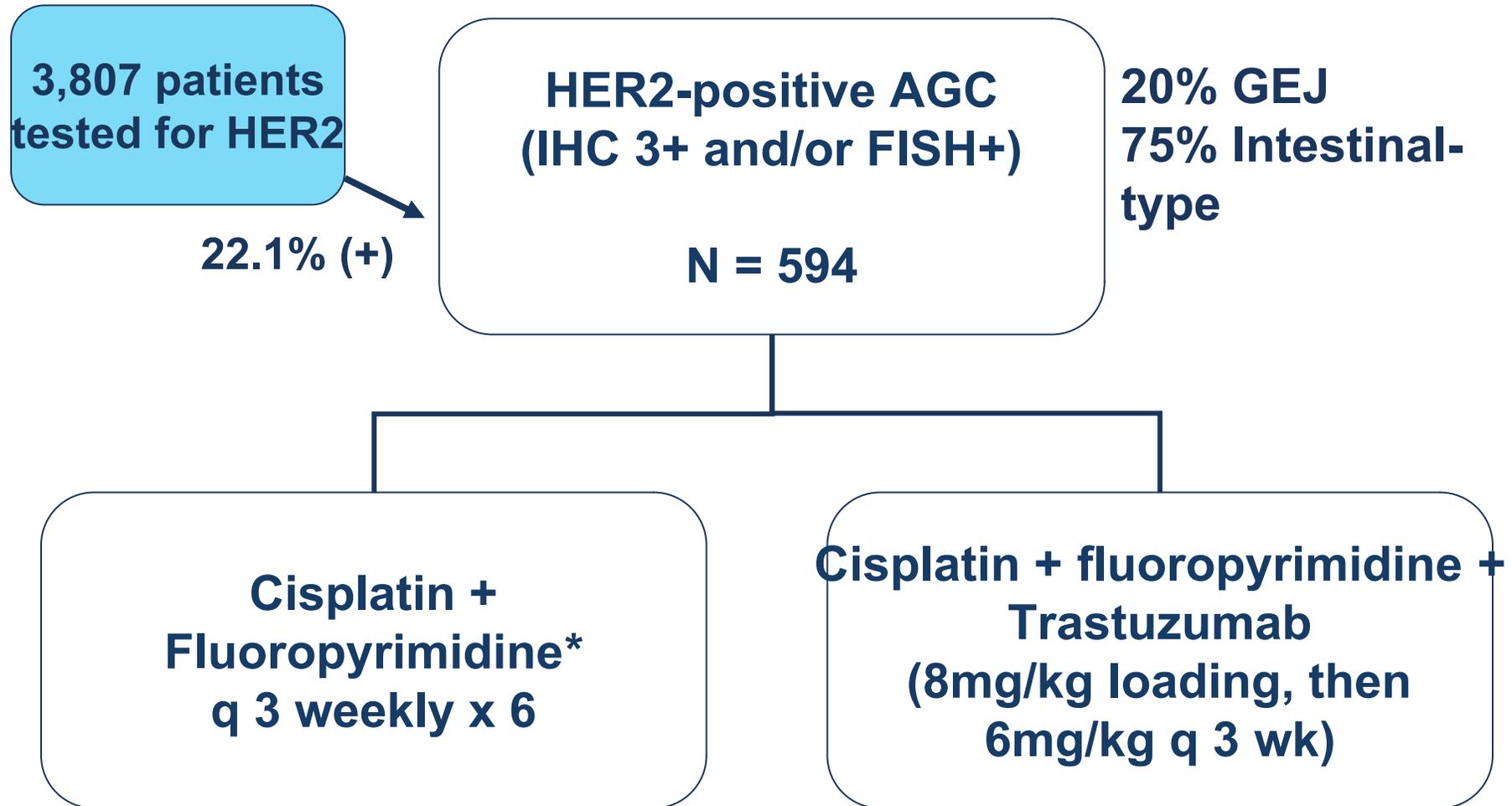


Initial Treatment - 2025

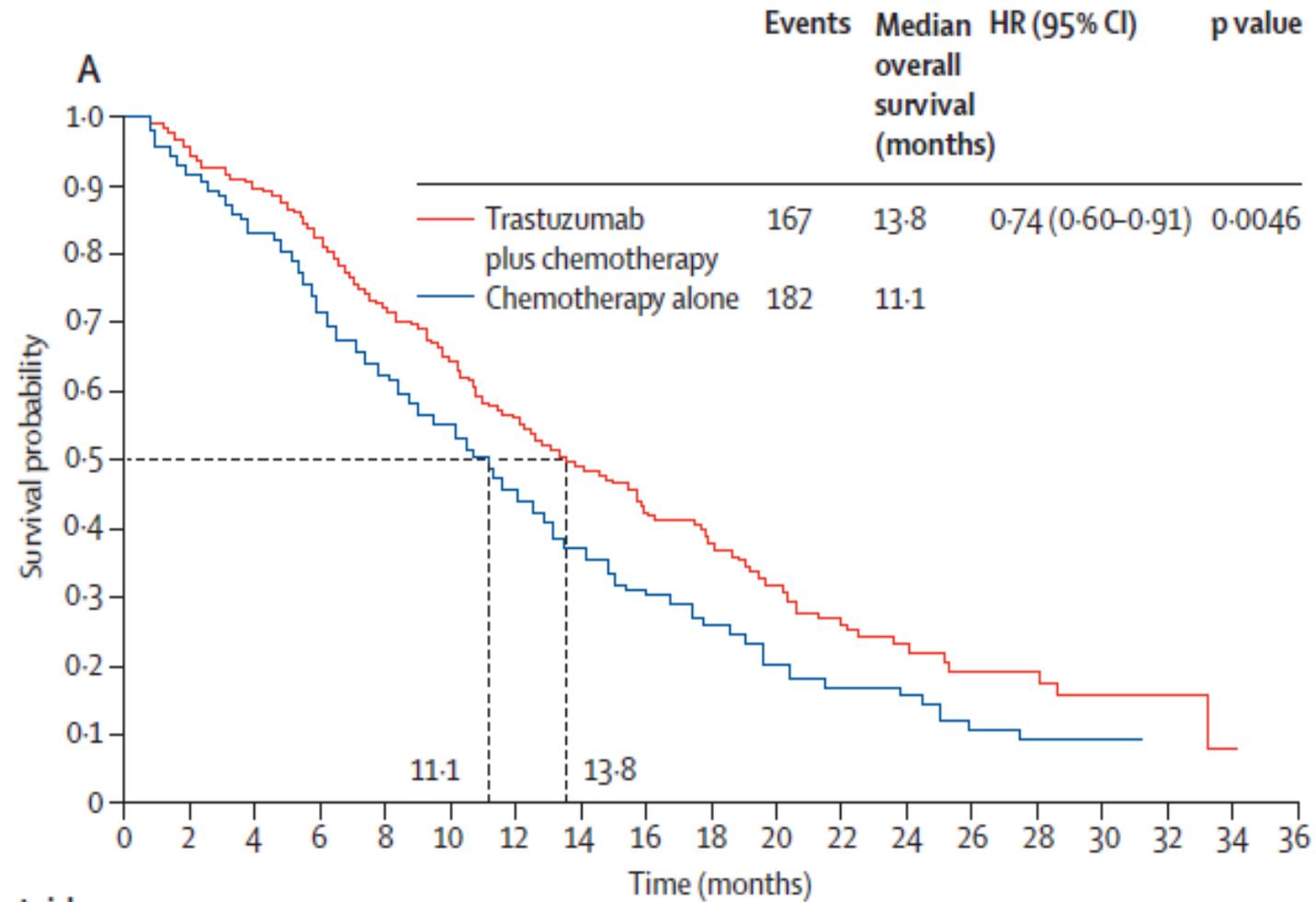
Advanced Esophageal/Gastric/GE Jxn Cancer



Targeting Her2 – TOGA Trial



TOGA Trial - Results

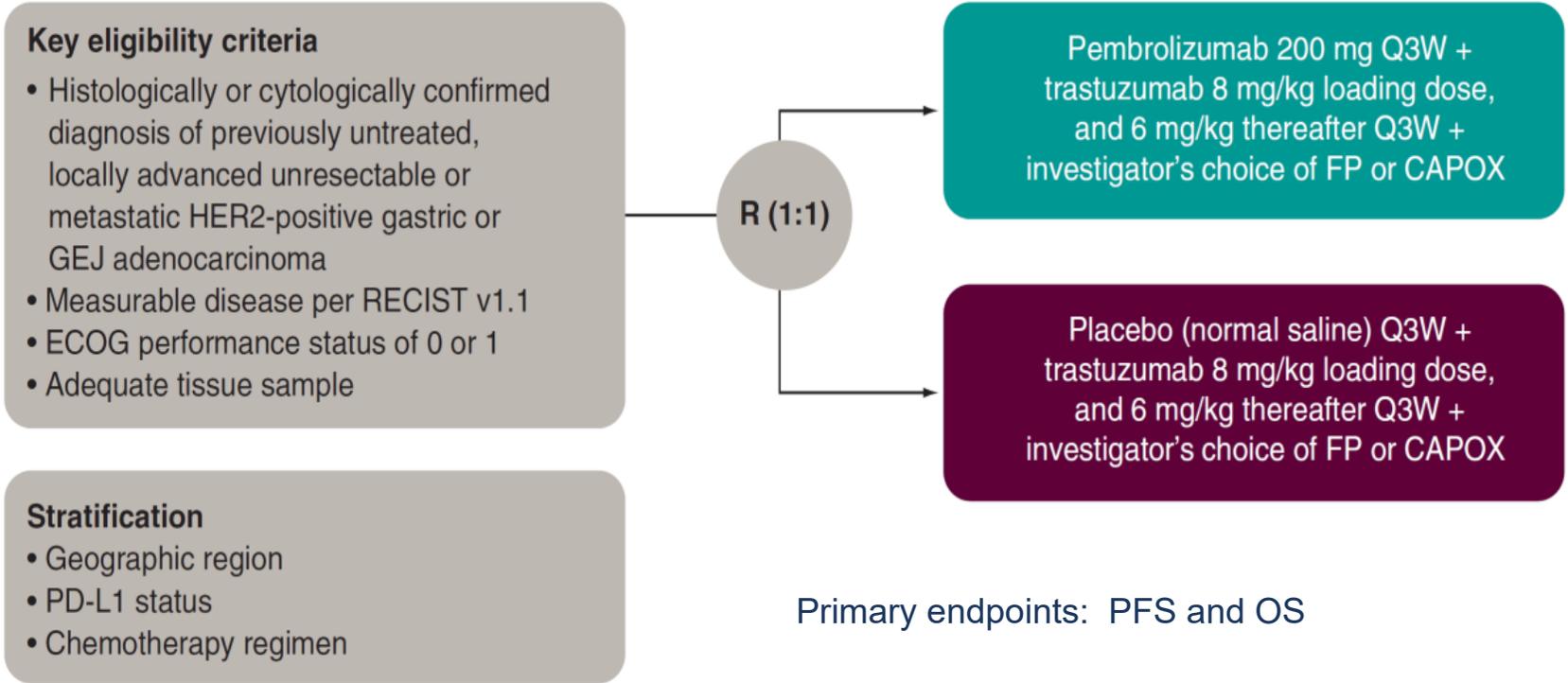


Her2 Agents in Gastric Cancer

- **TRIO-013/LOGiC 1st line:** CapOx +/- **Lapatinib**
- **JACOB Trial 1st line:** FU+Cis+Trastuzumab +/-
 - **Pertuzumab**
- **TyTAN study 2nd line:** Paclitaxel +/- **Lapatinib**
- **GATSBY trial 2nd line:** Taxane vs. **TDM-1**



Merck 811 – Chemo + Trastuzumab + Pembro



Merck 811 – Chemo + Trastuzumab + Pembro

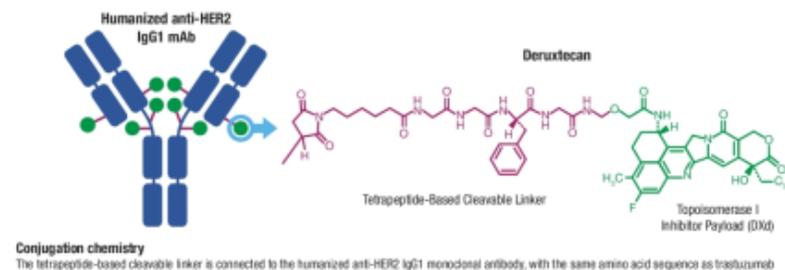
	Chemo + Trast + Pembro (n=133)	Chemo + Trast + Placebo (n=131)
ORR* (95% CI)	74% (66, 82)	52% (43, 61)
Complete response rate	11%	3.1%
Partial response rate	63%	49%
p-value	<0.0001	
PFS (CPS ≥ 1)	10.0 (10.9)	8.1 (7.3)
HR 0.73; 95% CI, 0.61-0.87		
OS (CPS ≥ 1)	20 (20.1)	16.8 (15.7)
HR 0.80; 95% CI, 0.67-0.94; p=0.0040		

FDA *accelerated* approval for **Pembro** with chemo and trastuzumab in advanced Her-2+ gastric/GEJ cancer in May, 2021

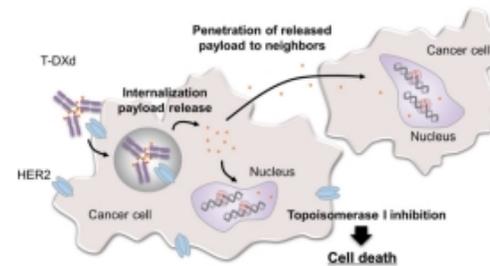
Updated results June 2023: Benefit confined to patients with **CPS ≥ 1** (80% of subjects in trial)

2nd line and beyond: Trastuzumab Deruxtecan – Destiny Gastric01

- **Destiny Gastric 01** study: Randomized phase II study in Japan and Korea
- Patient population: Her2 positive gastric and GE jxn cancer patients who received at least **2 prior lines of therapy** (including prior trastuzumab)
- 188 patients randomized (2:1) to trastuzumab deruxtecan versus physician's choice (irinotecan or paclitaxel)
- Primary endpoint = objective response



T-DxD is a novel antibody drug conjugate (ADC) with a topoisomerase I payload



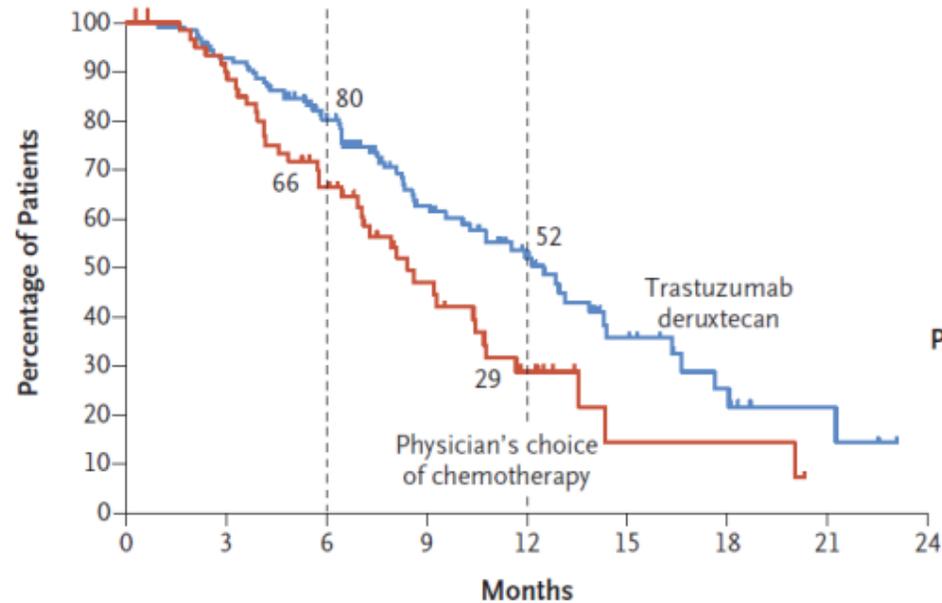
*Potential to overcome resistance via “by-stander effect”

Trastuzumab Deruxtecan

OR: 51% vs. 14%

PFS: 5.6 vs. 3.5 months (HR 0.47, 95% CI 0.31, 0.71)

A Overall Survival



No. at Risk

Trastuzumab deruxtecan	125	115	88	54	33	14	7	3	0
Physician's choice of chemotherapy	62	54	37	19	10	2	2	0	0

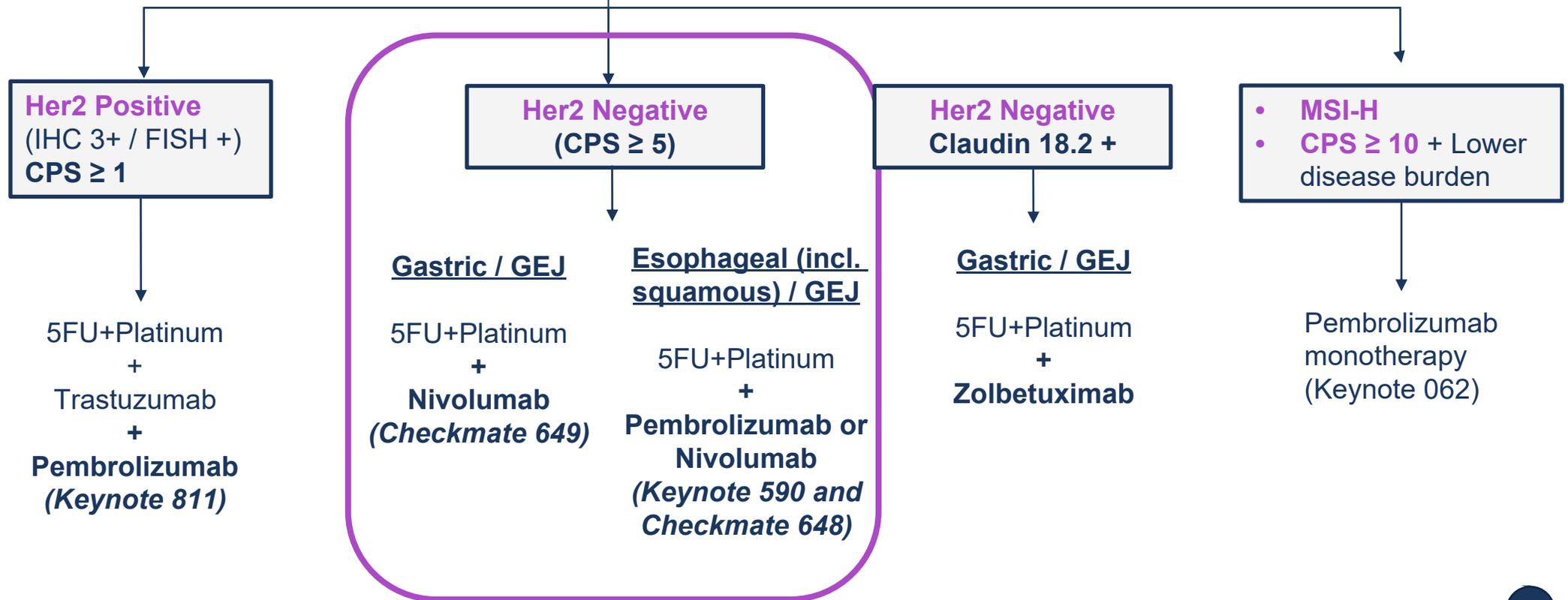
Median OS: 12.5 vs. 8.4 months
HR 0.59, 95% CI 0.39-0.88)

Safety: neutropenia (51% vs. 24%) and ILD or pneumonitis (10%)

FDA Approval January 2021

Initial Treatment - 2025

Advanced Esophageal/Gastric/GE Jxn Cancer



CPS-Based treatment algorithm for checkpoint inhibitors in Her2 negative disease

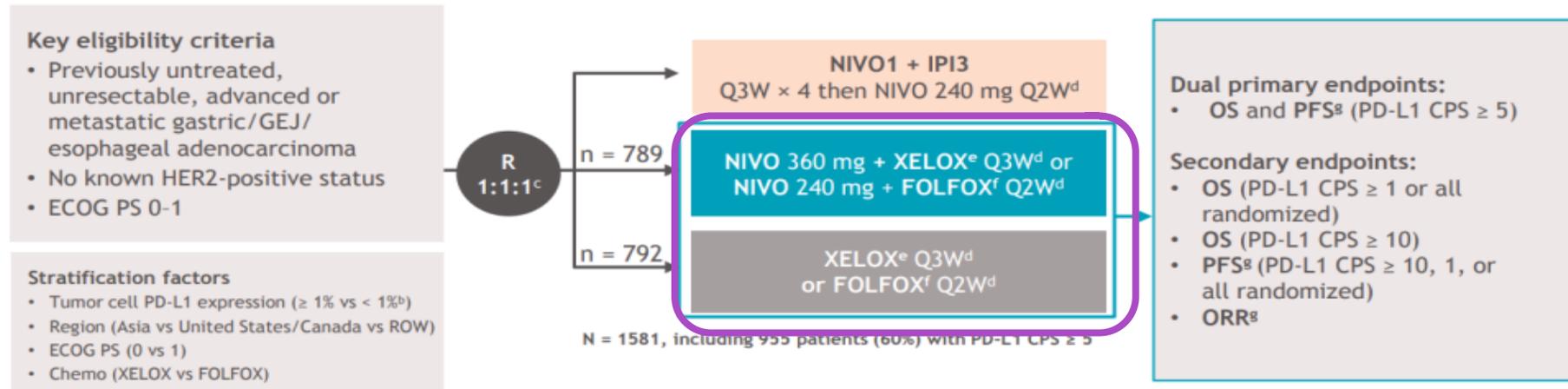
	Gastric / GEJ adenocarcinoma	Esophageal squamous cell
CPS \geq 10	Chemotherapy (FOLFOX) + Nivolumab or Pembrolizumab	Chemo + Pembrolizumab or Nivolumab
CPS 5-9	Chemotherapy (FOLFOX) + Nivolumab	Or Nivo/Ipi (selected patients)
CPS < 5	Chemotherapy alone	CPS higher benefit most Meta-analyses suggest benefit in no/low CPS

First-line Nivolumab ESO/Gastric Adeno (Checkmate 649 Study)

CheckMate 649

CheckMate 649 study design

- CheckMate 649 is a randomized, open-label, phase 3 study^a



- At data cutoff (May 27, 2020), the minimum follow-up was 12.1 months^h

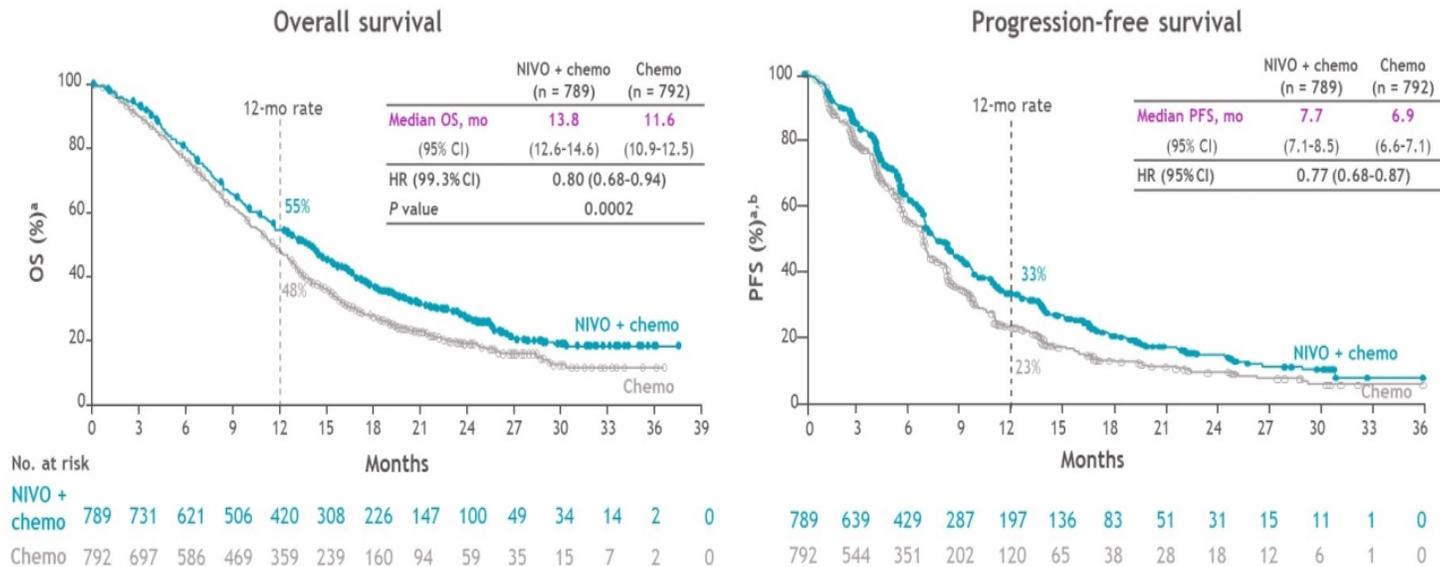
^aClinicalTrials.gov number, NCT02872116; ^b< 1% includes indeterminate tumor cell PD-L1 expression; determined by PD-L1 IHC 28-8 pharmDx assay (Dako); ^cAfter NIVO + chemo arm was added and before new patient enrollment in the NIVO1+IPI3 group was closed; ^dUntil documented disease progression (unless consented to treatment beyond progression for NIVO + chemo), discontinuation due to toxicity, withdrawal of consent, or study end. NIVO is given for a maximum of 2 years; ^eOxaliplatin 130 mg/m² IV (day 1) and capecitabine 1000 mg/m² orally twice daily (days 1-14); ^fOxaliplatin 85 mg/m², leucovorin 400 mg/m², and FU 400 mg/m² IV (day 1) and FU 1200 mg/m² IV daily (days 1-2); ^gBICR assessed; ^hTime from concurrent randomization of the last patient to NIVO + chemo vs chemo to data cutoff.

4

First-line Nivolumab ESO/Gastric Adeno (Checkmate 649 Study)

CheckMate 649

Overall survival and progression-free survival in all randomized patients



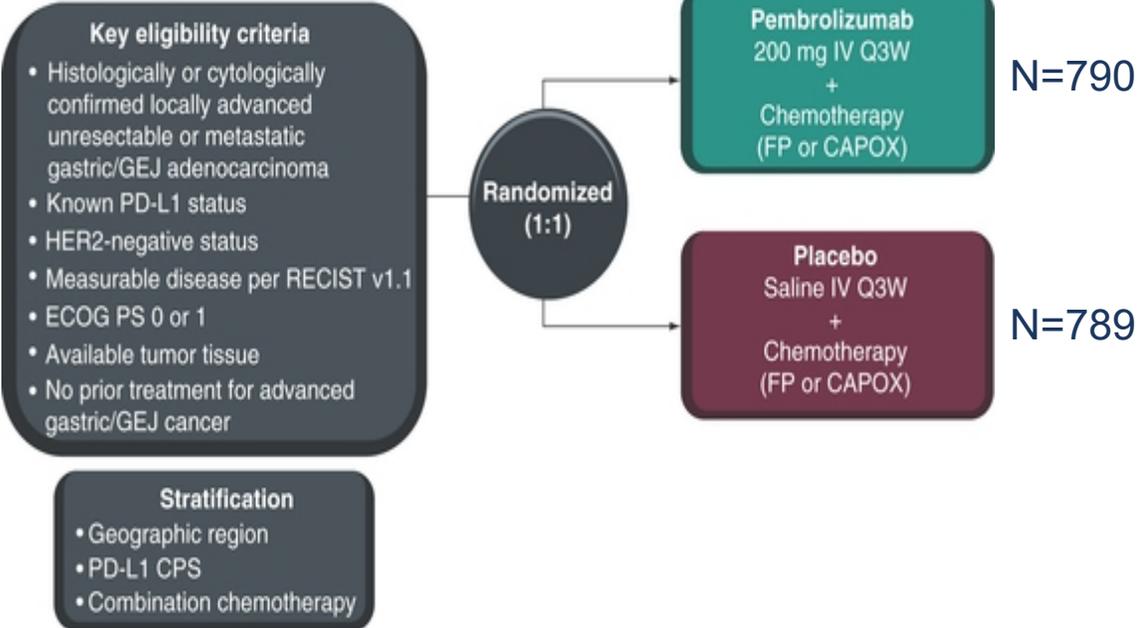
- ⌘ Magnitude of benefit is greater in pts with PD-L1 CPS ≥ 5
- ⌘ FDA approval of nivolumab in May, 2021 in advanced Her-2 negative gastric/GEJ regardless of CPS
- ⌘ European Medicines Agency limited approval to CPS ≥ 5
- ⌘ NCCN recommends limiting first-line nivolumab to CPS ≥ 5

- Superior OS benefit and clinically meaningful PFS improvement in all randomized patients with NIVO + chemo vs chemo
- Median OS with NIVO + chemo vs chemo in patients with PD-L1 CPS ≥ 5 was 14.4 vs 11.1 months and median PFS was 7.7 vs 6.0 months¹

^aMinimum follow-up, 12.1 months; ^bPer BICR assessment.

1. Moehler M, et al. Oral presentation at the ESMO Virtual Annual Meeting; September 19-21, 2020. Presentation LBA6.

First-line Pembro in Gastric/GEJ Adeno (Keynote-859)



	Chemo	Chemo + Pembro	HR
ITT	11.5	12.9	HR 0.78 [95% CI 0.70–0.87]; p<0.0001
CPS ≥ 1	11.4	13.0	HR 0.74 [0.65–0.84]; p<0.0001)
CPS ≥ 10	11.8	15.7	HR 0.65 [0.53–0.79]; p<0.0001

Primary endpoint OS (ITT, CPS ≥ 1, and CPS ≥ 10)

FDA approval of pembrolizumab in March, 2021 in advanced Her-2 negative gastric/GEJ *regardless of CPS*
 Benefit driven largely by **CPS ≥ 10**

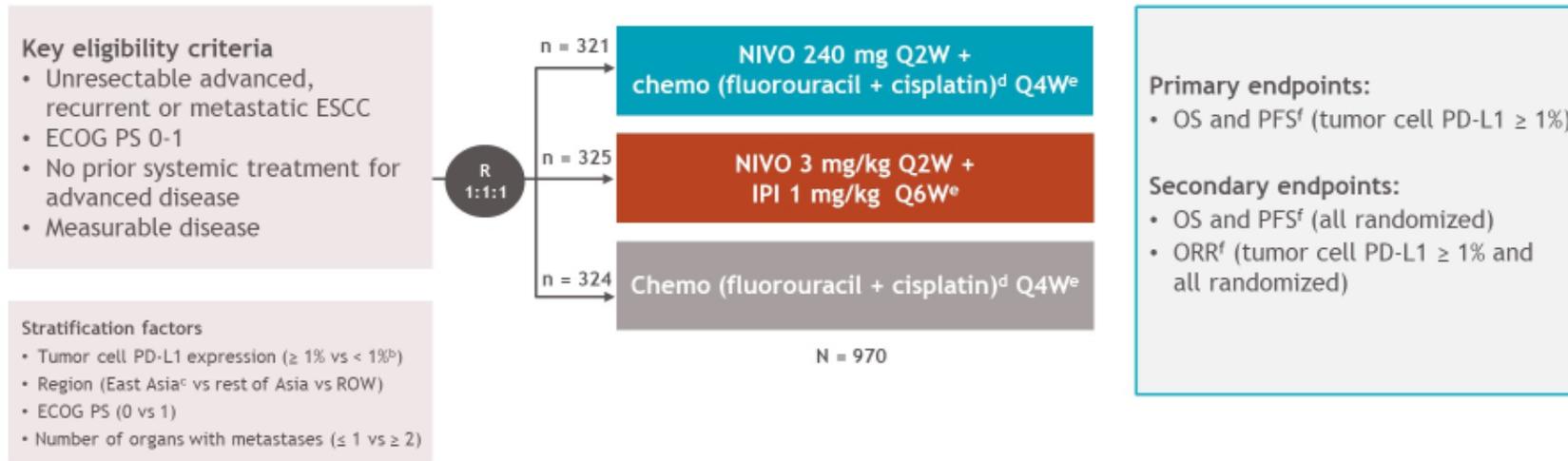


First-line Nivolumab in Esophageal Squamous Cell (Checkmate 648)

CheckMate 648

CheckMate 648 study design

- CheckMate 648 is a global, randomized, open-label phase 3 study^a



Can we use a non-chemotherapy option for patients with squamous cell carcinoma ?

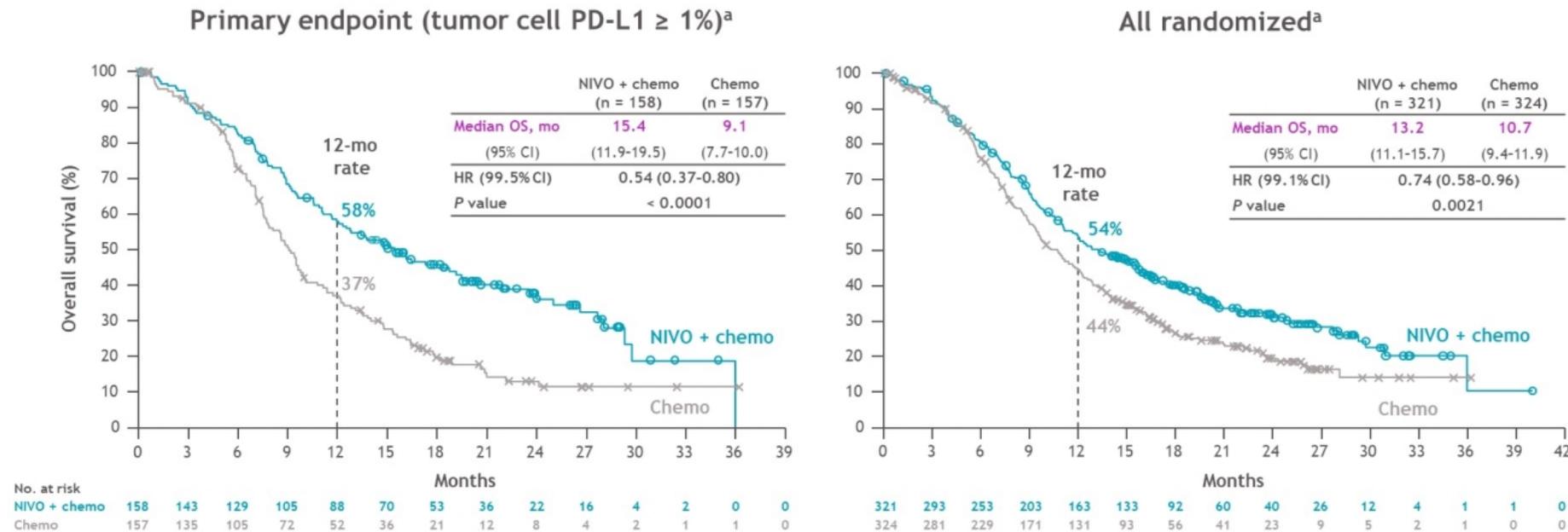
- At data cutoff (January 18, 2021), the minimum follow-up was 12.9 months^g

^aClinicalTrials.gov. NCT03143153; ^b< 1% includes indeterminate tumor cell PD-L1 expression; determined by PD-L1 IHC 28-8 pharmDx assay (Dako); ^cEast Asia includes patients from Japan, Korea, and Taiwan; ^dFluorouracil 800 mg/m² IV daily (days 1-5) and cisplatin 80 mg/m² IV (day 1); ^eUntil documented disease progression (unless consented to treatment beyond progression for NIVO + IPI or NIVO + chemo), discontinuation due to toxicity, withdrawal of consent, or study end. NIVO is given alone or in combination with IPI for a maximum of 2 years; ^fPer blinded independent central review (BICR); ^gTime from last patient randomized to clinical data cutoff.

First-line Nivolumab in Esophageal Squamous Cell (Checkmate 648)

CheckMate 648

Overall survival: NIVO + chemo vs chemo



- Superior OS with NIVO + chemo vs chemo in tumor cell PD-L1 ≥ 1% and all randomized populations
 - Tumor cell PD-L1 ≥ 1%: 46% reduction in the risk of death and a 6.3-month improvement in median OS
 - All randomized: 26% reduction in the risk of death and a 2.5-month improvement in median OS

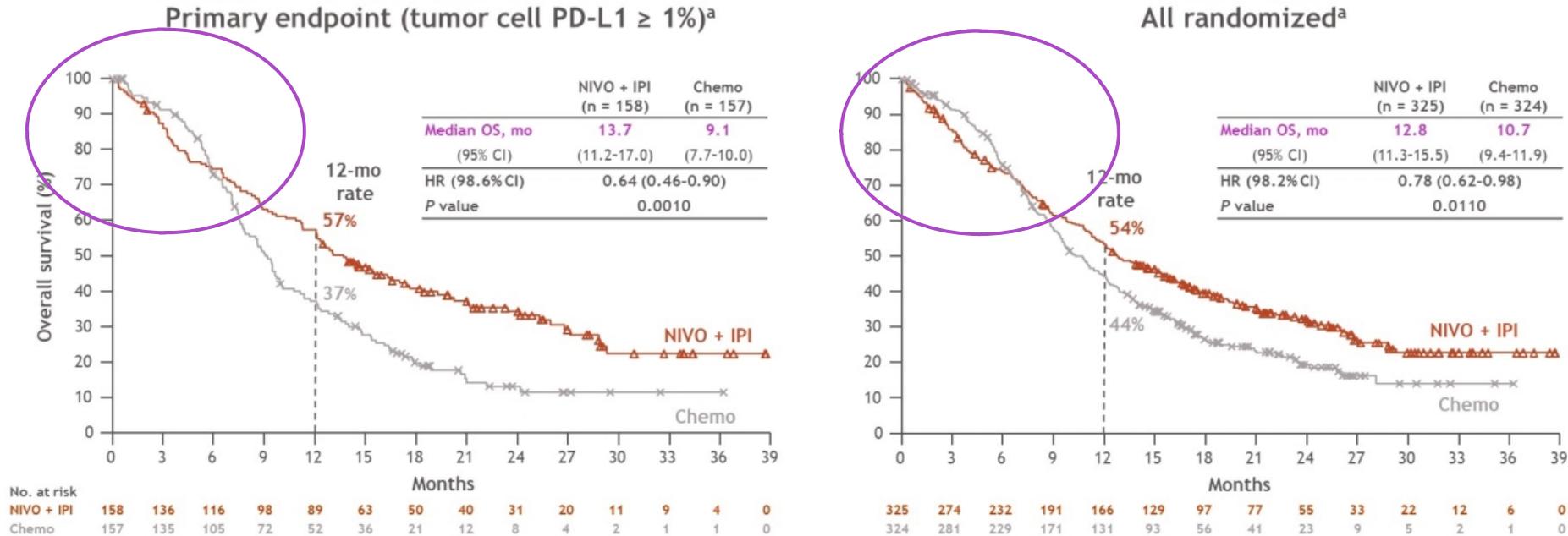
^aMinimum follow-up 12.9 months.

7

First-line Nivolumab in Esophageal Squamous Cell (Checkmate 648)

CheckMate 648

Overall survival: NIVO + IPI vs chemo



- Superior OS with NIVO + IPI vs chemo in tumor cell PD-L1 ≥ 1% and all randomized populations
 - Tumor cell PD-L1 ≥ 1%: 36% reduction in the risk of death and a 4.6-month improvement in median OS
 - All randomized: 22% reduction in the risk of death and a 2.1-month improvement in median OS

^aMinimum follow-up 12.9 months.

FDA approved chemo + nivo AND nivo + ipi for advanced esophageal SCC in May 2022, regardless of PDL1 expression

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First-line Nivolumab in Esophageal Squamous Cell (Checkmate 648)

CheckMate 648

Treatment-related adverse events

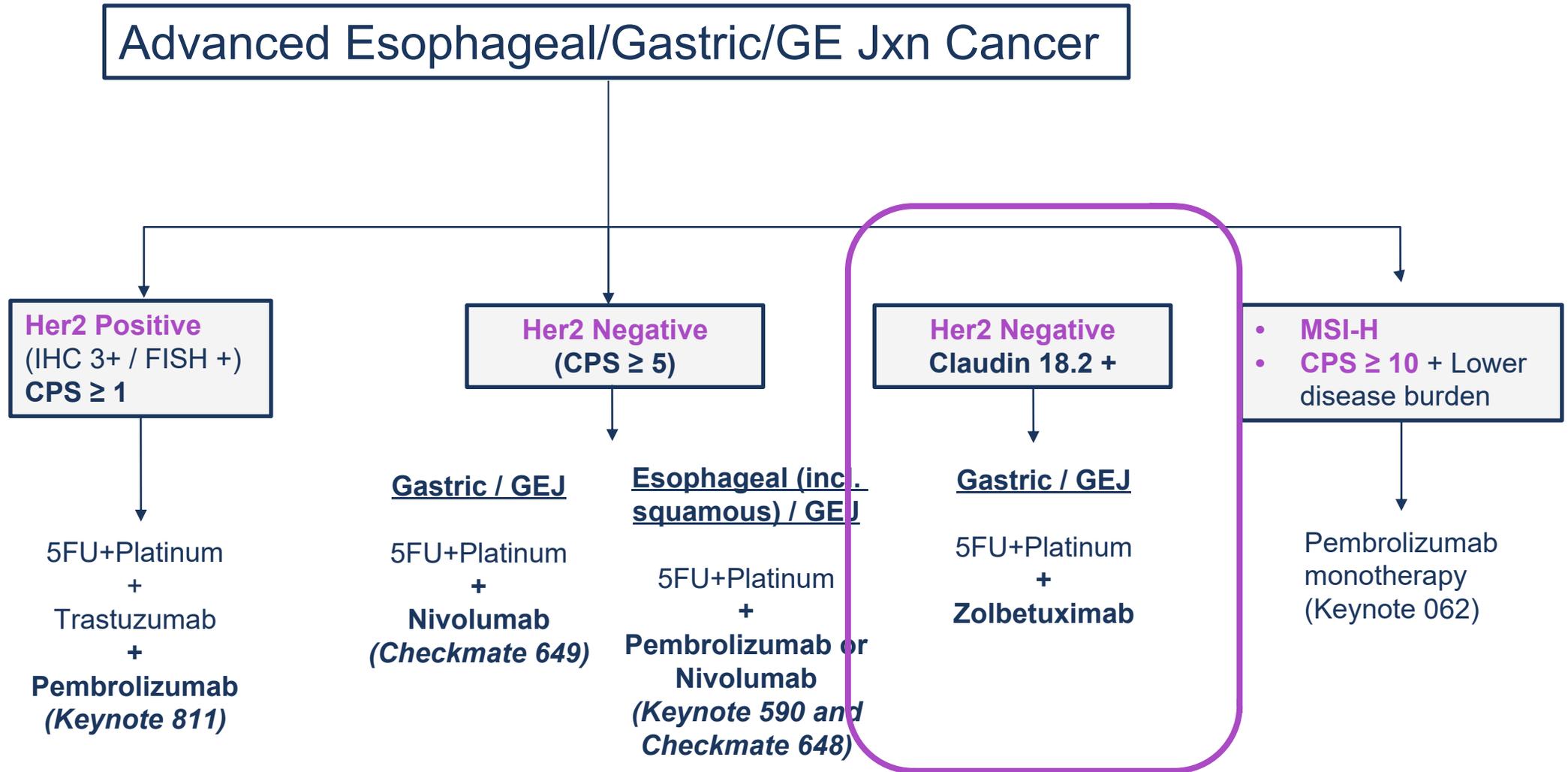
All treated, ^a n (%)	NIVO + chemo (n = 310)		NIVO + IPI (n = 322)		Chemo (n = 304)	
	Any grade	Grade 3-4	Any grade	Grade 3-4	Any grade	Grade 3-4
Any TRAEs ^b	297 (96)	147 (47)	256 (80)	102 (32)	275 (90)	108 (36)
Serious TRAEs ^b	74 (24)	57 (18)	103 (32)	73 (23)	49 (16)	38 (13)
TRAEs leading to discontinuation ^{b,c}	106 (34)	29 (9)	57 (18)	41 (13)	59 (19)	14 (5)
Treatment-related deaths ^d	5 (2) ^e		5 (2) ^f		4 (1) ^g	

- Most common any-grade TRAEs ($\geq 10\%$) included:
 - NIVO + chemo and chemo arms: nausea, decreased appetite, and stomatitis
 - NIVO+ IPI arm: rash, pruritus, and hypothyroidism
- The incidence of TRAEs in patients with tumor cell PD-L1 $\geq 1\%$ was consistent with all treated patients across all arms

^aPatients who received ≥ 1 dose of study drug; ^bAssessed in all treated patients during treatment and for up to 30 days after the last dose of study treatment; ^cTRAEs leading to discontinuation of any drug in the regimen; ^dTreatment-related deaths were reported regardless of timeframe; ^eIncluded 1 event each of pneumonia, pneumatosis intestinalis, acute kidney injury, pneumonitis, and pneumonitis/respiratory tract infection; ^fIncluded 2 events of pneumonitis and 1 event each of interstitial lung disease, acute respiratory distress syndrome, and pulmonary embolism; ^gIncluded 1 event each of septic shock, sepsis, acute kidney injury, and pneumonia.

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Initial Treatment - 2025

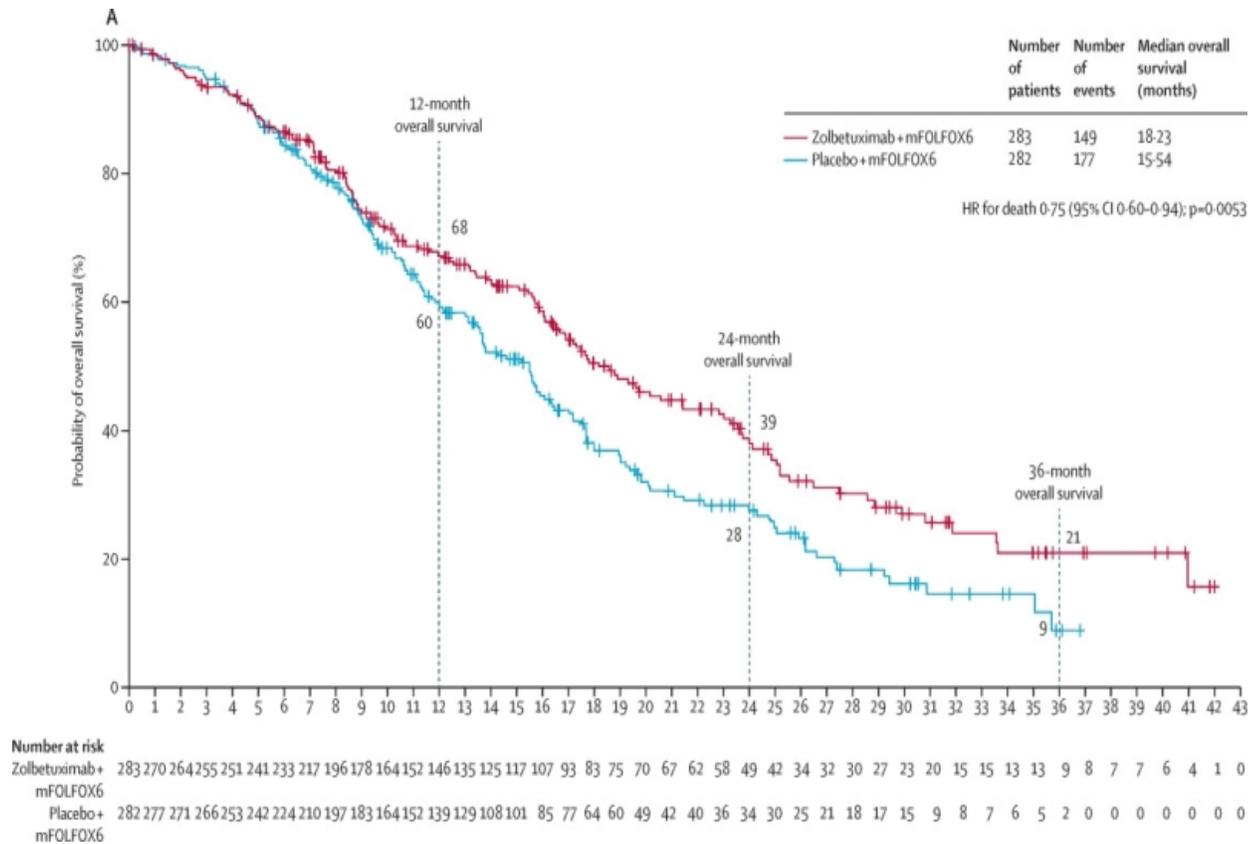


Targeting Claudin 18.2 in Gastric Adenocarcinoma

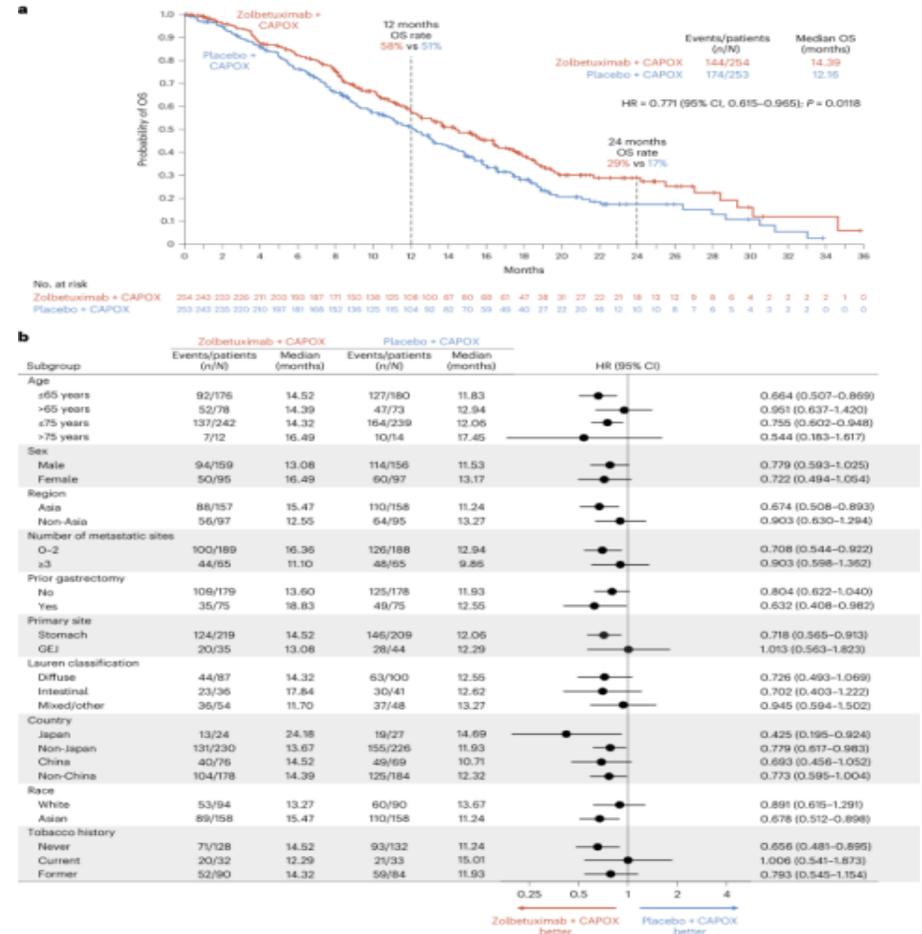
- Zolbetuximab is a monoclonal antibody targeting claudin 18.2
- **First-line GLOW study:** CAPOX + / - Zolbetuximab
- **First-line SPOTLIGHT study:** mFOLFOX6 + / - Zolbetuximab

Targeting Claudin 18.2 in Gastric Adenocarcinoma

SPOTLIGHT



GLOW



Takeaways – First-Line Checkpoint Inhibitors

Initial therapy in ADENOCARCINOMA – Nivolumab + Chemo (FOLFOX) if CPS \geq 5 (Pembro + chemo is an option for CPS \geq 10)

Pembro monotherapy for patients with MSI-H or CPS \geq who do not need immediate response
/ low disease burden

Initial therapy for SQUAMOUS CELL CARCINOMA – Pembrolizumab or Nivolumab + Chemo (FOLFOX)

Nivo + Ipi alone for fit patients with no contraindication who do not need immediate response
/ low disease burden

Remaining/Evolving Questions:

- What if patients progress quickly on 1L therapy after very little immune checkpoint inhibitor exposure?
- What about patients who recur in the midst of adjuvant therapy?
- What other biomarkers to better predict response/resistance to checkpoint inhibitors?



Second Line Therapy in ESO/Gastric Cancers

For patients who retain good PS

- Paclitaxel (+ Ramucirumab, if adeno)
- Ramucirumab monotherapy (adeno)
- Irinotecan
- Trifluridine/Tipiracil (Lonsurf, TAS-102) (Gastric/GEJ)

How to choose ?

- Neuropathy
- Bleeding from primary tumor
- Pace and extent of disease progression
- First-line checkpoint inhibitor receipt?



Ramucirumab: REGARD and RAINBOW

RAINBOW

	Ram + Paclitaxel	Placebo + Paclitaxel
RR	28%	16%
DCR	80%	64%
PFS	4.4 mo	2.86 mo
OS	9.63 mo	7.36 mo

REGARD

	Ram	Placebo
PFS	2.1 mo	1.3 mo
OS	5.2 mo	3.8 mo



Ramucirumab: Adverse Events

	Ramucirumab plus paclitaxel (n=327)				Placebo plus paclitaxel (n=329)			
	Grades 1-2	Grade 3	Grade 4	Grade 5	Grades 1-2	Grade 3	Grade 4	Grade 5
Bleeding or haemorrhage	123 (38%)	12 (4%)	1 (<1%)	1 (<1%)	51 (16%)	4 (1%)	2 (<1%)	2 (<1%)
Proteinuria	51 (16%)	4 (1%)	0	0	20 (6%)	0	0	0
Liver injury or failure	39 (12%)	12 (4%)	3 (<1%)	0	28 (9%)	11 (3%)	2 (<1%)	0
Hypertension	34 (10%)	48 (15%)	0	0	10 (3%)	9 (3%)	0	0
Gastrointestinal haemorrhage†	21 (6%)	10 (3%)	1 (<1%)	1 (<1%)	15 (5%)	3 (<1%)	1 (<1%)	1 (<1%)
Infusion-related reaction	17 (5%)	2 (<1%)	0	0	12 (4%)	0	0	0
Renal failure	16 (5%)	4 (1%)	2 (<1%)	0	11 (3%)	0	1 (<1%)	2 (<1%)
Congestive heart failure	6 (2%)	2 (<1%)	0	0	2 (<1%)	1 (<1%)	0	1 (<1%)
Venous thromboembolic events	5 (2%)	7 (2%)	0	1 (<1%)	7 (2%)	8 (2%)	1 (<1%)	2 (<1%)
Arterial thromboembolic events	3 (<1%)	1 (<1%)	2 (<1%)	0	2 (<1%)	2 (<1%)	0	1 (<1%)
Gastrointestinal perforation	0	1 (<1%)	2 (<1%)	1 (<1%)	1 (<1%)	0	0	0

3rd Line: Trifluridine/Tipiracil

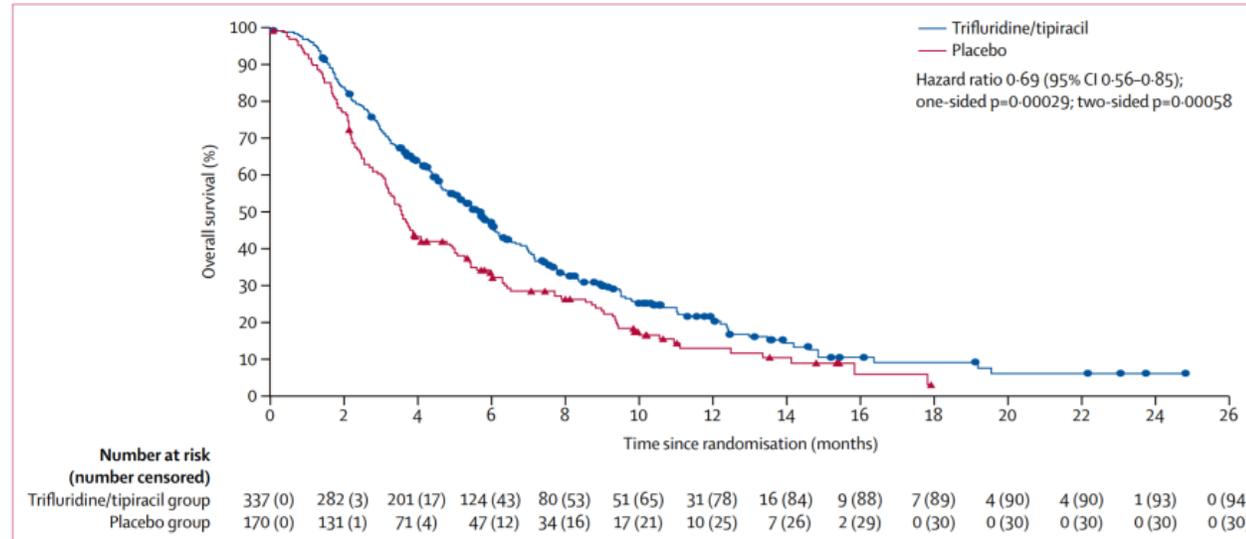


Figure 2: Overall survival in the intention-to-treat population

- Ph 3 RCT trifluridine/tipiracil vs placebo for gastric/GEJ, ≥ 2 lines therapy
- **Median OS 5.7 vs 3.6 mo**
- Median PFS 2.0 vs 1.8 mo
- ORR 4% vs 2%
- **FDA approved in 3rd line setting for gastric/GEJ**

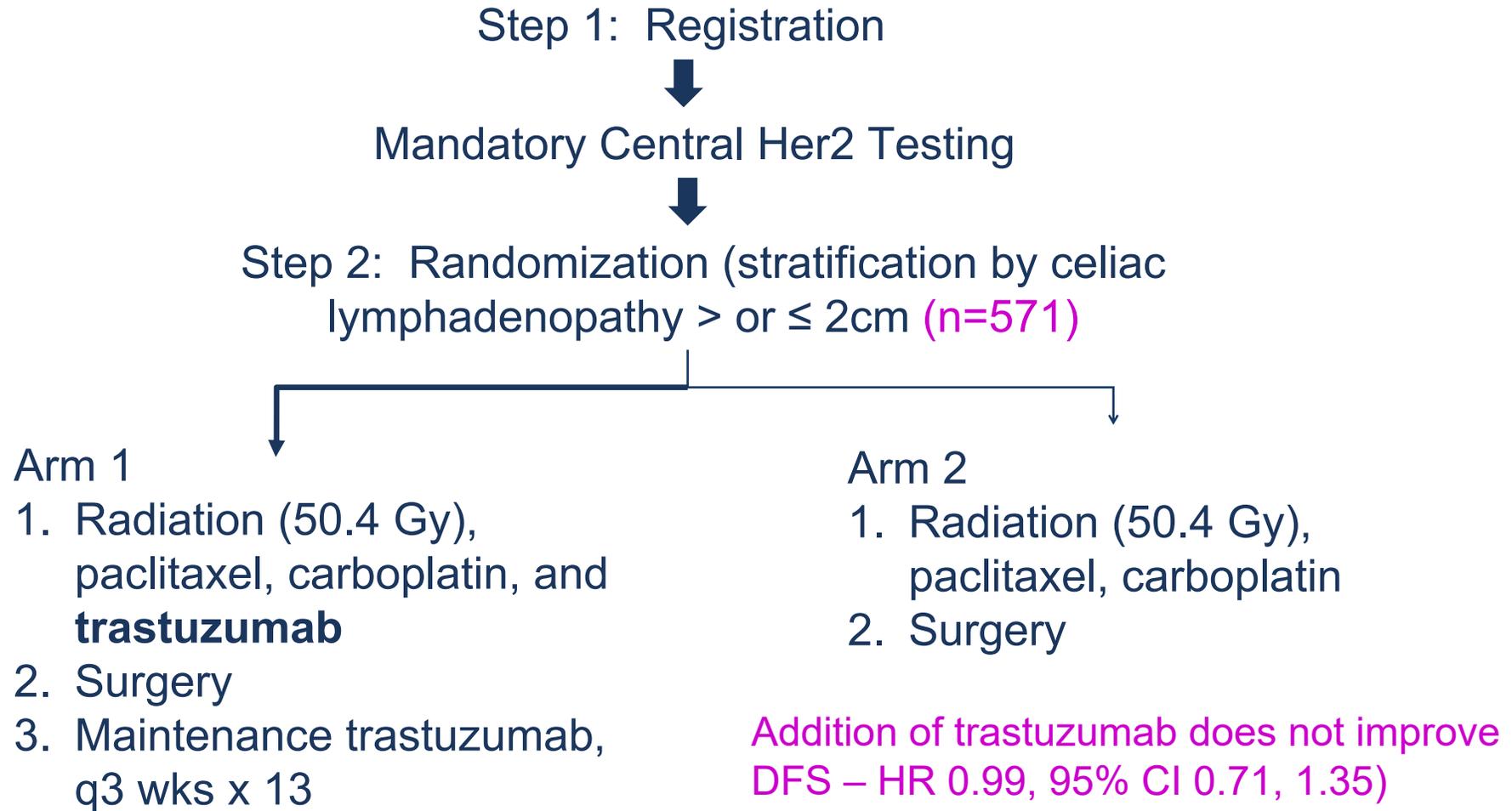
Take – Home Points – Metastatic Gastric / Esophageal Cancer

1. 5-FU + Platinum (2 drugs, not 3 drugs) is the chemotherapy backbone
2. **Her2 positive**: Chemo + Trastuzumab (+ Pembro if CPS \geq 1); Fam-trastuzumab-deruxtecan in second line or later
3. **Her2 negative gastric/eso adenocarcinoma**: Chemo + Nivo in CPS \geq 5
4. **Squamous cell esophageal cancer**: Chemo + Pembro or Nivo; Nivo + Ipi
5. 2nd line and beyond: Consider previous toxicities



Thank you

Trimodality + Trastuzumab ? RTOG 1010



Definitive Chemoradiation: RTOG 8501

Survival Estimates by Histologic Type after Combined Modality Therapy		
Year	Adenoca (% alive)	Squamous Cell (% alive)
0	100%	100%
1	52%	59%
2	22%	38%
3	17%	30%
4	13%	26%
5	13%	21%

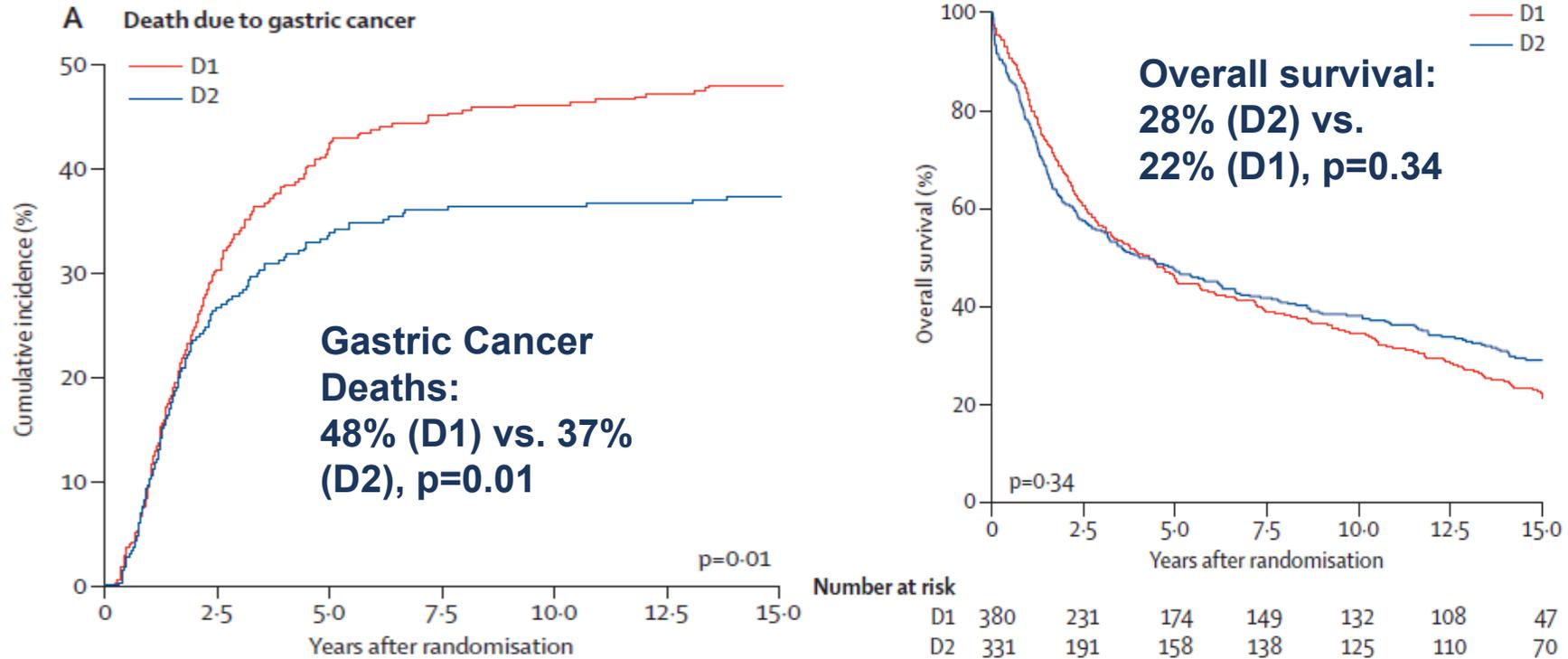
The Dutch Gastric Cancer Group: D1 vs. D2

711 patients undergoing curative resection of gastric cancer

	Peri operative morbidity	Peri operative mortality	5-yr survival
D1	25%	4%	45%
D2	43%	10%	47%



15 Year Follow Up



- High rates of over/under dissection; 45% node negative
- D2 dissection is preferred over D1

First-Line Pembrolizumab in ESO Adeno + Squamous (Keynote 590 Study)

Patient population: Advanced esophageal cancer and GEJ (Siewert I)
CPS assessed by 223C assay

Randomization: N = 749 to 5-FU + cisplatin + / - Pembrolizumab

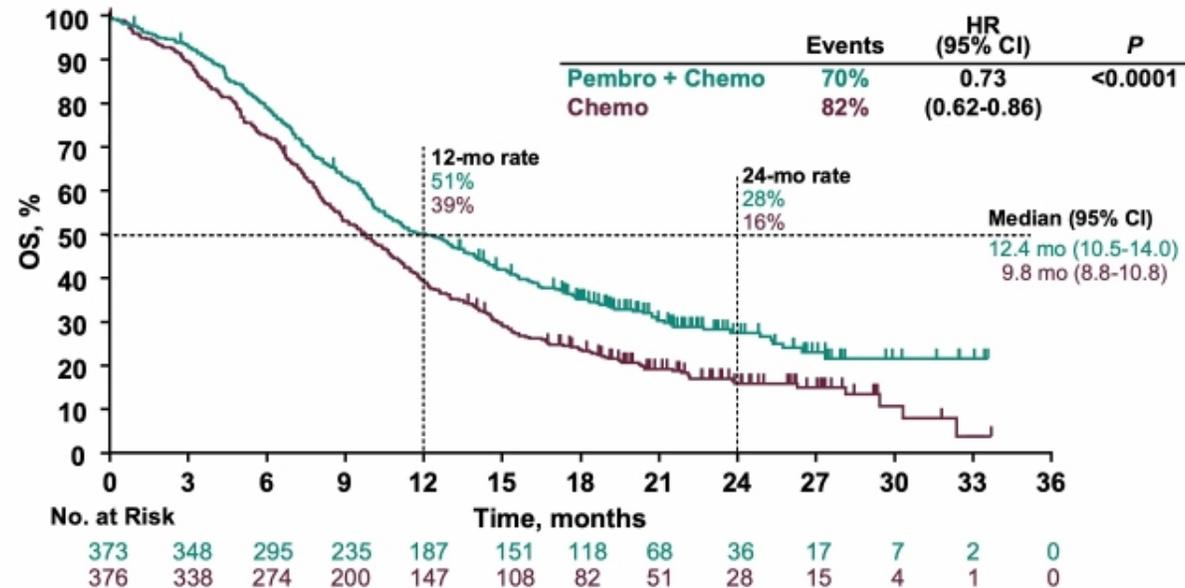
Primary endpoints: OS / PFS in Squamous cell CPS \geq 10, Squamous cell, CPS \geq 10, and all patients



First-Line Pembrolizumab in ESO Adeno + Squamous (Keynote 590 Study)

N = 749 (83 % Male ; 73% Squamous cell)

Overall survival: all patients in Keynote-590



OS: 12.4 vs 9.8 mo
(HR: 0.73, p<0.0001)

PFS: 6.4 vs. 5.8 mo (HR 0.65, p<0.001)

ORR: 45% vs. 29.3%
(p<0.0001)

Keynote 590: Results by Histology and CPS

Median Overall Survival (months)			
	C	C + Pem	
All patients	12.4	9.8	HR 0.73
Squamous cell CPS \geq 10	13.9	8.8	HR 0.57
Squamous cell	12.6	9.8	HR 0.72
Any histology, CPS \geq 10	13.5	9.4	HR 0.62
Adenocarcinoma	11.6	9.9	HR 0.74

Fluoropyrimidine + platinum + pembrolizumab FDA approved for use in esophageal cancer, regardless of CPS, *March, 2021*

Benefit seen largely in SCC patients and CPS \geq 10

